Development and Applications of the ab initio No-Core Shell Model

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Symposium in Honor of the 75th Anniversary of the Shell Model

Argonne National Lab

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The Overarching Questions

- How did visible matter come into being and how does it evolve?
- How does subatomic matter organize itself and what phenomena emerge?
- Are the fundamental interactions that are basic to the structure of matter fully understood?
- How can the knowledge and technological progress provided by nuclear physics best be used to benefit society?
 - NRC Decadal Study

The Time Scale

- Protons and neutrons formed 10⁻⁶ to 1 second after Big Bang (13.7 billion years ago)
- H, D, He, Li, Be, B formed 3-20 minutes after Big Bang
- Other elements born over the next 13.7 billion years





SciDAC/NUCLEI: https://nuclei.mps.ohio-state.edu/

Effective Nucleon Interaction Chiral Perturbation Theory (**XPT**)

Weinberg's χ PT allows for controlled power series expansion



R. Machleidt and D.R. Entem, Phys. Rep. 503, 1 (2011); E. Epelbaum, H. Krebs, U.-G Meissner, Eur. Phys. J. A51, 53 (2015); Phys. Rev. Lett. 115, 122301 (2015)

For our goal: ab initio structure and reactions of rare isotopes

Having introduced realistic NN+NNN interactions, we note that they act over momentum scales that may be too large to be accommodated within a practical (truncated) many-body basis with present-day supercomputers so that some form of softening or renormalization may be required to achieve better convergence.

Options include:

- A. Okubo-Lee-Suzuki (OLS) employed here
- B. Similarity Renormalization Group (SRG) employed here
- C. V_{lowk} S. Bogner, T.T.S. Kuo, L. Corragio, and N. Itaco, Phys. Rev. C 65, 051301(R) (2002)
- D. Unitary Correlation Operator Method (UCOM)- H. Feldmeier, T. Neff, R. Roth and J. Schnack, Nucl. Phys. A632, 61 (1998)
- E. Brueckner G-matrix P.J. Ellis and E. Osnes, Rev. Mod. Phys. 49, 777 (1977); J.P. Vary, Proceedings of the Predeal International Summer School: Structure and Stability of Nucleon and Nuclear Systems, A.A. Raduta, S. Stoica and I.I. Ursu, Editors. Predeal, Romania (World Scientific Press, Singapore, 1999) p. 70.

No Core Shell Model (NCSM)

A large sparse matrix eigenvalue problem

$$H = T_{rel} + V_{NN} + V_{3N} + \bullet \bullet \bullet$$
$$H |\Psi_i\rangle = E_i |\Psi_i\rangle$$
$$|\Psi_i\rangle = \sum_{n=0}^{\infty} A_n^i |\Phi_n\rangle$$
Diagonalize $\{\langle \Phi_m | H | \Phi_n \rangle\}$

P. Navratil, J. P. Vary and B.R. Barrett, *Phys. Rev. Lett.* **84**, 5728 (2000); *Phys. Rev. C* **62**, 054311 (2000)

- Adopt realistic NN (and NNN) interaction(s) & renormalize as needed retain induced many-body interactions: Chiral Effective Field Theory (Chiral EFT) interactions
- Adopt the 3-D Harmonic Oscillator (HO) for the single-nucleon basis states, α , β ,...
- Evaluate the nuclear Hamiltonian, H, in basis space of HO (Slater) determinants (each determinant manages the bookkeeping of anti-symmetrization)
- Diagonalize this sparse many-body H in its "m-scheme" basis where $[\alpha = (n,l,j,m_j,\tau_z)]$

HO basis space (configurations) $\begin{bmatrix}
|\Phi_n\rangle = [a_{\alpha}^+ \bullet \bullet \bullet a_{\varsigma}^+]_n |0\rangle \\
n = 1, 2, ..., 10^{10} \text{ or more!}$

Evaluate observables and compare with experiment

Comments

- Computationally demanding => needs new algorithms & high-performance computers
- Requires convergence assessments and extrapolation tools to retain predictive power
- Achievable for nuclei up to atomic number of about 20 with largest computers available



<u>Challenge</u> Exponential increase in Matrix Dimension (D)



Opportunities

- Memory/cpu time grows only as D^{3/2}
- > Algorithm development (SciDAC funding)
- > Exaflop machines now available (DOE/INCITE competitive awards)
- Improved understanding of Chiral EFT
- Developing methods for extrapolating D->inf (N_{max}->inf)

ab initio NCSM *with* χ_{EFT} *Interactions*

NNN interactions produce correct ¹⁰B ground state spin and overall spectral improvements



c_D = -1

P. Navratil, V.G. Gueorguiev, J. P. Vary, W. E. Ormand and A. Nogga, Phys Rev Lett 99, 042501(2007); ArXiV: nucl-th 0701038.

DOE/ASCR/NP – Computational Science Highlight

Objectives

Impact

- Apply *ab initio* microscopic nuclear theory's predictive power to major test case
- Deliver robust predictions important for improved energy sources
- Provide important guidance for DOE-supported experiments
- Compare with new experiment to improve theory of strong interactions

P. Maris, A.M. Shirokov, and J.P. Vary, Phys. Rev. C 81, 021301(R), <u>Feb. 2010</u>, uses JISP16 to predict ¹⁴F lives a short time in specified states (the "Ab-initio" ladder in the red oval) and decays to Oxygen-13 by emitting a proton.



 $^{13}O + p \rightarrow ^{14}F^* \rightarrow ^{13}O + p$

Experiment validates our published predictions!

V.Z. Goldberg et al., "First observation of 14F", Phys. Lett. B 692, 307 (<u>August 2010</u>).



Progress

- Dimension of matrix solved for 14 lowest states ~ 2x10⁹
- Solution takes ~ 2.5 hours on 30,000 cores (Cray XT4 Jaguar at ORNL)
- P. Maris, M. Sosonkina, J. P. Vary, E. G. Ng and C. Yang, "Scaling of ab-initio nuclear physics calculations on multicore computer architectures", 2010 Intern. Conf. on Computer Science, Procedia Computer Science 1, 97, May 2010.







PRL 106, 202502 (2011)

PHYSICAL REVIEW LETTERS

Origin of the Anomalous Long Lifetime of ¹⁴C

P. Maris,¹ J. P. Vary,¹ P. Navrátil,^{2,3} W. E. Ormand,^{3,4} H. Nam,⁵ and D. J. Dean⁵



- Solves the puzzle of the long but useful lifetime of ¹⁴C
- Establishes a major role for strong 3-nucleon forces in nuclei
- Strengthens foundation for guiding experiments



Editors' Suggestion

Light nuclei with semilocal momentum-space regularized chiral interactions up to third order

P. Maris,^{1,*} E. Epelbaum,² R. J. Furnstahl,³ J. Golak,⁴ K. Hebeler,^{5,6} T. Hüther,⁵ H. Kamada,⁷ H. Krebs,² Ulf-G. Meißner,^{8,9,10}

J. A. Melendez,³ A. Nogga,⁹ P. Reinert,² R. Roth,⁵ R. Skibiński,⁴ V. Soloviov,⁴ K. Topolnicki,⁴

J. P. Vary,¹ Yu. Volkotrub,⁴ H. Witała,⁴ and T. Wolfgruber⁵



The LENPIC team: www.lenpic.org

FIG. 8. Calculated ground-state energies in MeV using chiral NLO, and N²LO interactions at $\Lambda = 450$ MeV (blue and green symbols) in comparison with experimental values (red levels). For each nucleus the NLO, and N²LO results are the left and right symbols and bars, respectively. The open blue symbols correspond to incomplete calculations at N²LO using NN-only interactions. Blue and green error bars indicate the NCCI extrapolation uncertainty. All results shown are for $\alpha = 0.08$ fm⁴. The light (coral) and dark (gray) shaded bars indicate the 95% and 68% DoB truncation errors, respectively, estimated using the Bayesian model $\bar{C}_{0.5-10}^{650}$ (at NLO we only show the 68% DoB truncation errors because the 95% errors would be off one or even both ends of the scale).

U.S. DEPARTMENT OF Office of Science

Excitation energies from effective field **I** theory with quantified uncertainties



⁶He $E_x(2^+, 1)$ Theory minus ⁶Li $E_x(3^+, 0)$ experiment ⁷Li $E_x(\frac{1}{2}^-, \frac{1}{2})$ for selected ⁷Li $E_x(\frac{7}{2}^-, \frac{1}{2})$ excitation ⁷Li $E_x(\frac{5}{2}^-, \frac{1}{2})$ energies ⁷Li $E_x(\frac{5}{2}^-, \frac{1}{2})$ No data ⁸Li $E_x(0^+, 1)$ ⁸Li $E_x(1^+, 1)$ ⁸Li $E_x(3^+, 1)$ **Bayesian** 95% ⁸Li $E_x(4^+, 1)$ ¹⁰Be $E_x(2^+, 1)$ intervals for two forces ¹⁰Be $E_x(2^+, 1)$ ${}^{10}\mathrm{B} \ E_x(1^+,0)$ (blue & red) ¹⁰B $E_x(1^+, 0)$ ¹⁰B $E_x(2^+, 0)$ ¹⁰B $E_x(3^+, 0)$ ¹²B $E_x(2^+, 1)$ ¹²B $E_x(0^+, 1)$ ¹²B $E_x(2^+, 1)$ Check if ≈95% ¹²B $E_x(1^+, 1)$ of bars ¹²B $E_x(3^+, 1)$ overlap zero ¹²C $E_x(2^+, 0)$ ${}^{12}\mathrm{C} \ E_x(1^+,0)$ ¹²C $E_x(4^+, 0)$ -3-2 $^{-1}$ 0 $\mathbf{2}$ $X_{\rm th} - X_{\rm exp} \, [{\rm MeV}]$

Predict properties of ground and excited states of light nuclei with robust theoretical error estimates. Test consistent LENPIC chiral effective field theory (EFT) interactions with 2- and 3-nucleon forces. Extend and test a Bayesian statistical model that learns from the order-by-order EFT convergence pattern to account for correlated excitations. *Impact* First test of novel chiral nucleon-nucleon potentials with consistent three-nucleon forces. Demonstrates understanding of theoretical

- Demonstrates understanding of theoretical uncertainties due to chiral EFT expansion.
- Accounting for correlations produces agreement with experimental excitation energies (see figure).

Objectives

• Exceptions in ¹²C and ¹²B indicate different theoretical correlations in the nuclear structure.

Accomplishments P. Maris et al, Phys. Rev. C **103**, 054001 (2021); Editors' Suggestion; arXiv: 2012.12396 [nucl-th]

Binding Energies with LENPIC-SMS chiral EFT



P. Maris, H. Le, A. Nogga, R. Roth, J.P. Vary Front. Phys. 11, 1098262 (2023)

- ► NN potential up to N⁴LO⁺
- 3NFs at N²LO
- SRG evolved to $\alpha = 0.08 \text{ fm}^4$
- LECs fitted to
 - NN scattering data
 - ³H binding energy
 - Nd scattering
- Parameter-free predictions
- Error bars
 - numerical uncertainty
 - chiral EFT uncertainty from Bayesian analysis

Daejeon16 NN interaction

Based on SRG evolution of Entem-Machleidt "500" chiral N3LO to $\lambda = 1.5 \text{ fm}^{-1}$ followed by Phase-Equivalent Transformations (PETs) to fit selected properties of light nuclei.

A.M. Shirokov, I.J. Shin, Y. Kim, M. Sosonkina, P. Maris and J.P. Vary, "N3LO NN interaction adjusted to light nuclei in ab exitu approach," Phys. Letts. B 761, 87 (2016); arXiv: 1605.00413

Application to excited states of p-shell nuclei



(Maris, Shin, Vary, in preparation)

- difference of extrapolated E_b
- extrapolation uncertainties: max of E_b uncertainties
- good agreement with positive and negative parity spectra
- need large bases for 'intruder' and 'non-normal parity' states
- spectrum ¹⁰B
 - correct gs 3⁺ and excited 1⁺
 - third 1⁺ 'intruder' state
- excited 0⁺ state in ¹²C
 - Hoyle state?
 - see MCNCSM results below



General idea of the HORSE formalism

"Harmonic Oscillator Representation of Scattering Equations"

Infinite set of algebraic equations in HO basis of relative motion: $\sum_{n'=0}^{N} \left(T_{nn'}^{l} + V_{nn'}^{l} - \delta_{nn'} E \right) a_{n'l}(E) = 0. \qquad n \le N - 1$ T + VMatching condition at n = N $\sum_{n=1}^{N} \left(T_{Nn'}^{l} + V_{Nn'}^{l} - \delta_{Nn'}E \right) a_{n'l}(E) + T_{N,N+1}^{l}a_{N+1,l}(E) = 0. \quad n \le N-1$ Then for n > N+1NCSM with: $\lambda(N,\hbar\Omega) \& \Lambda(N,\hbar\Omega)$ $\sum_{n'=0} \left(T_{nn'}^{l} - \delta_{nn'} E \right) a_{n'l}(E) = 0, \text{ which produces:}$ $T_{n,n-1}^{l}a_{n-1,l}(E) + (T_{nn}^{l} - E)a_{nl}(E) + T_{n,n+1}^{l}a_{n+1,l}(E) = 0.$ "think outside the box"=>TArises as a natural extension of NCSM where both potential and This is an exactly kinetic energies are truncated solvable algebraic problem!

Single-State HORSE (SS-HORSE)

 E_{λ} are (obtained from) eigenvalues of the NCSM (for given $\hbar\Omega$ and N_{max}). Once a scattering channel is defined (sets the continuum energy scale) the phase shift is calculated. Analog of Lüscher's method for a plane-wave basis.

A.M. Shirokov, A.I. Mazur, I.A. Mazur and J.P. Vary, PRC 94, 064320 (2016); arXiv:1608.05885



Tetraneutron discovery confirms prediction



Objectives

- Ab initio nuclear theory aims for parameter-free predictions of nuclear properties with controlled uncertainties using supercomputer simulations
- Specific goal is to predict if the tetraneutron (4-neutron system) has a bound state, a low-lying resonance or neither



Experiment and theory for the tetraneutron's resonance energy and width. *Ab initio* No-Core Shell Model (NCSM) and Gamow Shell Model (GSM) predictions use different neutron-neutron interactions and different basis function techniques.

Impact

- Discovery in 2022 announced in Nature [1] confirms *ab initio* theory predictions from 2016 [2] of a short-lived tetraneutron resonance at low energy and the absence of a tetraneutron bound state
- Demonstrates the predictive power of *ab initio* nuclear theory since theory and experiment are within their combined uncertainties
- Sets stage for further experimental and theoretical research on new states of matter formed only of neutrons
- Shows need to anticipate a long wait time for experimental confirmation of such an exotic phenomena, ~ 6 years in this case
- Emphasizes the value of DOE supercomputer allocations (NERSC) and support for multi-disciplinary teamwork (SciDAC/NUCLEI)

Accomplishments

[1] M. Duer, et al., Nature 606, 678 (2022)

[2] A.M. Shirokov, G. Papadimitriou, A.I. Mazur, I.A. Mazur, R. Roth and J.P. Vary, "Prediction for a four-neutron resonance," Phys. Rev. Lett. 117, 182502 (2016)

3n Results with Daejeon16 NN interaction



I.A. Mazur, M. K. Efimenko, A. I. Mazur, I. J. Shin, V. A. Kulikov, A. M. Shirokov and J. P. Vary, PRC (to appear); arXiv: 2403.18232



Alpha clusters in Carbon-12 from ab initio theory & statistical learning



Olvertiner	Impact
• <i>Ab initio</i> nuclear theory aims for parameter-free predictions	 Ground state found to have 6% alpha clustering while Hoyle state discovered to be 3-alphas 61% of the time
using supercomputer simulations	• With this high percentage of 3-alphas, the Hoyle state is
• Specfic goal is to determine extent of alpha clustering in the Ground state and the Hoyle state of Carbon-12 $\binom{12}{12}$	confirmed as a natural gateway state for the cosmic formation of ¹² C, the key element for organic life
	• Statistical learning confirms 3-alpha feature of Hoyle state

Ab initio Monte-Carlo Shell Model results for density contours of 12C Ground state and first excited 0⁺ (Hoyle) state using the Daejeon16 two-nucleon potential. Simulations were performed on Fugaku in Japan, the world's largest supercomputer at the time.



Accomplishments

T. Otsuka, T. Abe, T. Yoshida, Y. Tsunoda, N. Shimizu, N. Itagaki, Y. Utsuno, J. Vary, P. Maris and H. Ueno, "Alpha-Clustering in Atomic Nuclei from First Principles with Statistical Learning and the Hoyle State Character," Nature Communications 13:2234 (2022)

 $\frac{B(E2)}{Q_p^2} = \frac{\langle J_f || \sum_{i \in p} r_i^2 Y_2(\hat{r}_i) || J_i \rangle^2}{\langle J_i || \sum_{i \in p} r_i^2 Y_2(\hat{r}_i) || J_i \rangle^2},$

Ratios of observables converge better He Li, et al., arXiv: 2401.05776



 $\frac{M_n}{M_p} = \frac{\langle J_f || \sum_{i \in n} r_i^2 Y_2(\hat{r}_i) || J_i \rangle}{\langle J_f || \sum_{i \in p} r_i^2 Y_2(\hat{r}_i) || J_i \rangle},$

Ratios of observables and ratios of ratios converge better He Li, et al., arXiv: 2401.0577





Ground state occupation fractions of protons (neutrons) in low-lying single particle states in ¹²C, ¹⁴C, and ¹⁶O. The NCSM calculations performed in a harmonic oscillator basis using the Daejeon16 *NN* interaction with N_{max} = 10 and $\hbar\Omega$ = 17.5 MeV. We present uncertainties where the lowest (highest) point indicates the minimal (maximal) occupation fraction value in the range from $\hbar\Omega$ = 15 to 20 MeV.

Ab-initio effective interaction from the NCSM

Okubo-Lee-Suzuki (OLS) similarity transformation of the NCSM solution



Flow

- NCSM for ¹⁸F at N_{max}
- H_{eff} for ¹⁸F at N = 0(OLS)
- ¹⁶O at N_{max}
 (core energy)
- ¹⁷O, ¹⁷F at N_{max} (one-body terms)

•
$$\epsilon_j, \langle ij | V_{\rm eff} | kl
angle_{JT}$$

Okubo, Progr. Theor. Phys. 12 (1954); Suzuki, Lee, Prog. Theor. Phys. 68 (1980) Dikmen, Lisetskiy, Barrett, Maris, Shirokov, Vary, PRC91, 064301 (2015) Vary, Basili, Du, Lockner, Maris, Pal, Sarker, PRC98, 065502 (2018) Smirnova, Barrett, Kim, Shin, Shirokov, Dikmen, Maris, Vary, PRC100, 054329 (2019) Shin, Smirnova, Shirokov, Yang, Barrett, Li, Kim, Maris and Vary, arXiv: 2306.17289

Binding energies of O-isotopes

rms(DJ16-6) \approx 3671 keV; rms(DJ16B) \approx 235 keV; rms(USDB) \approx 467 keV



Full NCSM E2 and M1 reduced transition matrix elements vs valence space results with effective charges derived from A=17 NCSM calculations.

Daejeon 16 in Nmax = 4 space with hw = 14 MeV



Zhen Li, N.A. Smirnova, A.M. Shirokov, I.J. Shin, B.R. Barrett, P. Maris and J.P. Vary, Arima Memorial Volume, World Scientific Publishing, Singapore; arXiv: 2205.15939

Scattering with the time-dependent basis function (tBF) approach



- Natural extension of the NCSM
- Non perturbative
- Ab initio
- Full quantal coherence
- Weijie Du, Peng Yin, Yang Li, Guangyao Chen, Wei Zuo, Xingbo Zhao, and James P. Vary, Phys. Rev. C 97, 064620 (2018);
- Weijie Du, Peng Yin, Guangyao Chen, Xingbo Zhao, and James P. Vary, in Proceedings of the International Conference "Nuclear Theory in the Supercomputing Era–2016" (NTSE-2016), Khabarovsk, Russia, September 19–23, 2016.

 $V_{I}(t)$

Peng Yin, Weijie Du, Wei Zuo, Xingbo Zhao and James P. Vary, J. Phys. G Nucl. Part. Phys. 49, 125102 (2022);& 2208.00267

Deuteron ground state and scattering states



- Deuteron spectrum below E_{cut}=14 MeV, calculated by LENPIC-N4LO
- The deuteron ground state is well converged at large N_{max} and consistent with the experimental value;
- As the basis truncation increases, the discretized continuum states become increasingly dense; low-lying states tend to zero

d+²⁰⁸Pb scattering below Coulomb barrier tBF with no adjustable parameters



- Scattering states of np system: LENPIC N4LO in 3DHO basis with large N_{max}
- Rutherford + polarization potential trajectory of CM
- Scattering basis space: coherent superposition of hundreds of states
- E1 transition included; M1 transitions found to be very weak in comparison

Peng Yin, Weijie Du, Wei Zuo, Xingbo Zhao and James P. Vary, J. Phys. G Nucl. Part. Phys. 49 125102 (2022); Peng Yin et al., arXiv: 2208.00267

What lies ahead for nuclear theory across energy scales?

- Need for increased theory effort at deriving and validating EFTs Expand multi-disciplinary and multi-national collaborative efforts
- Need for enhanced computational power to greatly expand basis spaces Artificial Intelligence and/or Quantum Computing can be keys to progress

Deep Learning for Nuclear Binding Energy and Radius

Scientific Achievement

- Developed artificial neural networks (ANNs) for extending the application range of the *ab initio* No-Core Shell Model (NCSM)
- Demonstrated predictive power of ANNs for converged solutions of weakly converging simulations of the nuclear radius
- Provided a new paradigm for matching deep learning with results from high performance computing simulations

Number of ANN

Significance and Impact

- Guides experimental programs at DOE's rare isotope facilities
- Extends the predictive power of *ab initio* nuclear theory beyond the reach of current high performance computing simulations
- Establishes foundation for deep learning tools in nuclear theory useful for a wide range of applications



Neural network **(above)** used to successfully extrapolate the ⁶Li ground state energy and rms radius from modest basis spaces (N_{max} datasets) to extreme basis spaces achieving basis parameter independence (histograms of extrapolation ensembles in **right figure**).



Research Details

- Develop ANNs that extend the reach of high performance computing simulations of nuclei
- Predict properties of nuclei based on *ab initio* structure calculations in achievable basis spaces
- Produce accurate predictions of nuclear properties with quantified uncertainties using fundamental inter-nucleon interactions such as Daejeon16

Ref: G. A. Negoita, et al., Phys. Rev. C **99**, 054308 (2019); https://journals.aps.org/prc/pdf/10.1103/PhysRevC.99.054308

Initial application to the ⁶Li ground state quadrupole moment "Best in Class"



0.3 r





ANN predictions for N_{max} = 20, 25, . . . 65, 70 shown in all graphs

Converged sequence of ANN predictions: $Q = 0.157(2) e \text{ fm}^2$

M. Lockner, R. McCarty, et al., in preparation

tBF on Quantum Computers Demonstration case: Coulomb excitation of deuterium by peripheral scattering on a heavy ion



- H₀: Target (deuteron in trap) Hamiltonian
- φ: Coulomb field from heavy ion (U⁹²⁺) sensed by target
- ρ: Charge density distribution of target
- Limited to 7 deuteron states

Previously solved with tBF: Weijie Du et al., Phys. Rev. C 97, 064620 (2018)

Transition probabilities and observables



Weijie Du, James P. Vary, Xingbo Zhao and Wei Zuo, , Phys. Rev. A 104, 012611 (2021)

Many outstanding nuclear physics puzzles and discoveries remain

Origin of the successful nuclear shell model Clustering phenomena Nuclear reactions and breakup Astrophysical processes & drip lines **Precision Nuclear Theory as a window on Physics beyond the Standard Model**

A comprehensive program of theory vs experiment needed to maximize discovery potential Thank you for your attention I welcome your questions