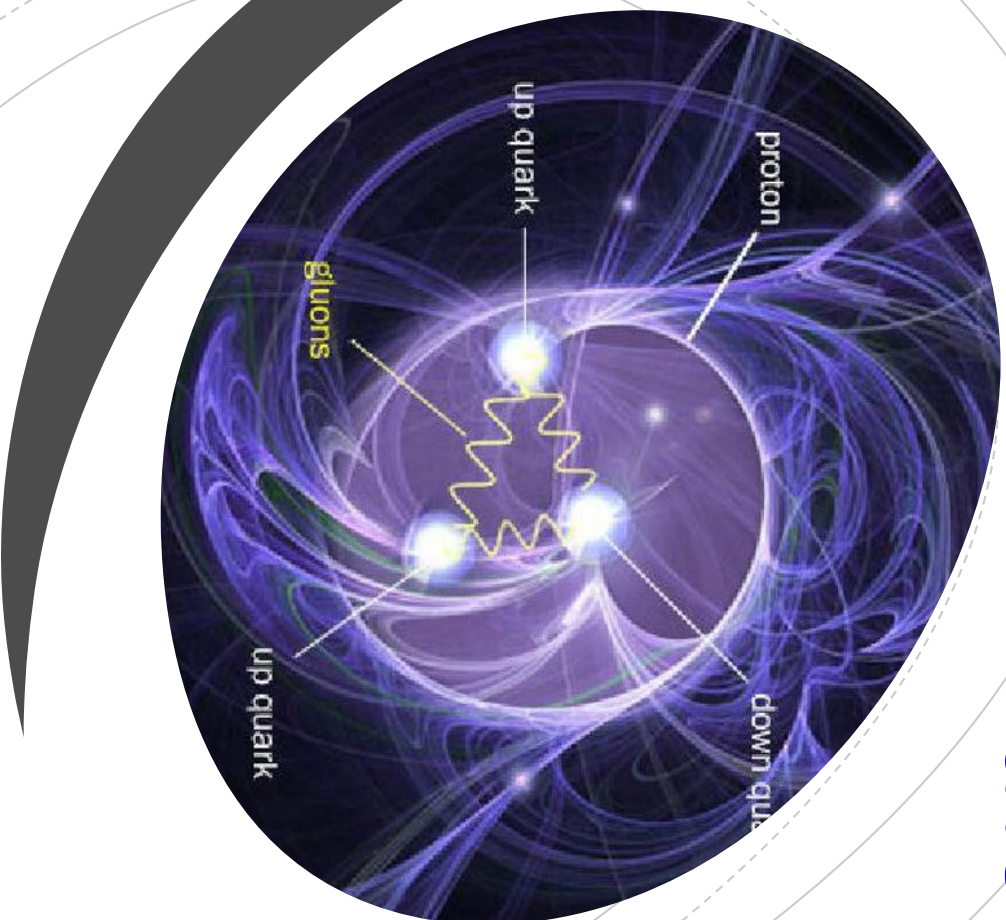





Questions about the nucleon mass



Xiangdong Ji
CNF, SURA, DC
& U. Maryland

**3rd Proton Mass Workshop:
Origin and Perspective**
Argonne National Laboratory,
January 14-16, 2021

The Sub-atomic Particles

Relative size	Name	Mass (Kg)	Charge (C)
	Proton	1.67×10^{-27}	$+1.602 \times 10^{-19}$
	Neutron	1.67×10^{-27}	0
	Electron	9.11×10^{-31}	-1.602×10^{-19}

QUESTION EVERYTHING



TEKSEF

12/11

AC.11

Categories of questions

- Questions related to broader fields (astronomy, particle & nuclear physics, condensed matter, chemistry & biology...)
- Questions related to fundamentals of QCD
- Questions related to lattice calculations
- Questions related to experiments
- ...

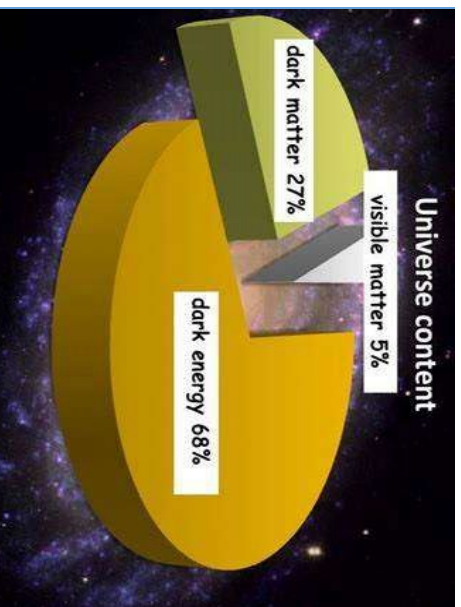
In hope to find yet more, and the most important one!

Questions related to the
broader fields

Nucleon mass:

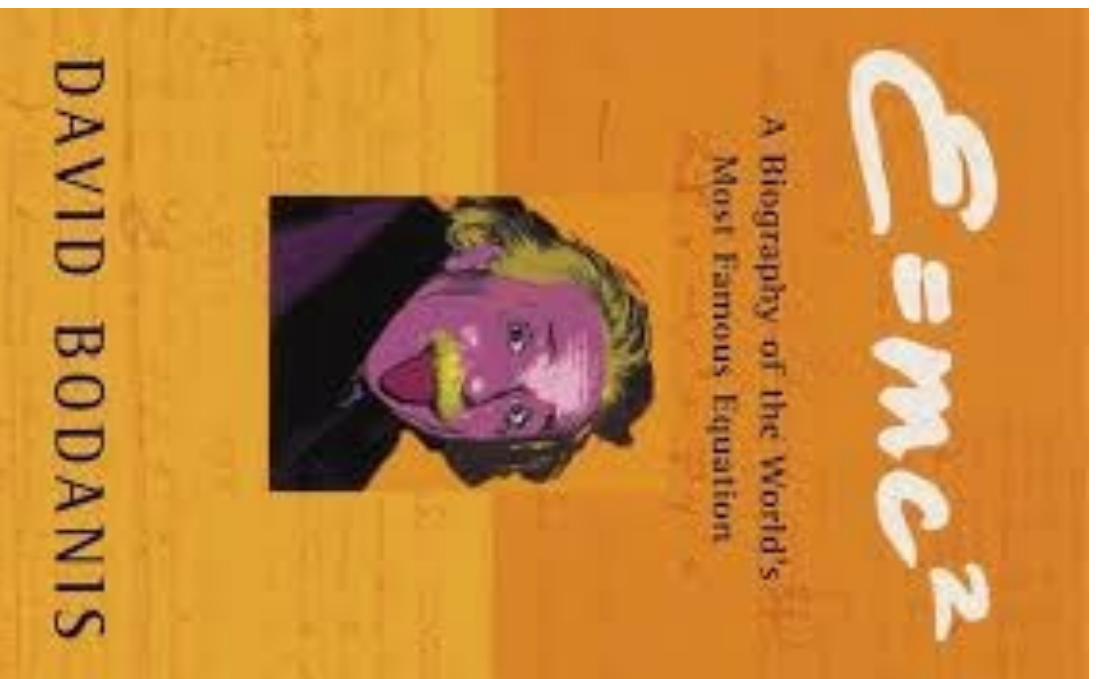
“charges” of stellar dynamics &
“mother of all energies”

Nucleon mass in astrophysics and cosmology

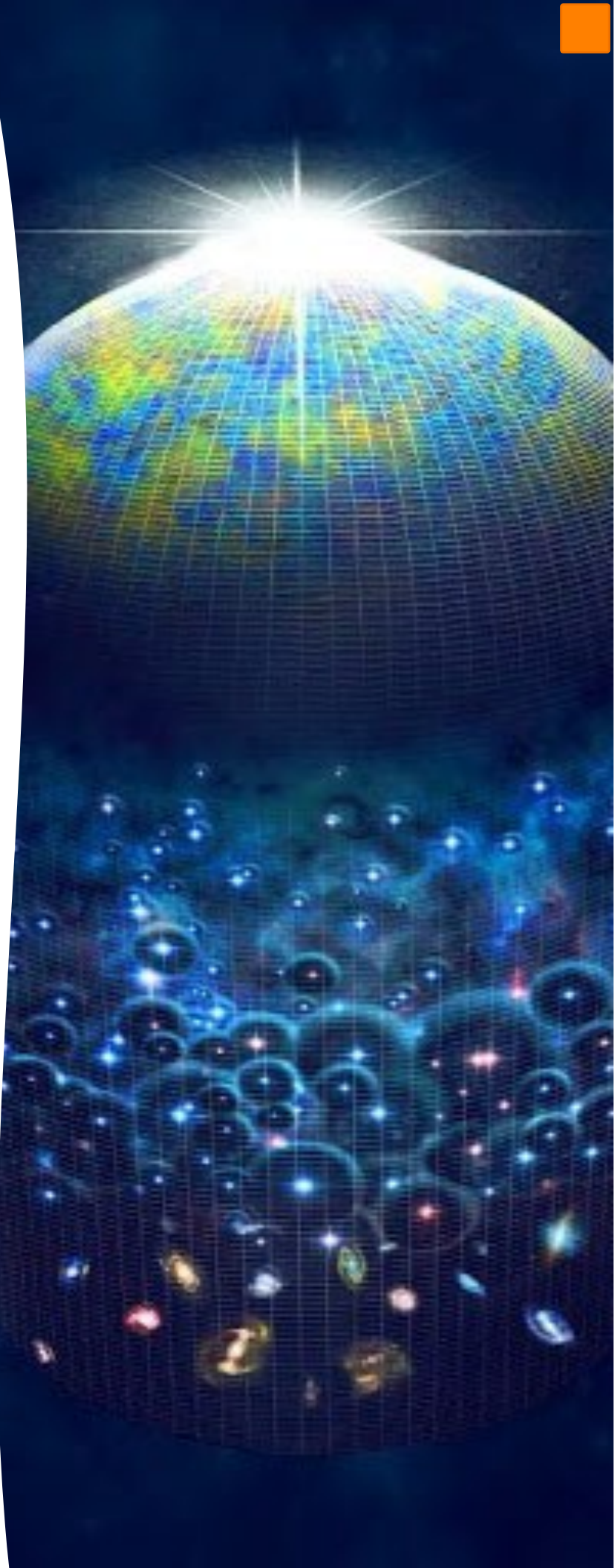


- Proton and neutron masses account for 5% of the energy of the Universe
- The mass is the gravity charge which determines the stellar formation dynamics: **supernova, neutron stars, blackholes**

Biggest revelation about the mass



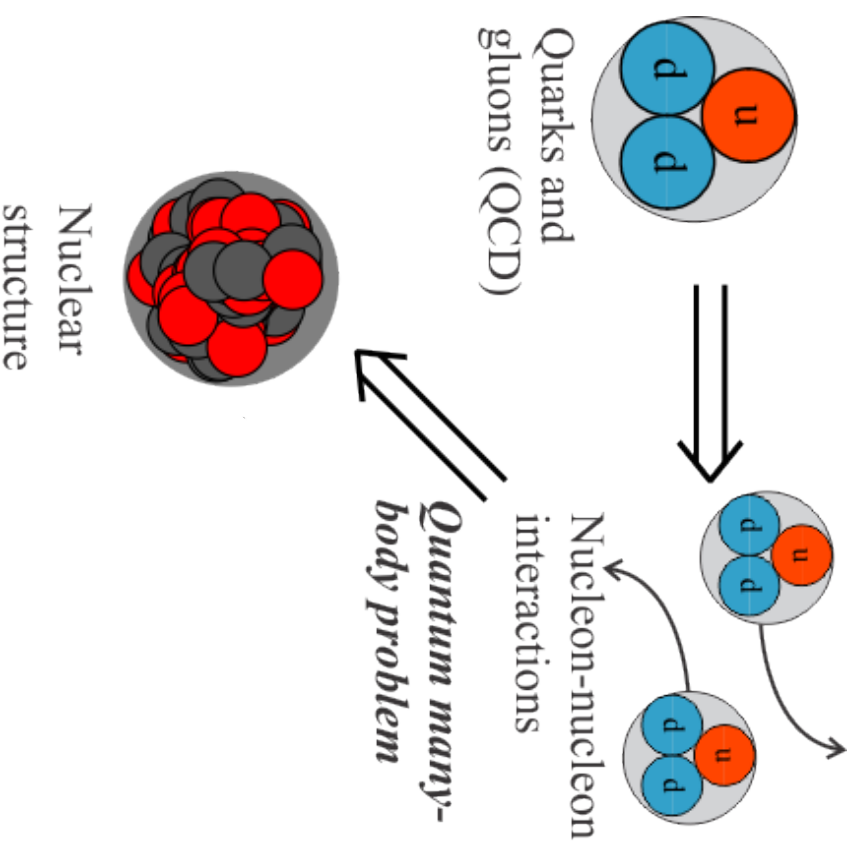
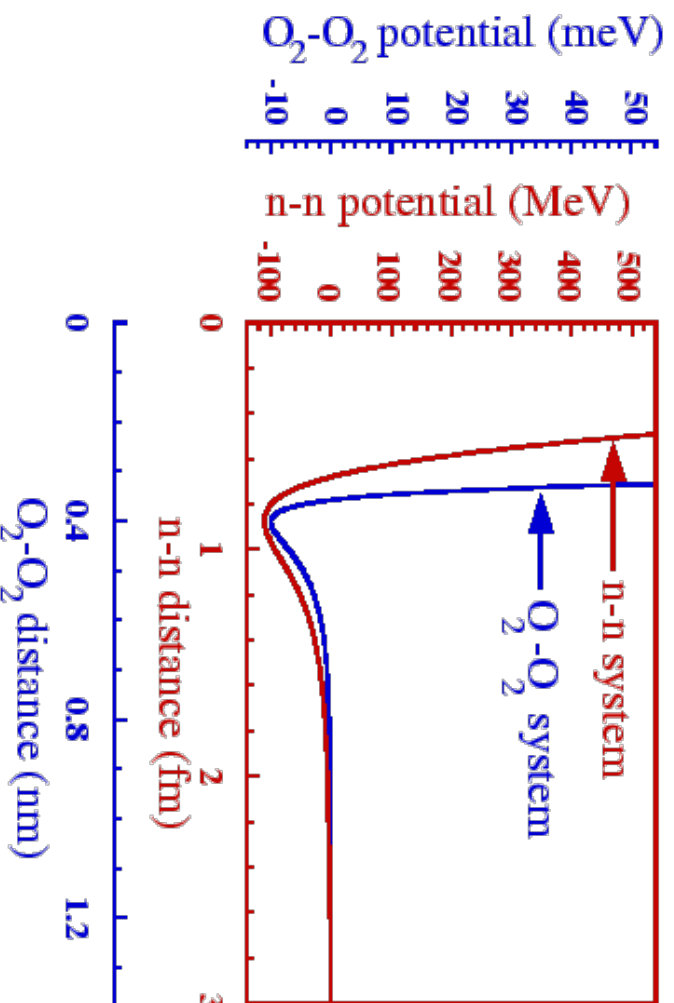
- “It appears far more natural to consider every inertial mass as a store of energy”,
Dec. 1907, A. Einstein



Saving energy in the nucleon mass

- As the Universe expands and cools, the only way to store the hot plasma energy is to **form color-neutral droplets (nucleons)** of quark and gluons, locking their kinetic energies inside.

Squeezing the energy out of the nucleon mass



Mother of all energies




- Through gravity contraction, the quark and gluon energy inside the nucleon mass can be unleashed by forming heavier nuclei.
- Conspiracy between gravity and other forces

Mass scales in QCD



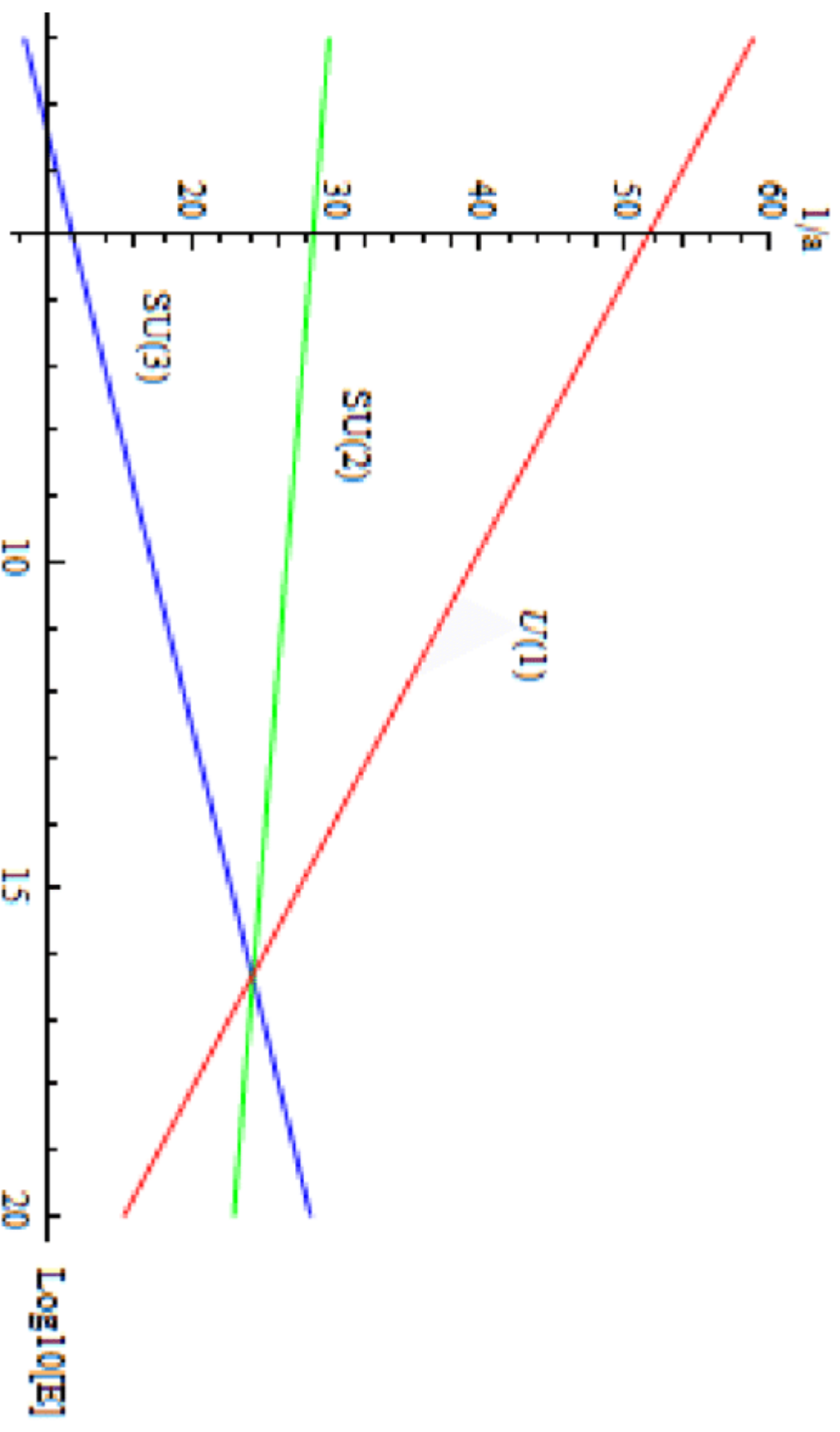
- The nucleon mass is determined by two different mass scales:
- **Quark masses**
 - Just like the electron mass in atomic physics, determined by Higgs mechanism
 - Electroweak symmetry breaking scale.
- **QCD scale Λ_{QCD}**
 - QCD scale Λ_{QCD} does not appear directly in the lagrangian: dimensional transmutation
 - Free parameter



Changing the QCD scale

- What happens if we can change Λ_{QCD} by a factor of 10 or 1/10? How will the world change?
 - The Earth may be closer to or further from the Sun, may rotate faster and slower around it?
 - The neutron can be lighter than the proton?
 - Nuclear energy production and details of star evolution will be very different?
 - Atoms and molecules will remain the similar size?
 - Feeling of hot and cold might be different?
 - Superconductivity phenomena might enhanced or decreased?
- Change of gravity may affect biology evolution?

What decides the QCD scale? Running of the couplings



Questions related to QCD fundamentals

Mass from QCD dynamics

$$\mathcal{L} = \frac{1}{4g^2} G_{\mu\nu}^a G_{\mu\nu}^a + \sum_j \bar{q}_j (i\gamma^\mu D_\mu + m_j) q_j$$

where $G_{\mu\nu}^a \equiv \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + if_{bc}^a A_\mu^b A_\nu^c$

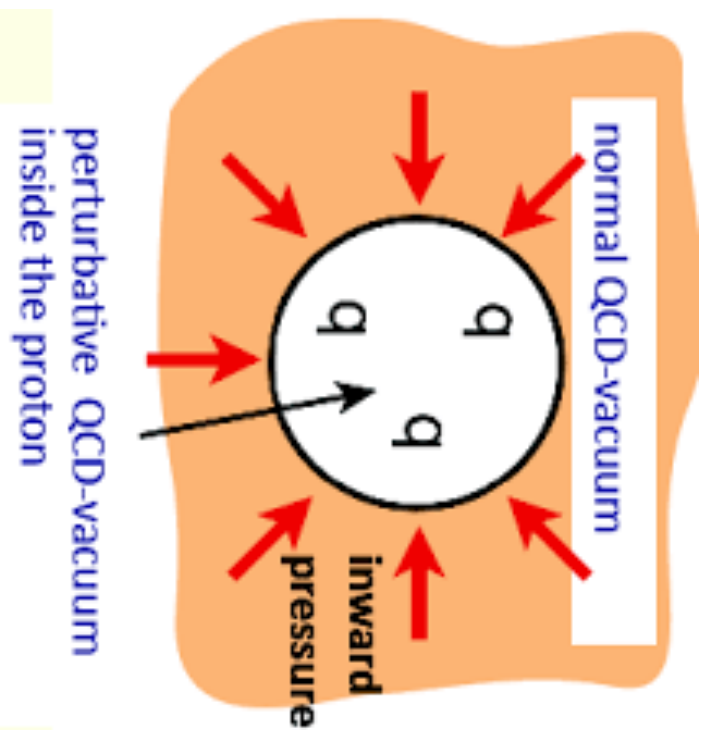
and $D_\mu \equiv \partial_\mu + it^a A_\mu^a$

That's it!

FIGURE 1. THE QCD LAGRANGIAN \mathcal{L} displayed here is, in principle, a complete description of the strong interaction. But, in practice, it leads to equations that are notoriously hard to solve. Here m_j and q_j are the mass and quantum field of the quark of j th flavor, and A is the gluon field, with spacetime indices μ and ν and color indices a, b, c . The numerical coefficients f and t guaran-

$$M_N = \alpha \Lambda_{QCD} + \sum_q \beta_q m_q$$

Role of color confinement



- The boundary condition generates discrete energy eigenvalues.

M.I.T. Bag Model

$$\mathcal{E}_n = \frac{\chi_n}{R}$$

R - radius of the Bag

$\chi_1=2.04$

$$E_{kin}(R) = N_q \frac{\chi_n}{R}$$

N_q = # of quarks inside the bag

$$E_{pot}(R) = \frac{4}{3} \pi R^3 B$$

B – bag constant that reflects the bag pressure

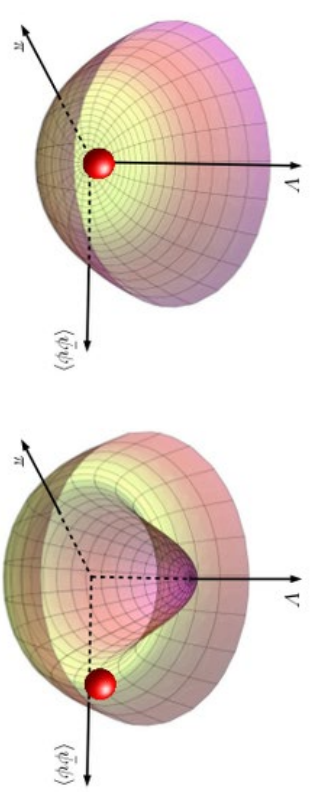
Mass = quark kinetic energy + B(scalar-field condensate)

Role of chiral symmetry breaking

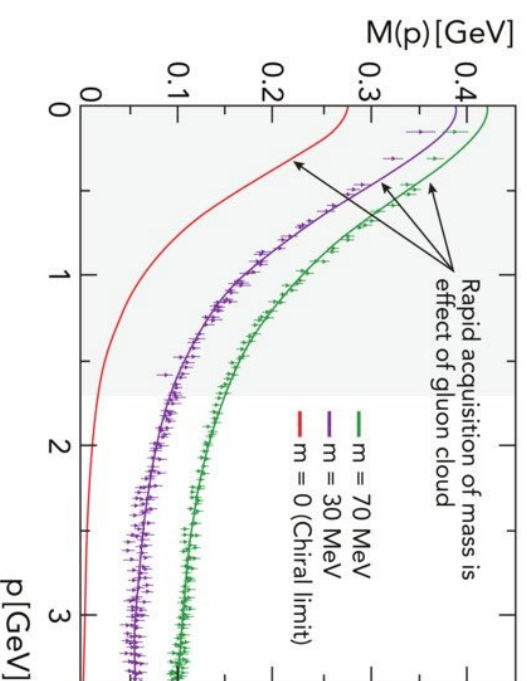
- SSB of chiral symmetry

Goldstone bosons: π , K

Chiral condensate $\langle \bar{\psi}\psi \rangle \neq 0$



- Quarks acquire an effective mass? NJL models etc.



Mass of the nucleon

- Internal mass as a store of energy

$$mc^2 = \langle N | \hat{H}_{QCD} | N \rangle$$

- For any relativistic system, the Hamiltonian can be separated into two terms,

$$\hat{H}_{QCD} = \hat{H}_T + \hat{H}_S$$

This separation is a fundamental property of relativity and both parts are scale invariant

Tensor and scalar energies

- **Tensor energy** $E_T = \langle H_T \rangle$ is related to the usual kinetic and potential energy sources
- **Scalar energy** $E_S = \langle H_S \rangle$ is related to related to **scale-breaking properties of the theory**, as such as quark mass m_q and **trace anomaly**:
 - In the massless limit, the classical theory is scale-invariant.
 - Due to UV divergences, the scale invariance is broken, the trace of EMT is now zero, $T^\mu_\mu \neq 0$. Composite scalar fields which could have scale-breaking vacuum expectation values

$$\langle \bar{\psi}\psi \rangle, \quad \langle F^2 \rangle$$

Relativistic virial theorem

- As an important feature of relativity, one can show

$$E_T = 3E_S \quad (\text{virial theorem})$$

3 is the dimension of space.

- Scalar energy sets the scale of the tensor (kinetic and potential energies of the system). But it is not the only energy ($M_N = 4E_S$)

- In non-relativistic limit of QED & gravity, it reduces

$$\langle V \rangle = -2\langle T \rangle$$

kinetic energy sets the scale for potential energy!

Scalar energy in QCD

- What is the scalar Hamiltonian in QCD?

$$H_S = H_m + H_a$$

$$H_m = \frac{1}{4} \int d^3x \, m \bar{\psi} \psi$$

$$H_a = \frac{1}{4} \int d^3x \left(\frac{\beta(g_0)}{2g_0} F^2 + m_0 \gamma_m \bar{\psi} \psi \right)$$

- Quantum anomaly contribution: due to breaking of scale symmetry from renormalization.
- In the massless limit, $H_a \sim F^2$

Quantum Anomalous Energy (QAE)

- There is an anomalous scalar contribution to the nucleon mass

$$E_a \sim \langle N | F^2 | N \rangle \sim \frac{1}{4} m_N c^2$$

- This particular contribution comes from the scalar response to the presence of the quarks (**bag constant**). Its contribution is also similar to Higgs mechanism in electroweak theory, with

$$\phi = F^2 - \langle 0 | F^2 | 0 \rangle$$

as a dynamical Higgs field.

X. Ji & Y. Liu, e-Print: 2101.04483

Questions related to QCD fundamentals

What is the role of confinement in the proton mass?

What is the role of chiral symmetry breaking in the proton mass?

Does the relativistic virial theorem tell us something deep about the mass?

What is the role of quantum anomalous energy (QAE) in the nucleon mass?

Why are the nucleon resonances separated by large mass gap? (why quark model works?)

Why the nucleon-nucleon potential is attractive (one pion change)?

Questions related to lattice QCD

Lattice is the only
first-principles
approach
to understand
non-perturbative
QCD dynamics



Splitting the QCD energy sources

- Four different type energies (X. Ji, PRL, 1995)

$$H_{\text{QCD}} = H_q + H_m + H_g + H_a.$$

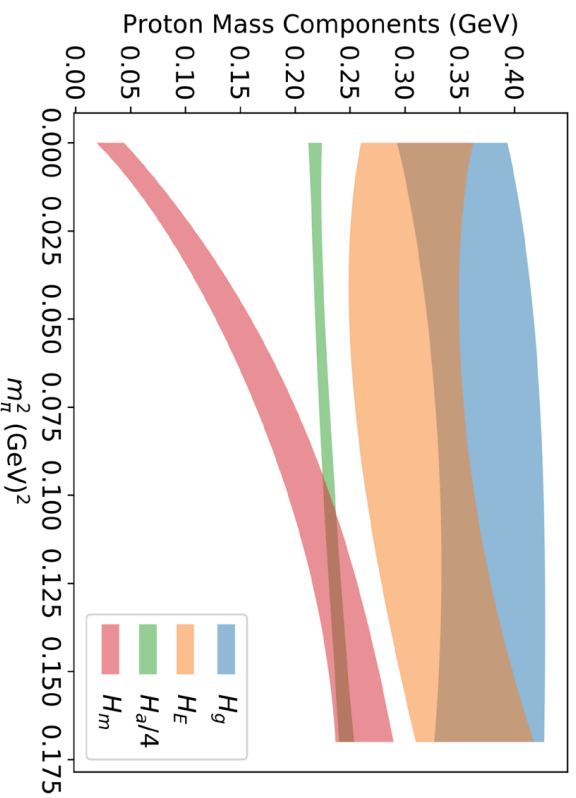
$$H_q = \int d^3\vec{x} \, \bar{\psi}(-i\mathbf{D} \cdot \boldsymbol{\alpha})\psi, \quad \text{Quark energy}$$

$$H_m = \int d^3\vec{x} \, \bar{\psi}m\psi, \quad \text{Quark mass}$$

$$H_g = \int d^3\vec{x} \, \frac{1}{2}(\mathbf{E}^2 + \mathbf{B}^2), \quad \text{Gluon energy}$$

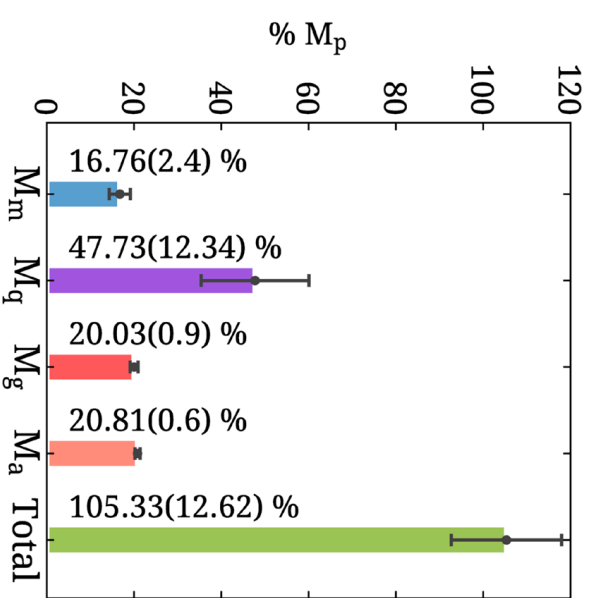
$$H_a = \int d^3\vec{x} \, \frac{9\alpha_s}{16\pi}(\mathbf{E}^2 - \mathbf{B}^2). \quad \text{Quantum Anomalous Energy (QAE)}$$

Proton mass on the lattice



Y.-B. Yang *et al.*, (XQCD), PRL 121, 212001 (2018)

Y.-B. Yang *et al.*, (XQCD), PRD94,054503 (2016)



C. Alexandrou *et al.*, (ETMC), PRL 119, 142002 (2017)

C. Alexandrou *et al.*, (ETMC), PRL 116, 252001 (2016)

↗

- Trace anomaly: 2101.04942 by Chi-QCD
- B^2 is negative

Quark mass contribution

- Lattice QCD calculations of the pion-N sigma term and strange quark contribution

$$\Sigma_q m_Q \langle \bar{q}q \rangle = 80 - 90 \text{ MeV}$$

about 10% of the total.

- Strange quark contribution is not as big as from chiral perturbation theory.
- 1 MeV accuracy?

QAE contribution to confinement

H. J. Rothe, Phys. Lett. B 346, 227 (1995); 355, 260 (1995)

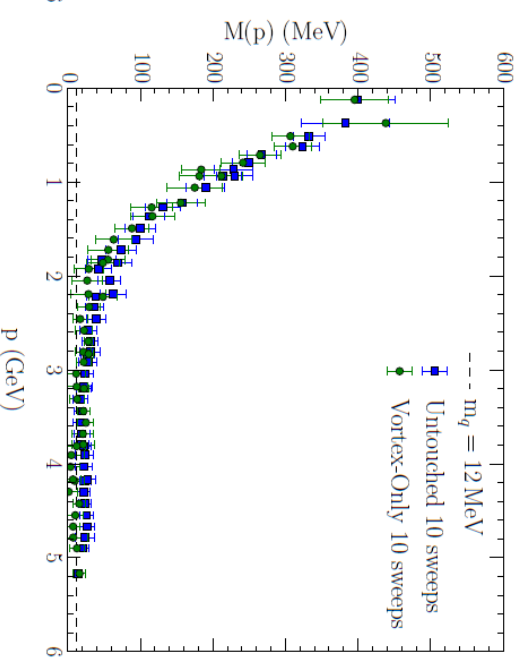
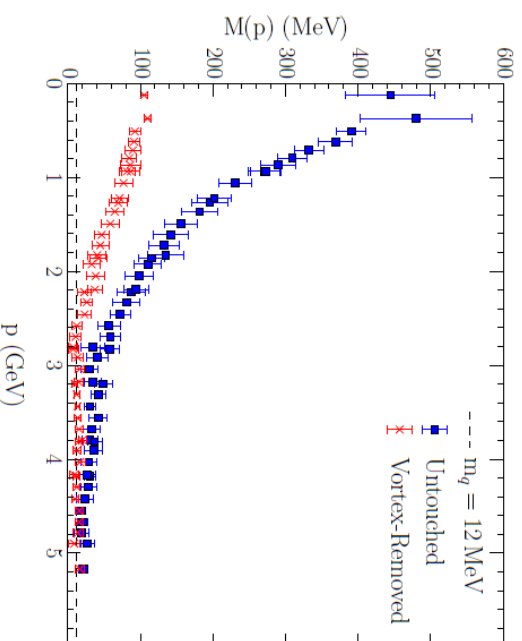
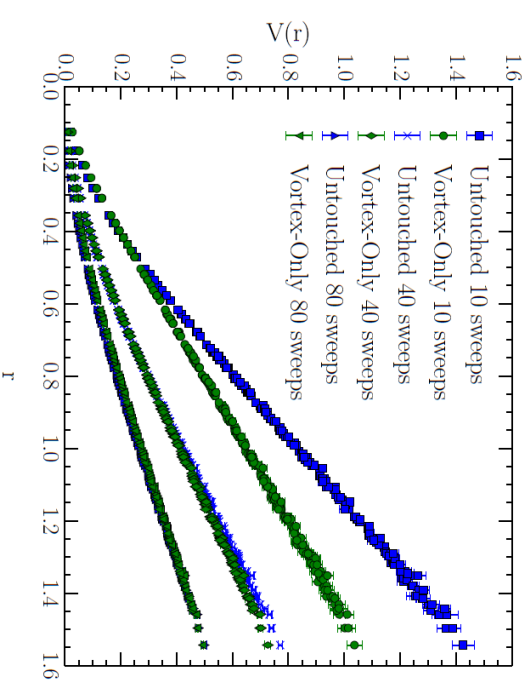
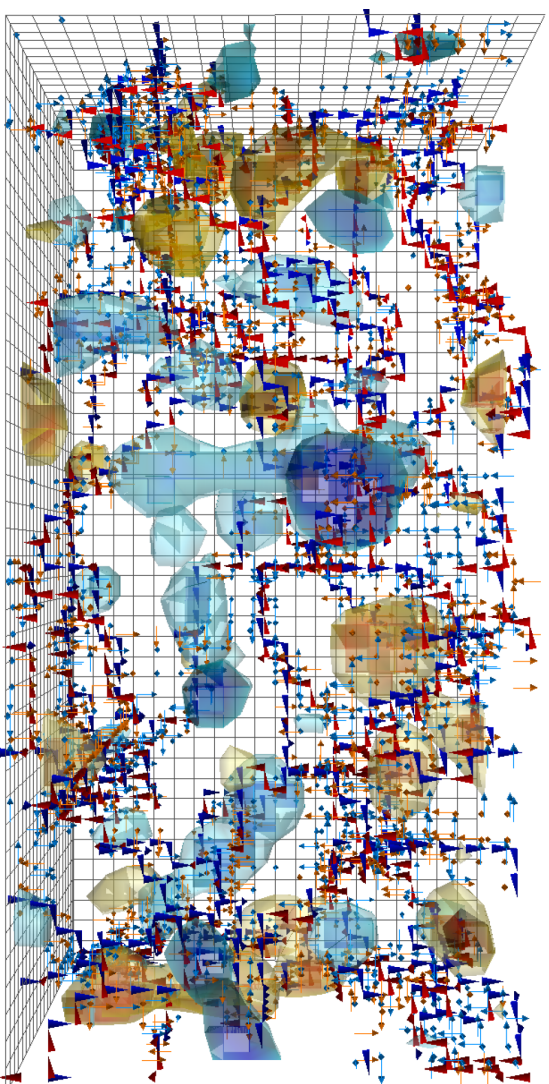
- Heavy quark potential between QQ-bar

$$V(r) = \sigma r$$

- Contribution to the potential from the anomalous energy is

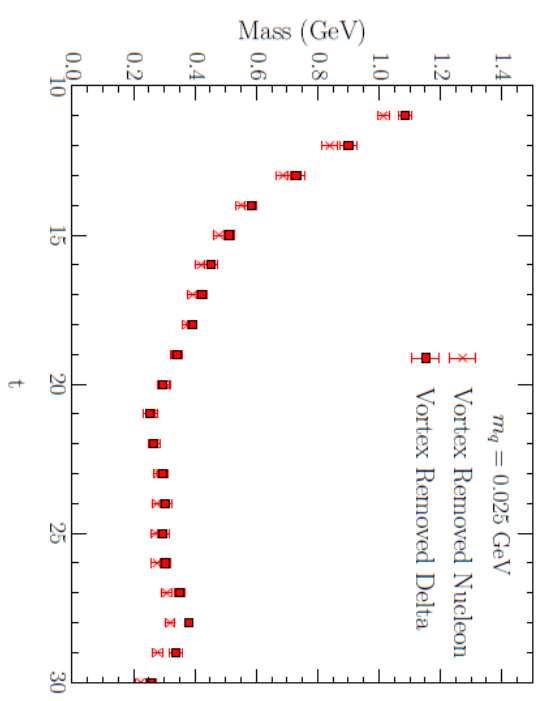
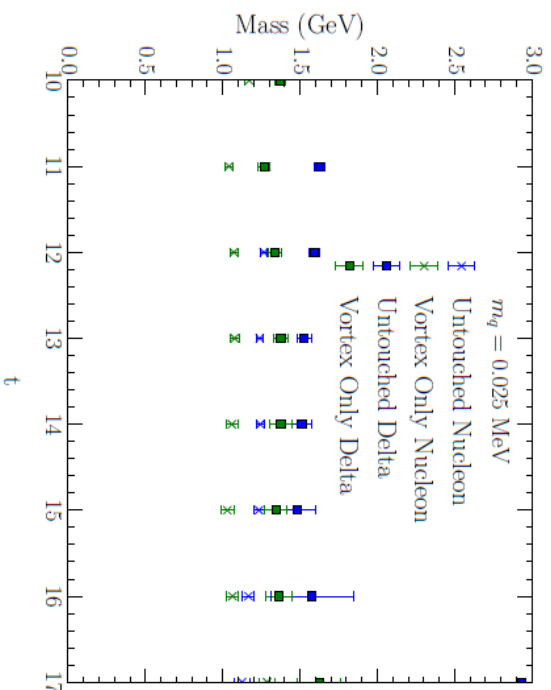
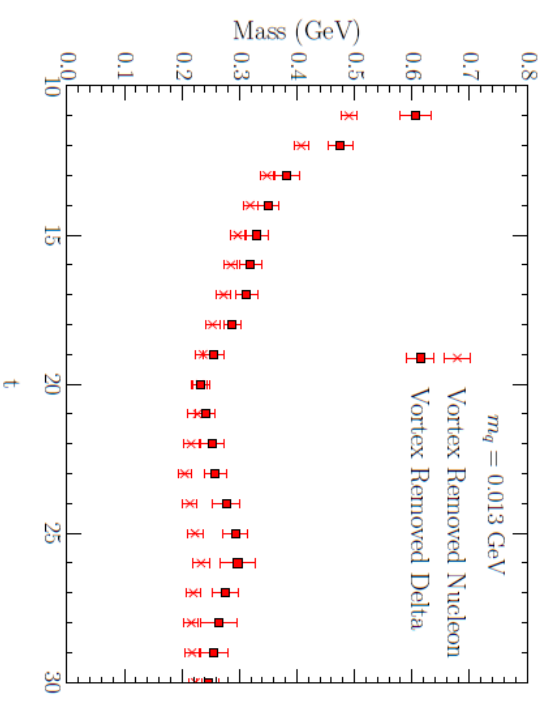
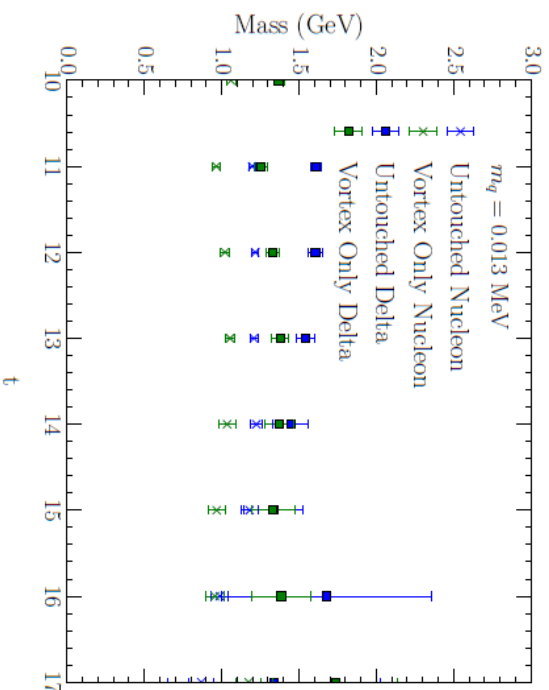
$$\begin{aligned} E_a(r) &= \frac{1}{4} (V(r) + rV'(r)) \\ &= \frac{1}{2} V(r) \end{aligned}$$

Center-vertices: confinement & chiral symmetry breaking



Leinweber et al.
J.Phys.G 44 (2017)
 12, 125002

Effects of center vertices on the nucleon & Delta mass



Questions related to lattice QCD

Precision quark mass contributions to the proton mass from lattice QCD?

What is the best way to compute the QAE contribution to the mass (bag constant)

How to separate influences of chiral symmetry breaking from color confinement on the mass?

Can one reproduce the dynamical Higgs mechanism for the gluon scalar contribution?

Why is the color magnetic field depleted inside the nucleon?

Questions related to experiments

Quark mass contribution

- Up & down quark mass contribution
- Pi-N scattering σ term:
- Strange quark contribution
- χ -PT from baryon spectrum
- Meissner's talk
- Any other useful data to fix them?

Quark and gluon energy: PDFs [ji,1995](#)

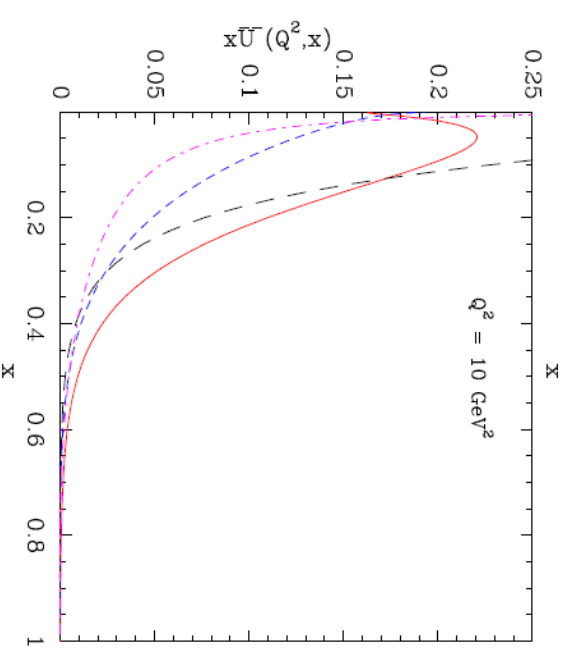
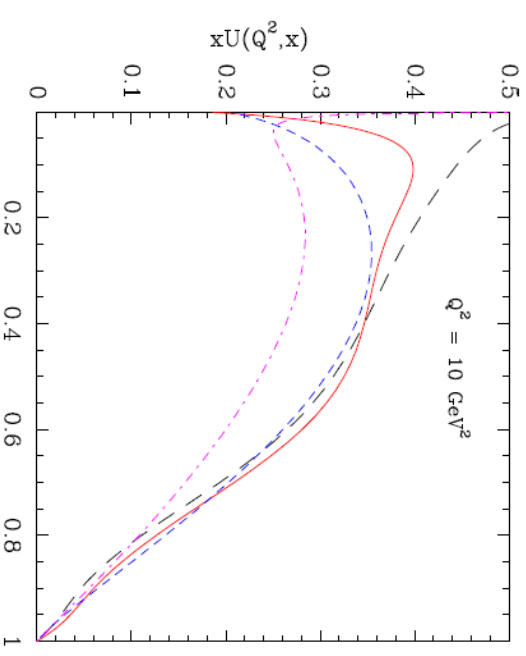
- Statistical model: [C. Bourrely](#),

[F. Buccella, J.-C. Peng, arXiv:2008.05703](#)

$$xU(x) = xD(x) = \frac{A_U X_U x^{b_U}}{\exp[(x - X_U)/\bar{x}] + 1} + \frac{\tilde{A}_U x^{\tilde{b}_U}}{\exp(x/\bar{x}) + 1} . \quad (7)$$

$$x\bar{U}(x) = x\bar{D}(x) = \frac{A_U (X_U)^{-1} x^{b_U}}{\exp[(x + X_U)/\bar{x}] + 1} + \frac{\tilde{A}_U x^{\tilde{b}_U}}{\exp(x/\bar{x}) + 1} . \quad (8)$$

$$\begin{array}{ll} A_U = 0.80536 \pm 0.10 & b_U = 0.5161 \pm 0.02 \\ X_U = 0.7551 \pm 0.01 & \tilde{x} = 0.10614 \pm 0.004 \\ \tilde{A}_U = 2.2773 \pm 0.324 & \tilde{b}_U = 0.4911 \pm 0.0092 \\ A_G = 31.0019 \pm 1.68 & b_G = 1 + \tilde{b}_U . \end{array}$$



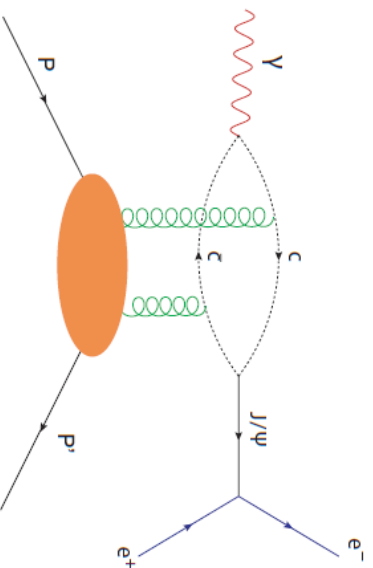
TMDPDF?

T=100 MeV

$\langle p|F^2|p\rangle$: heavy quarkonium production

D. Kharzeev, Proc. Int. Sch. Phys. Fermi 130, 105 (1996)

- Using a **color dipole** to measure the scalar field static response. **Voloshin (1978),**
- This naturally leads to the “probe” through photo or electro-production of heavy quarkonium.
- **Precision data is needed + VDM**



F^2

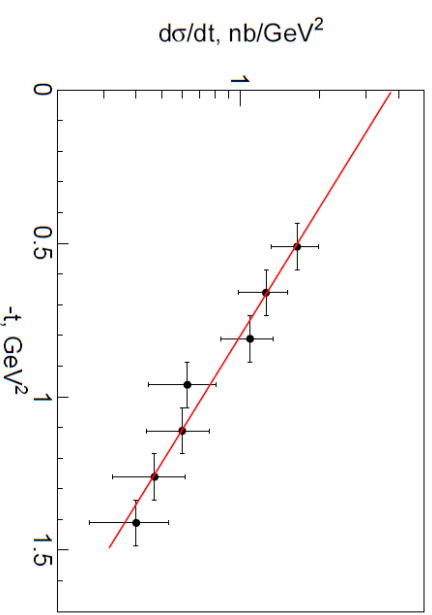
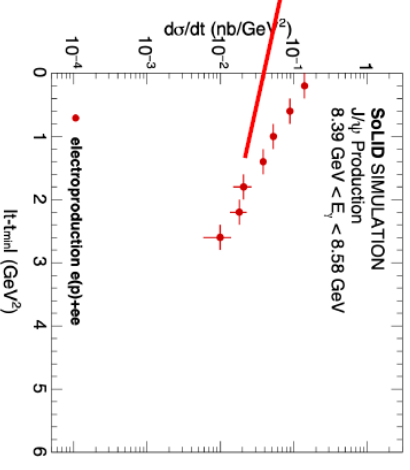
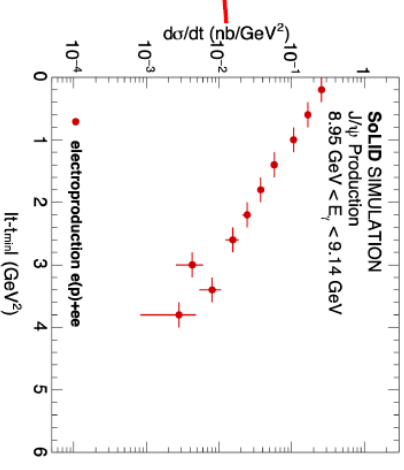
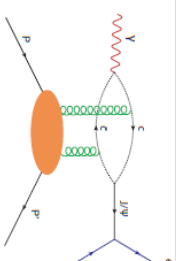
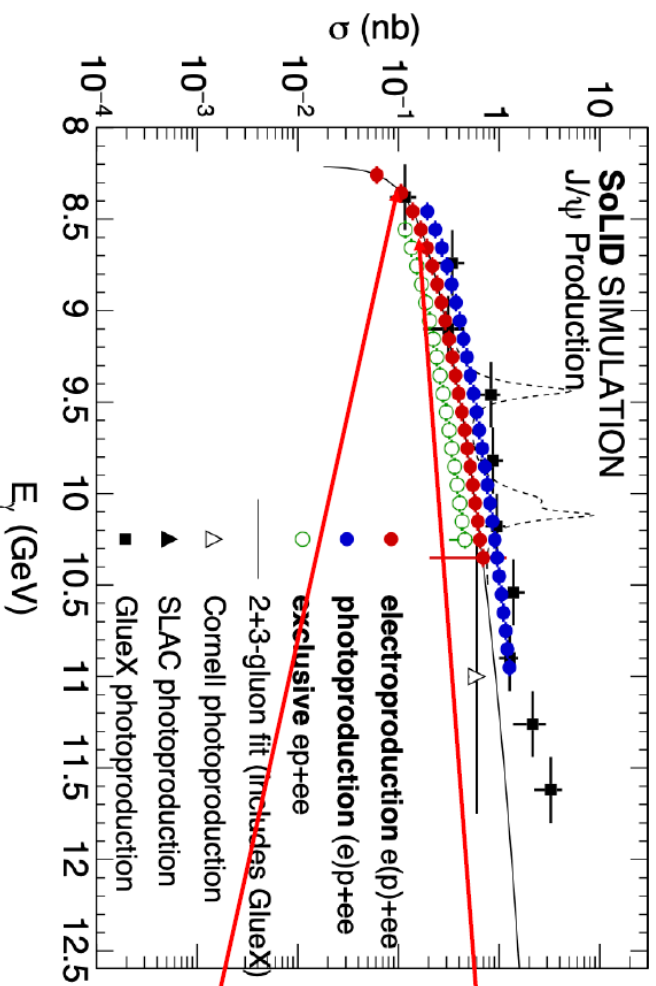


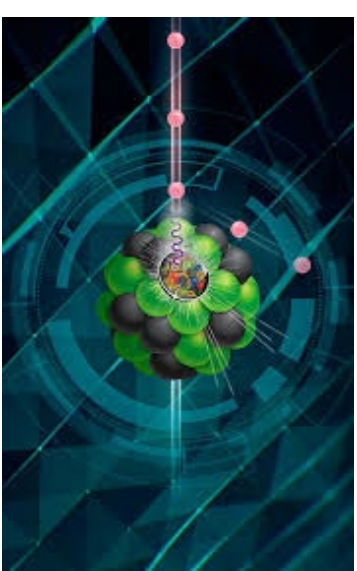
Fig. 1. The differential cross section of J/Ψ photo-production near threshold as measured by the GlueX Collaboration [22]. Only statistical uncertainties are shown.

J/Psi Experiment E12-12-006 @ SOLID



Sensitivity at about 10^{-3} nb!

Higher Q^2 : Looking to EIC



- Higher Q^2 provides a more rigorous connection between cross section and the matrix element. (Boussarie & Hatta et al, Phys.Rev.D 101 (2020) 11, 114004)
- J/psi & Υ production

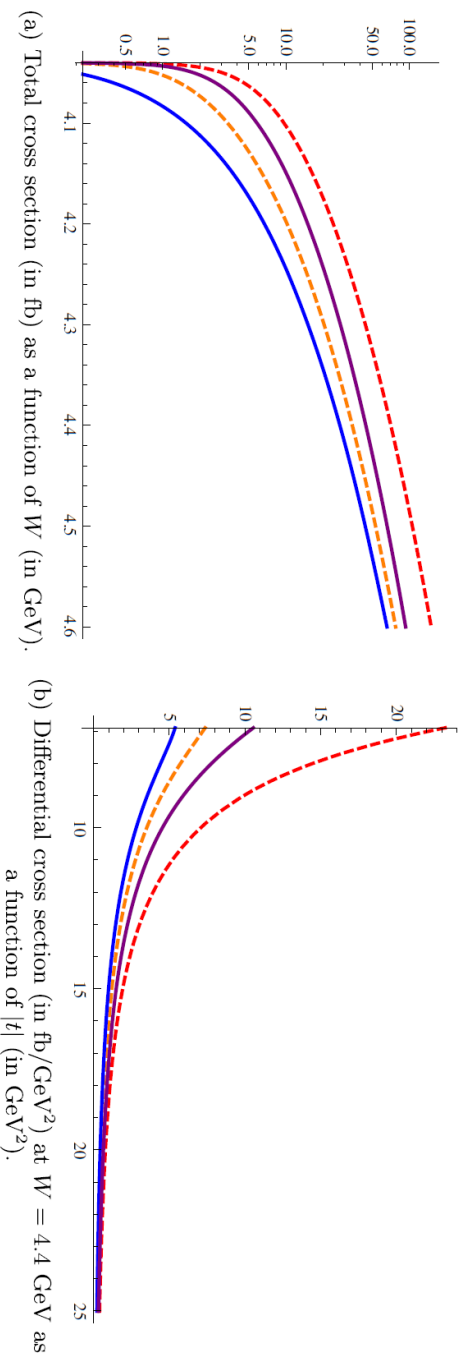


FIG. 1. J/ψ total and differential cross sections at $Q^2 = 64$ GeV². The upper and lower solid curves correspond to Case 1 with $D_g = 0$ and $D_g = -7.2$, respectively. The upper and lower dashed curves correspond to Case 2, $D_g = -7.2$, with $b = 1$ and $b = 0$, respectively.

Mass form-factor $A(Q^2)$, $\bar{C}(Q^2)$

- Form factors of energy-momentum tensor

$$\langle P' | T_{q,g}^{\mu\nu} | P \rangle = \bar{U}(P') [A_{q,g}(\Delta^2) \gamma^{(\mu} \bar{P}^{\nu)} + B_{q,g}(\Delta^2) \bar{P}^{(\mu} i \sigma^{\nu)\alpha} \Delta_\alpha / 2M + C_{q,g}(\Delta^2) (\Delta^\mu \Delta^\nu - g^{\mu\nu} \Delta^2) / M + \bar{C}_{q,g}(\Delta^2) g^{\mu\nu} M] U(P),$$

X. Ji, PRL 1996

- Mass form factors:

$A(Q^2)$ and $C\text{-bar}$

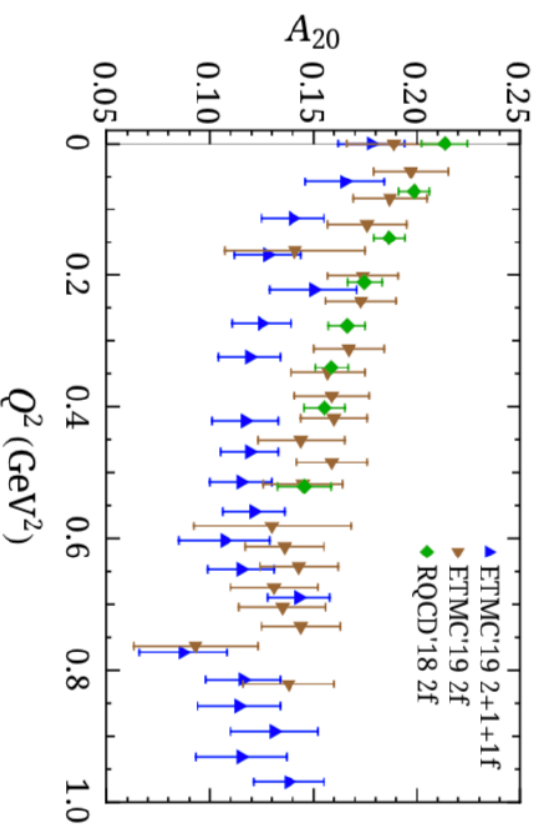
C-bar is a twist-4 contribution

(Ji, Melnitchouk, Song, 1997, Hatta, Rajan, Tanaka, 2018, .)

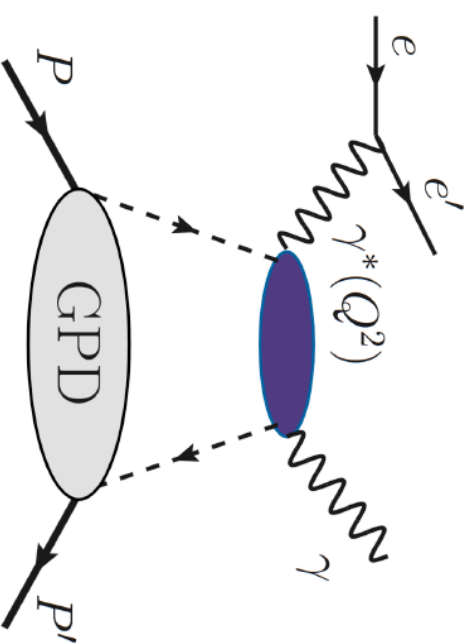
pin.

pressure
(CP odd)

Mass form factor: lattice QCD and DVCS



Deeply Virtual Compton Scattering



$$\int_{-1}^1 dx x H(x, \xi, t) = A(t) + \xi^2 C(t),$$

$$\int_{-1}^1 dx x E(x, \xi, t) = B(t) - \xi^2 C(t).$$

(Ji, Melnitchouk,
Song, 1997)

Questions related to experiments and observables

How to improve the precision in measuring and extracting the quark mass contribution experimentally?

How to reliably measure and interpret the QAE contribution from J/ψ , Υ production?

How well are the mass distributions in the nucleon measured from DVCS and similar processes?

What is the most important question that EIC can answer about the nucleon mass?

Conclusions

- The nucleon mass is one of the most important physical quantities in our universe.
- Many interesting questions can be asked and answered by models, lattice QCD calculations, and experiments.
- More questions to be asked and answered through this workshop.