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## Deep-inelastic scattering and Drell-Yan process

 for the 3D structure of the nucleonT.-A. Shibata Nihon Univ./Tokyo Tech

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## 1. Deep-inelastic scattering and Drell-Yan process

1.1 Deep-inelastic scattering


Total absorption cross section of a virtual photon $\propto$ Imaginary part of forward Compton scattering



$$
\begin{aligned}
s & =(k+P)^{2} \quad \text { center of mass energy squared } \\
q & =k-k^{\prime} \quad \text { momentum transfer } \\
t & =q^{2}=\left(k-k^{\prime}\right)^{2} \equiv-Q^{2} \\
\nu & =\frac{P q}{M} \\
x & =\frac{Q^{2}}{2 P q}=\frac{Q^{2}}{2 M \nu} \quad \text { Bjorken } x \quad 0 \leq x \leq 1 \\
y & =\frac{P q}{P k}
\end{aligned}
$$

$$
\begin{aligned}
W^{2} & =(P+q)^{2}=M^{2}+2 P q+q^{2}=M^{2}+2 M \nu-Q^{2} \\
& =M^{2}+2 M \nu(1-x), \\
x & \leq 1, \\
x & =1 \leftrightarrow W=M \quad \text { elastic scattering }
\end{aligned}
$$

Only 3 out of $s, t, \nu, x, y, W^{2}$ are independent parameters.
Comparison: Only 2 out of $s, t, u$ are independent parameters in two body scattering when the masses are known.
$s+t+u=\sum_{i} m_{i}^{2} c^{4}$.

$$
\begin{aligned}
k^{2} & =k^{\prime 2}=m_{e}^{2} \approx 0, \quad E \approx|\vec{k}|, \quad E^{\prime} \approx|\vec{k}|, \\
t & =\left(k-k^{\prime}\right)^{2}=k^{2}+k^{\prime 2}-2 k k^{\prime} \approx-2 k k^{\prime}, \\
Q^{2} & =-t \approx 2 k k^{\prime}=2\left(E E^{\prime}-\vec{k} \cdot \overrightarrow{k^{\prime}}\right) \approx 4 E E^{\prime} \sin ^{2} \frac{\theta}{2} .
\end{aligned}
$$

In the frame where the initial nucleon is at rest, $P=(M, 0,0,0)$.

$$
\begin{aligned}
\nu & =\frac{P q}{M}=E-E^{\prime} \quad \text { energy transfer } \\
y & =\frac{P q}{P k}=\frac{E-E^{\prime}}{E} \quad \text { fraction of energy transfer }
\end{aligned}
$$

$$
\nu>0 \quad \rightarrow \quad x=\frac{Q^{2}}{2 M \nu}>0
$$

In the frame where the nucleon is moving very fast, $E \approx|\vec{P}|$, $x$ can be interpreted to be the momentum fraction of the parton in the nucleon.

Cross section and Structure functions

$$
\frac{d^{2} \sigma}{d E^{\prime} d \Omega}=\left(\frac{d \sigma}{d \Omega}\right)_{\mathrm{Mott}} \cdot\left[\frac{F_{2}\left(x, Q^{2}\right)}{\nu}+2 \frac{F_{1}\left(x, Q^{2}\right)}{M c^{2}} \tan ^{2} \frac{\theta}{2}\right]
$$

The differential cross section can be converted by Jacobian determinant:

$$
\begin{gathered}
\frac{d^{2} \sigma}{d E^{\prime} d \Omega}=\frac{d^{2} \sigma}{d E^{\prime} d \cos \theta d \phi}=\frac{d^{2} \sigma}{d x d y d \phi} \cdot \frac{E^{\prime}}{M \nu} . \\
\frac{d^{2} \sigma}{d x d y d \phi}=\frac{d^{2} \sigma}{d x d Q^{2} d \phi} \cdot \frac{Q^{2}}{y} .
\end{gathered}
$$

Using the quark-parton model, in the lowest order, parton distribution functions

$$
F_{2}\left(x, Q^{2}\right)=x \cdot\left[e_{u}^{2}(u+\bar{u})+e_{d}^{2}(d+\bar{d})+e_{s}^{2}(s+\bar{s})+\ldots\right]
$$

$e_{u}, e_{d}, e_{s}$ : electric charges of quarks
1.2 Drell-Yan process
$A+B \rightarrow \mu^{+}+\mu^{-}+X$


$$
\begin{gathered}
\frac{d^{2} \sigma}{d x_{1} d x_{2}}=\frac{4 \pi \alpha^{2}}{9 x_{1} x_{2} s} \sum_{i=u, d, s} e_{i}^{2}\left[q_{i}^{A}\left(x_{1}\right) \bar{q}_{i}^{B}\left(x_{2}\right)+\bar{q}_{i}^{A}\left(x_{1}\right) q_{i}^{B}\left(x_{2}\right)\right] \\
x_{1}=\frac{P_{2} Q}{P_{2} P}, \quad x_{2}=\frac{P_{1} Q}{P_{1} P} \\
P=P_{1}+P_{2}, \quad Q=p_{\mu+}+p_{\mu_{-}}=p_{q_{i}}+p_{\bar{q}_{i}} .
\end{gathered}
$$

$$
x_{1}=\frac{P_{2} Q}{P_{2} P}=\frac{P_{2}\left(p_{q_{i}}+p_{\bar{q}_{i}}\right)}{P_{2}\left(P_{1}+P_{2}\right)}, \quad x_{2}=\ldots
$$

Deep-inelastic scattering
$e_{i}^{2}\left[q_{i}(x)+\bar{q}_{i}(x)\right]$

Drell-Yan process

$$
e_{i}^{2}\left[q_{i}^{A}\left(x_{1}\right) q_{i}^{B}\left(x_{2}\right)+\bar{q}_{i}^{A}\left(x_{1}\right) q_{i}^{B}\left(x_{2}\right)\right]
$$

Combined analysis is most effective.

## 2. Helicity structure of the nucleon

Longitudinally polarized deep-inelastic scattering


EMC

$$
\begin{array}{ll}
\mu+p, & A_{L L}=\frac{\sigma^{\uparrow \downarrow}-\sigma^{\uparrow \uparrow}}{\sigma^{\uparrow \downarrow}+\sigma^{\uparrow \uparrow}} \approx D A_{1}, \\
\gamma^{*}+p, & A_{1}=\frac{\sigma_{1 / 2}-\sigma_{3 / 2}}{\sigma_{1 / 2}+\sigma_{3 / 2}} \approx \frac{g_{1}(x)}{F_{1}(x)}
\end{array}
$$

## $E_{\mu}=100,120,200 \mathrm{GeV}$



EMC

$$
\begin{aligned}
& g_{1}(x)= \frac{1}{2} \sum_{i} e_{i}^{2}\left(q_{i}^{\uparrow}(x)-q_{i}^{\downarrow}(x)\right) \\
& \Delta u-\Delta d \quad \text { neutron beta decay } \\
& \Delta u+\Delta d-2 \Delta s \quad \text { hyperon weak decay } \\
& \Delta \Sigma=\Delta u+\Delta d+\Delta s=0.12 \pm 0.09 \pm 0.14 \\
& \Delta u \equiv \int_{0}^{1} d x\left(u^{\uparrow}(x)-u^{\downarrow}(x)+\bar{u}^{\uparrow}(x)-\bar{u}^{\downarrow}(x)\right), \ldots
\end{aligned}
$$

The contibution of spin of quarks and anti-quarks to the proton spin is $(12 \pm 9 \pm 14) \%$. EMC, Nucl. Phys. B 328 (1989) 1.

$$
\begin{aligned}
& \frac{1}{2}=\frac{1}{2} \Delta \Sigma+L_{q}+\Delta G+L_{g} \\
& \frac{1}{2}=\frac{1}{2} \Delta \Sigma+L_{q}+J_{g}
\end{aligned}
$$

The contibution of spin of quarks and anti-quarks to the proton spin is only $(12 \pm 9 \pm 14) \%$.

Contributions of spins of valence quarks are also small.
Then, what are the roles of valence quarks?
Quantum numbers of the proton are determined by the valence quarks !?

Sea quarks may contribute to determine the quantum numbers of the proton.

Search for contributions of orbital angular momenta, $L_{q}, L_{G}$
Search for contributions of gluon spin, $\Delta G$

- deep-inelastic scattering, polarized proton-proton colliders.

Evaluation of $\Delta \Sigma$ from DIS on polarized deuteron.
Integral of $g_{1}^{\mathrm{d}}\left(x, Q^{2}\right)$

$$
\begin{aligned}
& \left(\frac{4}{9} \Delta u+\frac{1}{9} \Delta d+\frac{1}{9} \Delta s\right)_{\mathrm{p}}+\left(\frac{4}{9} \Delta u+\frac{1}{9} \Delta d+\frac{1}{9} \Delta s\right)_{\mathrm{n}} \\
& \longrightarrow \frac{5}{9}\left(\Delta u+\Delta d+\frac{2}{5} \Delta s\right)_{\mathrm{p}}
\end{aligned}
$$

After a correction for $\Delta s, \Delta \Sigma$ is obtained. HERMES at DESY, Phys. Rev. D 75 (2007) 012007 $0.33 \pm 0.039$ at $Q^{2}=5 \mathrm{GeV}^{2}, 0.05<x<1$,
COMPASS at CERN,Phys. Lett. B 647 (2007) 8
$0.35 \pm 0.03 \pm 0.05$ at $Q^{2}=3 \mathrm{GeV}^{2}, 0.004<x<0.7$,
to be compared to EMC $0.12 \pm 0.09 \pm 0.14$.
The contribution of spins of quarks and anti-quarks to the proton spin is about $\frac{1}{3}$.

The wave function of the proton in the simplest quark model

No orbital angular momentum, $\quad \ell=0$
No sea quarks
No strange quarks
No anti-quarks
The wave function of the nucleon is expressed as

$$
\psi=\xi_{\text {space }} \cdot \eta_{\text {flavor }} \cdot \chi_{\text {spin }} \cdot \phi_{\text {color }}
$$

Quarks are Fermi particles. The wave function changes its sign when any two quarks are exchanged.
$\phi_{\text {color }}$ is anti-symmetric.
$\xi_{\text {space }}$ is symmetric as only $\ell=0$ is involved.

As a result, $\eta_{\text {flavor }} \cdot \chi_{\text {spin }}$ is symmetric.
Combination of uud and $\uparrow \uparrow \downarrow$.

$$
\begin{aligned}
\mid p^{\uparrow}>= & \sqrt{\frac{1}{18}}\left(\mid 2 u^{\uparrow} u^{\uparrow} d^{\downarrow}+2 u^{\uparrow} d^{\downarrow} u^{\uparrow}+2 d^{\downarrow} u^{\uparrow} u^{\uparrow}\right. \\
& -u^{\uparrow} u^{\downarrow} d^{\uparrow}-u^{\uparrow} d^{\uparrow} u^{\downarrow}-d^{\uparrow} u^{\downarrow} u^{\uparrow} \\
& \left.-u^{\downarrow} u^{\uparrow} d^{\uparrow}-u^{\downarrow} d^{\uparrow} u^{\uparrow}-d^{\uparrow} u^{\uparrow} u^{\downarrow}>\right) .
\end{aligned}
$$

## Expectation values of the spin operators

Expectation values of $\hat{S}_{z}^{u}, \hat{S}_{z}^{d}$ :

$$
\begin{align*}
& <p^{\uparrow}\left|\hat{S}_{z}^{u}\right| p^{\uparrow}>=\frac{\hbar}{2} \cdot \frac{4}{3}  \tag{1}\\
& <p^{\uparrow}\left|\hat{S}_{z}^{d}\right| p^{\uparrow}>=\frac{\hbar}{2} \cdot\left(-\frac{1}{3}\right) \tag{2}
\end{align*}
$$

The spins of $u$ quarks are parallel to the proton spin on average while the spin of d quark is anti-parallel on average.

The expectation value of $\hat{S}_{z}=\hat{S}_{z}^{u}+\hat{S}_{z}^{d}$ is
$<p^{\uparrow}\left|\hat{S}_{z}\right| p^{\uparrow}>=\frac{\hbar}{2} \cdot\left(\frac{4}{3}-\frac{1}{3}\right)=\frac{\hbar}{2}$.

The proton spin is $100 \%$ carried by the quark spins as is assumed in this model.

The results of the experiments are very different from this.

## 3. Generalized parton distributions

Deeply virtual Compton scattering: $e+N \rightarrow e^{\prime}+\gamma+N$, Hard exclusive meson production: $e+N \rightarrow e^{\prime}+$ meson $+N$. $H(x, \xi, t), E(x, \xi, t), \tilde{H}(x, \xi, t), \tilde{E}(x, \xi, t)$

$$
J_{q, G}=\lim _{t \rightarrow 0} \int d x x \cdot\left[H^{q, G}(x, \xi, t)+E^{q, G}(x, \xi, t)\right]
$$

X.D. Ji, Phys. Rev. Lett. 78610 (1997)


Interference between DVCS and Bethe-Heitler process

(a)

(b)

$$
A_{L U}(\phi)=\frac{d \sigma^{\uparrow}-d \sigma^{\downarrow}}{d \sigma^{\uparrow}+d \sigma^{\downarrow}} \propto \operatorname{Im}(F \cdot H) \sin \phi
$$




Beam-spin asymmetry in DVCS.
HERMES, Phys. Rev. Lett. 87, 182001 (2001)
CLAS, Phys. Rev. Lett. 87, 182002 (2001)
4. Transverse-momentun dependent parton distributions

Sivers function:

$$
\begin{gathered}
f_{q / p^{\uparrow}}\left(x, k_{T}\right)=f_{1}^{q}\left(x, k_{T}^{2}\right)-f_{1 T}^{\perp q}\left(x, k_{T}^{2}\right) \frac{\left(\hat{P} \times k_{T}\right) \cdot S}{M} \\
\Delta^{N} f_{q / p^{\uparrow}}\left(x, k_{T}^{2}\right)=-\frac{2\left|k_{T}\right|}{M} f_{1 T}^{\perp q}\left(x, k_{T}^{2}\right)
\end{gathered}
$$

Boer-Mulders function:

$$
\begin{gathered}
f_{q^{\dagger} / p}\left(x, k_{T}\right)=\frac{1}{2}\left(f_{1}^{q}\left(x, k_{T}^{2}\right)-h_{1}^{\perp q}\left(x, k_{T}^{2}\right) \frac{\left(\hat{P} \times k_{T}\right) \cdot S_{q}}{M}\right) \\
\Delta^{N} f_{q^{\top} / p}\left(x, k_{T}^{2}\right)=-\frac{\left|k_{T}\right|}{M} h_{1}^{\perp q}\left(x, k_{T}^{2}\right)
\end{gathered}
$$

A. Bacchetta et al., Phys. Rev. D70. 117504 (2004)

Single spin asymmetry
$p+p \rightarrow \pi^{ \pm, 0}+X$, The hard scale is determined by $p_{T}$.

DIS The hard scale is determined by $Q^{2} . \quad p_{T}$ can be low. Sivers asymmetry:


HERMES, Phys. Rev. Lett. 94012002 (2005)
5. Summary

- Deep-inelastic scattering and Drell-Yan process are complementary approaches to study the partonic structure of the nucleon.

$$
q(x)+\bar{q}(x) \text { and } q(x) \bar{q}(x)
$$

Combined analyses are most effective.

- After the pioneering works of electron DIS at SLAC, the polarised muon beam of a few hundreds GeV enabled us to extend the kinematic region of the spin experiments, in particular to the low $x$ region.
- The contribution of spins of quarks and anti-quarks to the proton spin is about $1 / 3$.
- The large $x$ region was explored by high intensity electron beams.
- The generalized parton distributions were studied by the inteference between DVCS and Bethe-Heitler process.
- DVCS and HEMP require to confirm that the events are exclusive. The experimental methods have been developped.
- Various ways to access TMD's via single spin asymmetry have been studied.
- Future plans such as EIC are much expected as new steps to extend the studies in this field.

