

# The NNLO unpolarized isovector quark PDF of the nucleon at the physical point from lattice QCD

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# Outline

- Motivations and formalism
- Lattice setup
- Analysis of two-point and three-point functions
- Model-independent extraction of lowest Mellin moments
- $\mathbf{x}$ -dependent PDF from model fits, deep neural network, and LaMET

All results are preliminary!

# Motivations

- Test methods against experimental data
- Extract nucleon PDFs using various methods
  - Leading-twist OPE
  - Model-dependent fits
  - Deep neural network
  - x-space matching with Hybrid renormalization
- When does short-distance factorization break down?
- Check perturbative uncertainty by including NNLO matching

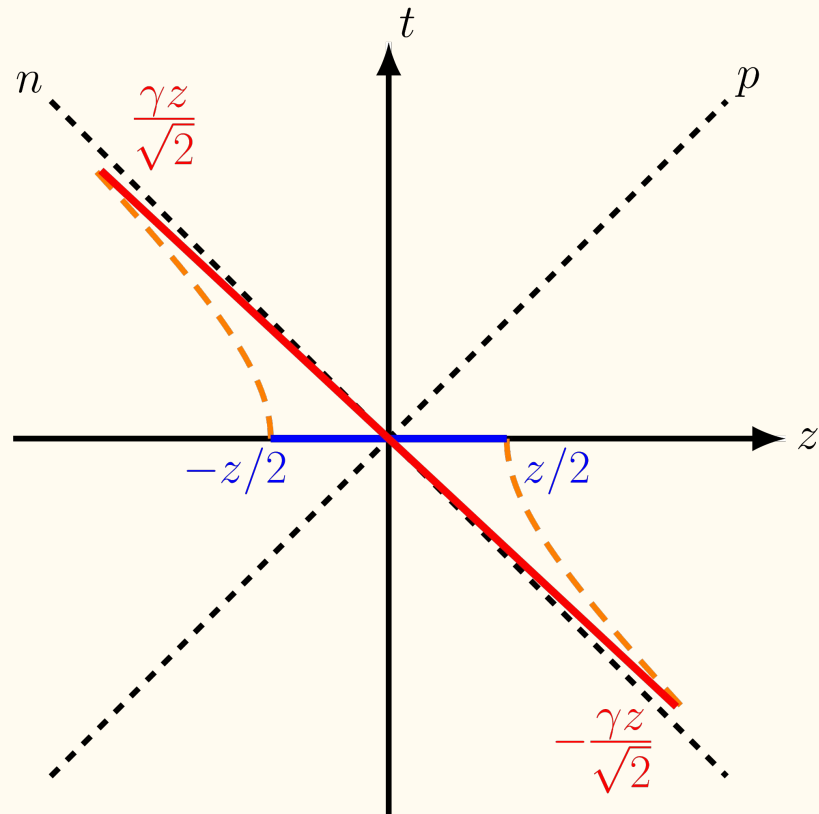
# Light-cone PDFs from lattice QCD

- Cannot calculate matrix elements separated along the light cone in lattice QCD
- Instead, calculate equal-time spatially-separated matrix element of highly-boosted hadron

$$h^B(z, P_z) = \langle N; P_z | \bar{\psi}(z) \Gamma W(z, 0) \psi(0) | N; P_z \rangle$$

- Can be matched to light-cone PDF through Large-momentum Effective Theory or short-distance factorization

Theoretical Framework:  
[V. Braun, D. Müller '07]  
[X. Ji '13]  
[A. Radyushkin '17]



[X. Ji et al., Rev. Mod. Phys. **93**, 035005, arXiv: 2004.03543]

# Correlation functions

Use standard nucleon operator:  $N_\alpha^{(s)}(x, t) = \varepsilon_{abc} u_{a\alpha}^{(s)}(x, t) (u_b^{(s)}(x, t)^T C \gamma_5 d_c^{(s)}(x, t))$

For two-point functions:  $C^{2\text{pt}}(\vec{p}, t_{\text{sep}}; \vec{x}, t_0) = \sum_{\vec{y}} e^{-i\vec{p}\cdot(\vec{y}-\vec{x})} \mathcal{P}_{\alpha\beta}^{2\text{pt}} \langle N_\alpha(\vec{y}, t_{\text{sep}}+t_0) \bar{N}_\beta(\vec{x}, t_0) \rangle$

And three-point functions:

$$C^{3\text{pt}}(\vec{p}_f, \vec{q}, t_{\text{sep}}, t_{\text{ins}}; \vec{x}, t_0) = \sum_{\vec{y}, \vec{z}} e^{-i\vec{p}_f\cdot(\vec{y}-\vec{x})} e^{-i\vec{q}\cdot(\vec{x}-\vec{z})} \mathcal{P}_{\alpha\beta}^{3\text{pt}} \langle N_\alpha(\vec{y}, t_{\text{sep}}+t_0) \mathcal{O}^\Gamma(\vec{z}, \hat{\mathcal{L}}, t_{\text{ins}}+t_0) \bar{N}_\beta(\vec{x}, t_0) \rangle$$

$$\mathcal{O}^\Gamma(\vec{z}, \hat{\mathcal{L}}, t_{\text{ins}}+t_0) = \bar{q}(\vec{z}, t_{\text{ins}}+t_0) \Gamma \tau_3 W(\vec{z}, t_{\text{ins}}+t_0; \vec{z}+\hat{\mathcal{L}}, t_{\text{ins}}+t_0) q(\vec{z}, \hat{\mathcal{L}}, t_{\text{ins}}+t_0)$$

For unpolarized distribution:  $\mathcal{P}^{2\text{pt}} = \mathcal{P}^{3\text{pt}} = \frac{1}{2}(1 + \gamma_t)$  ,  $\Gamma = \gamma_t, \gamma_z$

Smear-d-smear (SS) and smear-point (SP) two-point correlators No mixing

Only smear-d-smear three-point correlators

# Calculation setup

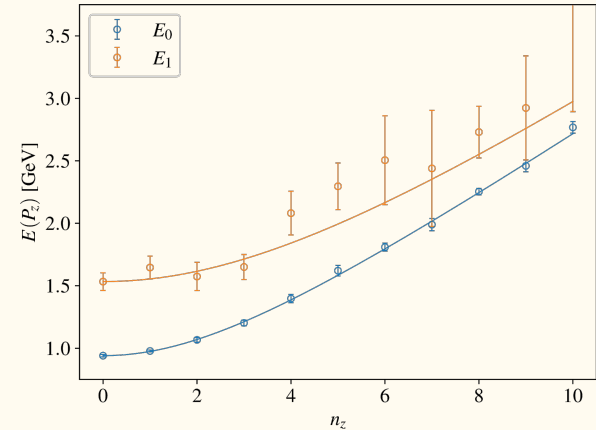
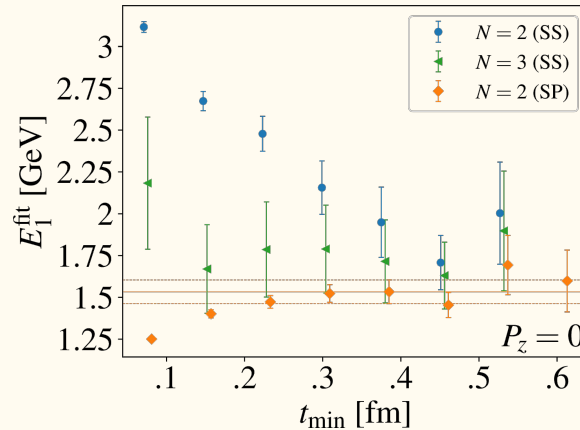
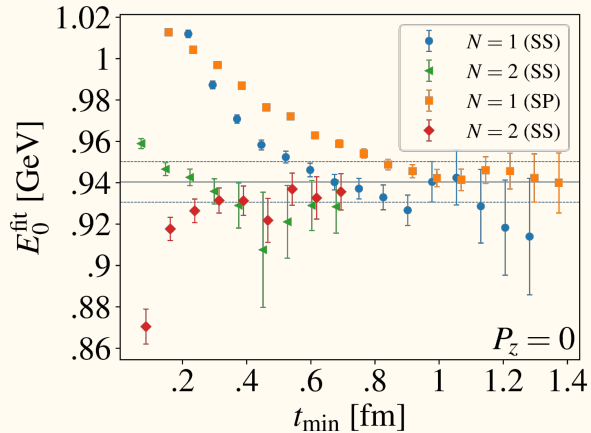
- Mixed fermion action
  - Sea quark action:  $N_f = 2+1$  HISQ with physical quark masses,  $L^3 \times T = 64^3 \times 64$ ,  $a = 0.076$  fm
  - Valence quark action:  $N_f = 2 + 1$  Wilson-Clover with physical quark masses, 1-HYP smeared gauge links

- Calculations done with Qlua, which utilizes the multigrid solver in QUDA
- Use momentum smearing for quarks to achieve better overlap with boosted hadrons
- Included four momentum projections to  $P_x^{(f)}$  at the sink for three-point functions

$P_x^{(f)}$	$k_x$	$t_{\text{sep}}$	$N_{\text{samp}}$
0	0	6	16
0	0	8,10	32
0	0	12	64
1	0	6,8,10,12	32
4	2	6	32
4	2	8,10,12	128
6	3	6	20
6	3	8	100
6	3	10,12	140

# Analysis of two-point functions

- Fit two-point functions to  $C_N^{2\text{pt}}(\vec{p}, t_{\text{sep}}) = C_0 e^{-E_0 t_{\text{sep}}} \left[ 1 + \sum_{i=1}^{N-1} R_i \prod_{j=1}^i e^{-\Delta_{j,j-1} t_{\text{sep}}} \right]$
- Use SP and SS correlators to help control excited states
- All energies below largest energy are priored
- Three states required to fit full  $t_{\text{sep}}$



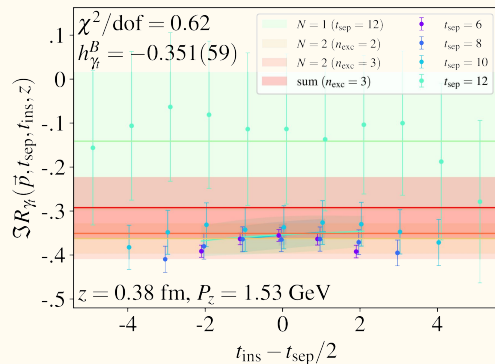
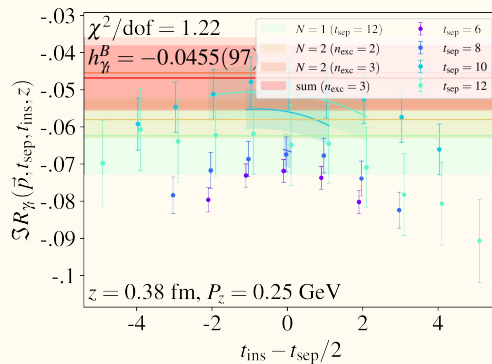
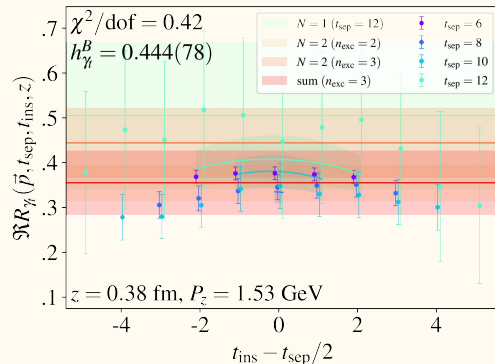
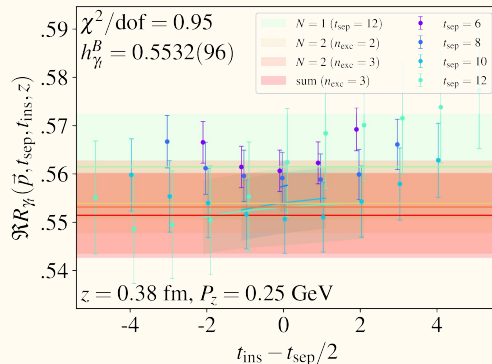
# Three-point function analysis

- Fit ratio of three-point to two-point data

$$R(\vec{p}_f, t_{\text{ins}}, t_{\text{sep}}) = \frac{C^{\text{3pt}}(\vec{p}_f, \vec{q} = 0, t_{\text{ins}}, t_{\text{sep}})}{C^{\text{2pt}}(\vec{p}_f, t_{\text{sep}})}$$

- Two-state fits to three-point ratio priored with ‘effective’ energy gap and amplitudes from two-state fits to two-point SS correlators
- Reasonable agreement between two-state and other fit strategies, like summation fits

$$R_{\text{sum}}(\vec{p}_f, t_{\text{sep}}) = \sum_{t_{\text{ins}}=n_{\text{exc}}a}^{t_{\text{sep}}-n_{\text{exc}}a} R(\vec{p}_f, t_{\text{ins}}, t_{\text{sep}})$$





# Ratio-scheme renormalization

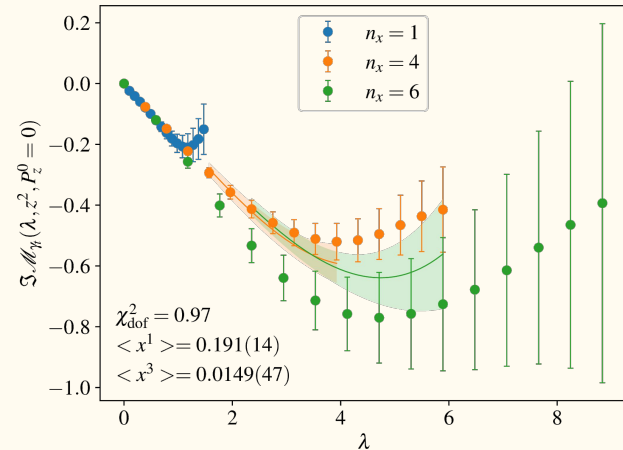
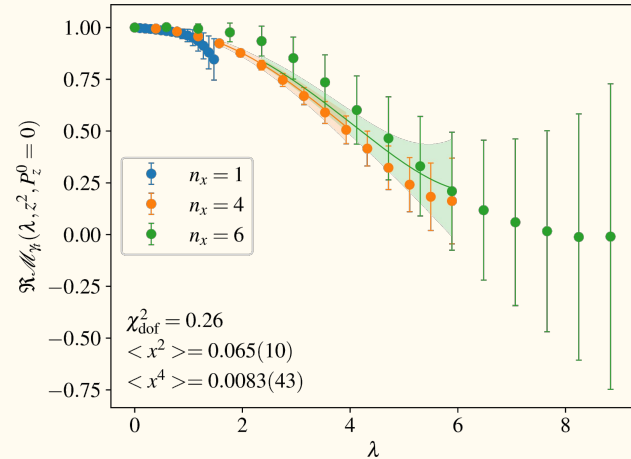
- The operator  $\mathcal{O}_\Gamma(z)$  is multiplicatively renormalizable

$$h_\Gamma^B(z, P_z, a) = e^{-\delta m(a)|z|} Z_O(a) h_\Gamma^R(z, P_z, \mu)$$

- Can form renormalization-group invariants with the double ratio ( $z = 0$  for exact normalization)

$$\mathcal{M}(\lambda, z^2; P_z^0, a) = \frac{h^B(z, P_z, a)}{h^B(z, P_z^0, a)} \bigg/ \frac{h^B(0, P_z, a)}{h^B(0, P_z^0, a)}, \quad \lambda \equiv z P_z$$

- Consider  $\mathcal{M}(\lambda, z^2; P_z^0 = 0, a)$ , referred to as the reduced Ioffe Time Distribution (rITD)
- rITD can be perturbatively matched to light-cone ITD  $Q(\lambda, \mu^2)$

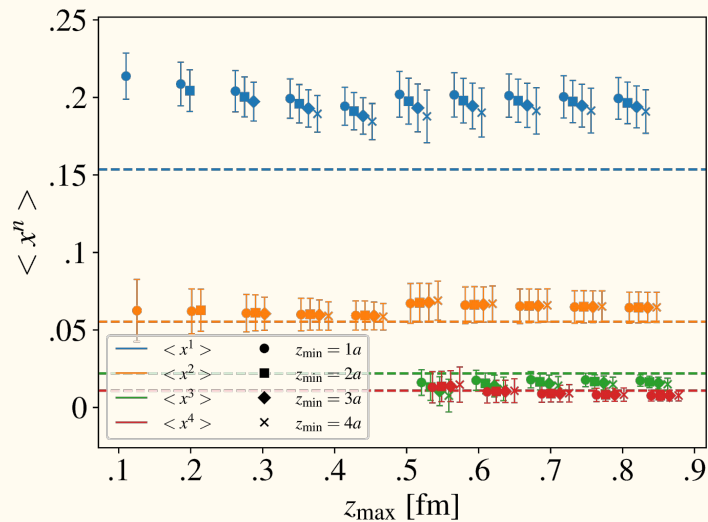
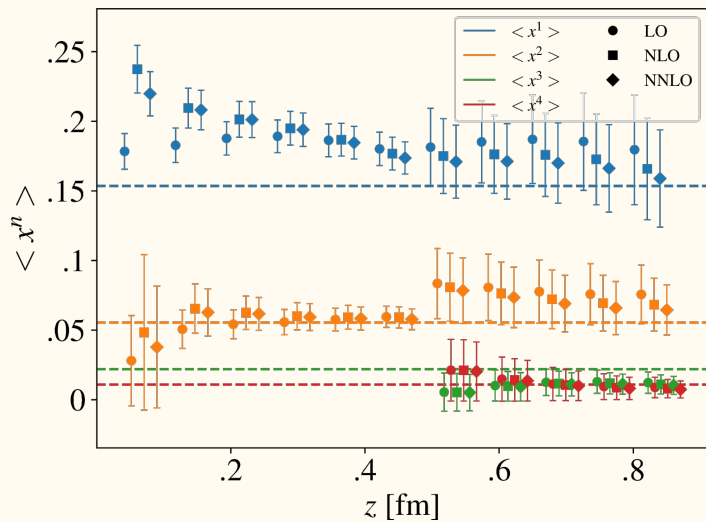


# Lowest moments from leading-twist OPE

The lowest few moments can be extracted from the rITD by fits to

$$\mathcal{M}(\lambda, z^2; \lambda^0 \equiv zP_z^0) = \frac{\sum_{n=0} c_n(\mu^2 z^2) \frac{(-i\lambda)^n}{n!} \langle x^n \rangle(\mu) + \mathcal{O}(\Lambda_{\text{QCD}}^2 z^2)}{\sum_{n=0} c_n(\mu^2 z^2) \frac{(-i\lambda^0)^n}{n!} \langle x^n \rangle(\mu) + \mathcal{O}(\Lambda_{\text{QCD}}^2 z^2)}$$

where  $c_n(\mu^2 z^2) \equiv C_n(\mu^2 z^2)/C_0(\mu^2 z^2)$ , and  $C_n(\mu^2 z^2)$  are Wilson coefficients, which have been computed up to next-to-next-leading-order (NNLO)



# Model dependent fits

- Model the PDF  $q_{\text{model}}(x)$  and evaluate the moments  $\langle x^n \rangle_{\text{model}} = \int_0^1 dx x^n q_{\text{model}}(x)$
- Substitute  $\langle x^n \rangle_{\text{model}}$  into leading-twist OPE to obtain  $\mathcal{M}_{\text{model}}(\lambda, z^2; \lambda^0)$
- Fit by minimizing,  
$$\chi^2 = \sum_{P_z > P_z^0}^{P_z^{\max}} \sum_{z_{\min}}^{z_{\max}} \frac{(\mathcal{M}(\lambda, z^2; \lambda^0) - \mathcal{M}_{\text{model}}(\lambda, z^2; \lambda^0))^2}{\sigma^2(z, P_z, P_z^0)}$$
- Real and Imaginary part of rITD related to

$$q^-(x) \equiv q^u(x) - q^d(x) - (q^{\bar{u}}(x) - q^{\bar{d}}(x)), \quad q^+(x) \equiv q^u(x) - q^d(x) + (q^{\bar{u}}(x) - q^{\bar{d}}(x)), \quad x \in [0, 1]$$

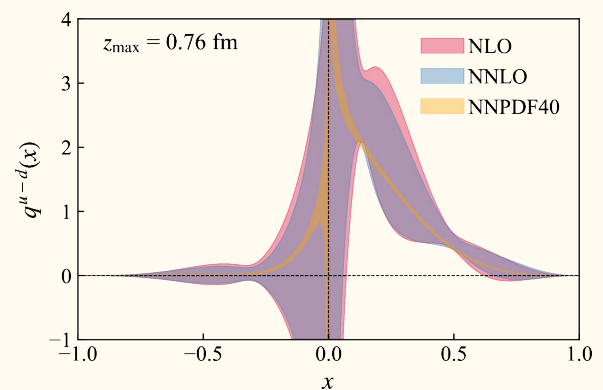
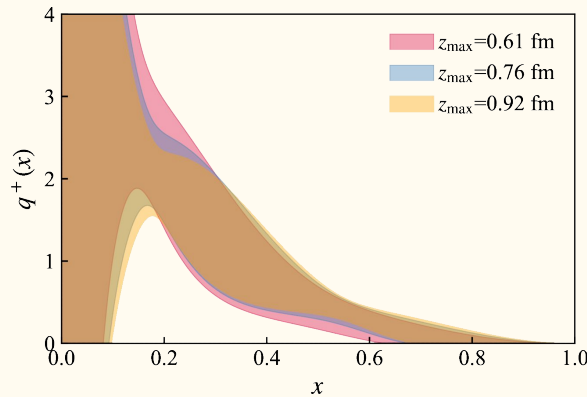
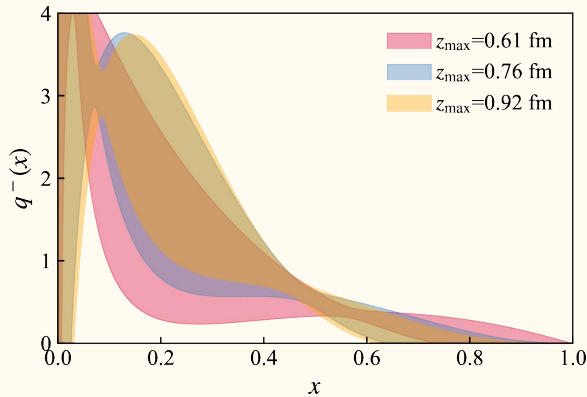
respectively, and can be expressed via a simple model

$$q^-(x; \alpha, \beta) = \frac{\Gamma(2 + \alpha + \beta)}{\Gamma(1 + \alpha)\Gamma(2 + \beta)} x^\alpha (1 - x)^\beta, \quad q^+(x; \alpha, \beta, A) = Ax^\alpha (1 - x)^\beta$$

# Isvector PDF from model fits

- Include all  $P_z$  and  $z \in [2a, z_{\max}]$  for fit
- Use  $q^f(-x) = -q^{\bar{f}}(x)$  to form isovector PDF from

$$q^{u-d}(x) = \begin{cases} \frac{q^-(x)+q^+(x)}{2}, & x > 0 \\ \frac{q^-(-x)-q^+(-x)}{2}, & x < 0 \end{cases}$$



# Deep Neural Network

- Use leading-twist factorization formula

$$h^R(z, P_z, \mu) = \int_{-1}^1 d\alpha \mathcal{C}(\alpha, \mu^2 z^2) \int_{-1}^1 dy e^{-iy\alpha} q(y, \mu)$$

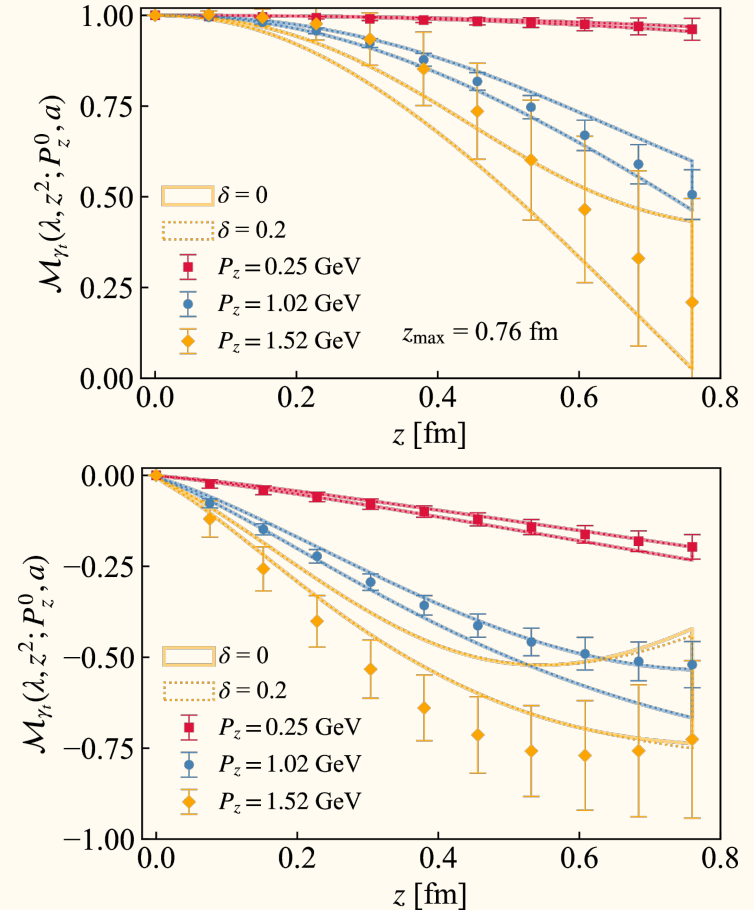
- Consider modifications to model fits with a function represented by a deep neural network

$$q^-(x; \alpha, \beta, \theta) \equiv A^- x^\alpha (1-x)^\beta [1 + \delta \sin(f_{\text{DNN}}^-(x, \theta))],$$

$$q^+(x; \alpha, \beta, A, \theta) \equiv A^+ x^\alpha (1-x)^\beta [1 + \delta \sin(f_{\text{DNN}}^+(x, \theta))].$$

- Minimize loss function

$$J(\theta) \equiv \frac{\eta}{2} \theta \cdot \theta + \frac{1}{2} \chi^2(\theta, \alpha, \beta, \dots)$$



# Hybrid renormalization

- Need full range of  $z$  for Fourier transform
  - Must be careful about renormalization at large  $z$
- Determine  $\delta m$  from static  $q$ - $\bar{q}$  potential, and change scheme to  $\overline{\text{MS}}$  with

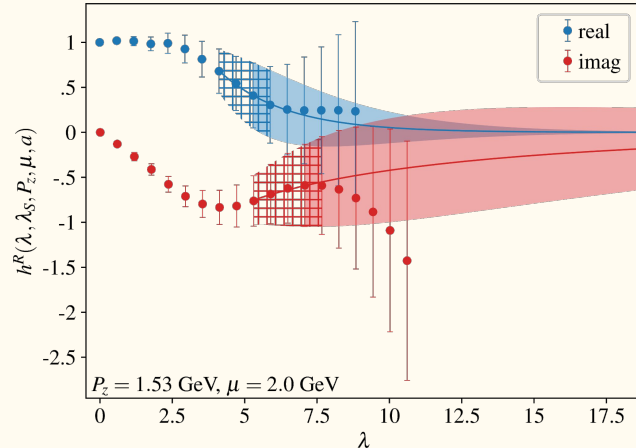
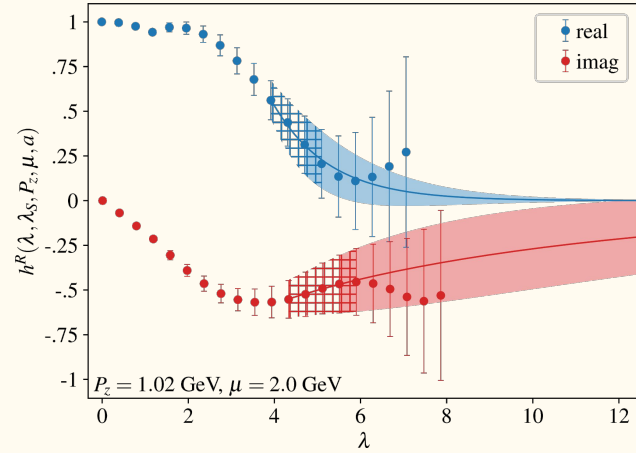
$$e^{\delta m(z-z_0)} \frac{h^B(z, 0, a)}{h^B(z_0, 0, a)} = e^{-\overline{m}_0(z-z_0)} \frac{C_0(\mu^2 z^2) - \Lambda z^2}{C_0(\mu^2 z_0^2) - \Lambda z_0^2}$$

- Ratio scheme at short distances and include Wilson-line mass correction at large distances

$$\tilde{h}^R(z, z_S, P_z, \mu) = \begin{cases} N \frac{h^B(z, P_z, a)}{h^B(z, 0, a)} \frac{C_0(z^2 \mu^2) + \Lambda z^2}{C_0(z^2 \mu^2)}, & z \leq z_S \\ N \frac{h^B(z, P_z, a)}{h^B(z_S, 0, a)} \frac{C_0(z_S^2 \mu^2) + \Lambda z_S^2}{C_0(z_S^2 \mu^2)} e^{\delta m'(z-z_S)}, & z > z_S. \end{cases}$$

- Extrapolate with exponential decay model

$$\frac{Ae^{-m_{\text{eff}}\lambda/P_z}}{|\lambda|^d}$$



# $x$ -space matching

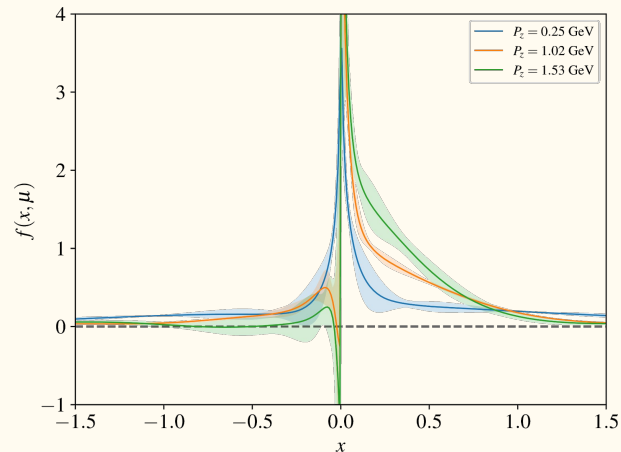
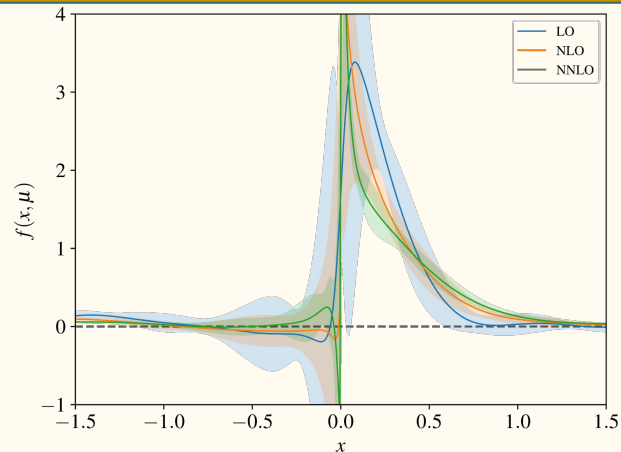
- Obtain quasi-PDF from Fourier transform

$$\tilde{q}^v(x, \lambda_S, P_z, \mu) = \int \frac{dz}{2\pi} e^{ixP_z z} \tilde{h}^R(z, z_S, P_z, \mu)$$

- Match quasi-PDF to PDF

$$q^v(x, \mu) = \int_{-\infty}^{\infty} \frac{dy}{|y|} C^{-1}\left(\frac{x}{y}, \frac{\mu}{yP_z}, |y|\lambda_S\right) \tilde{q}^v(y, \lambda_S, P_z, \mu) + \mathcal{O}\left(\frac{\Lambda_{\text{QCD}}^2}{(xP_z)^2}, \frac{\Lambda_{\text{QCD}}^2}{((1-x)P_z)^2}\right)$$

- Need to estimate valid range of  $x$ 
  - Use DGLAP evolution to remove  $\ln \frac{\mu^2}{4x^2 P_z^2}$ , which breaks down at  $2xP_z \sim \Lambda_{\text{QCD}}$



# Conclusions and Outlooks

- Conclusions

- Excited-state contamination at the physical point can be controlled
- Leading-twist OPE can describe the proton ratio data for  $z \sim 0.8$  fm
  - First four moments extracted
  - $\langle x \rangle$  is above result from NNPDF40
- Some tension between various extraction methods
- Perturbative convergence appears to have been achieved

- Future work/Outlooks

- More statistics and source-sink separations would be helpful
- Larger  $P_z$  would also be useful if possible
- Helicity and transversity distributions

