

Trace anomaly and the $\bar{C}_{q,g}$ gravitational form factors

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The trace anomaly

QCD Lagrangian has scale invariance classically. Broken by quantum anomaly

$$T_{\mu}^{\mu} = \frac{\beta(g)}{2g} F^2 + m(1 + \gamma_m(g)) \bar{q}q$$

Fundamentally important in QCD. Trace anomaly is the origin of hadron masses

$$\langle P | T_{\mu}^{\mu} | P \rangle = 2M^2$$

Anomaly consists of two parts:

Quark condensate ('sigma term')

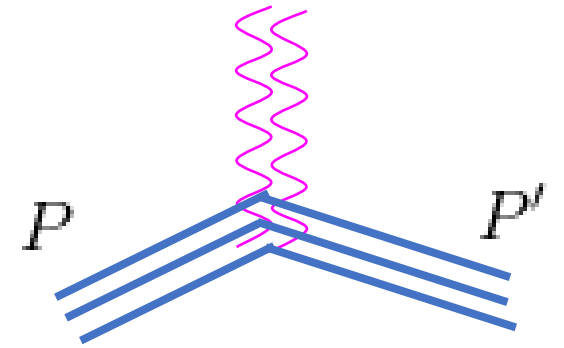
$$\langle P | m \bar{q}q | P \rangle$$

Gluon condensate

$$\langle P | F^{\mu\nu} F_{\mu\nu} | P \rangle$$

Nucleon gravitational form factors

Off-forward matrix element of the QCD **energy momentum tensor**

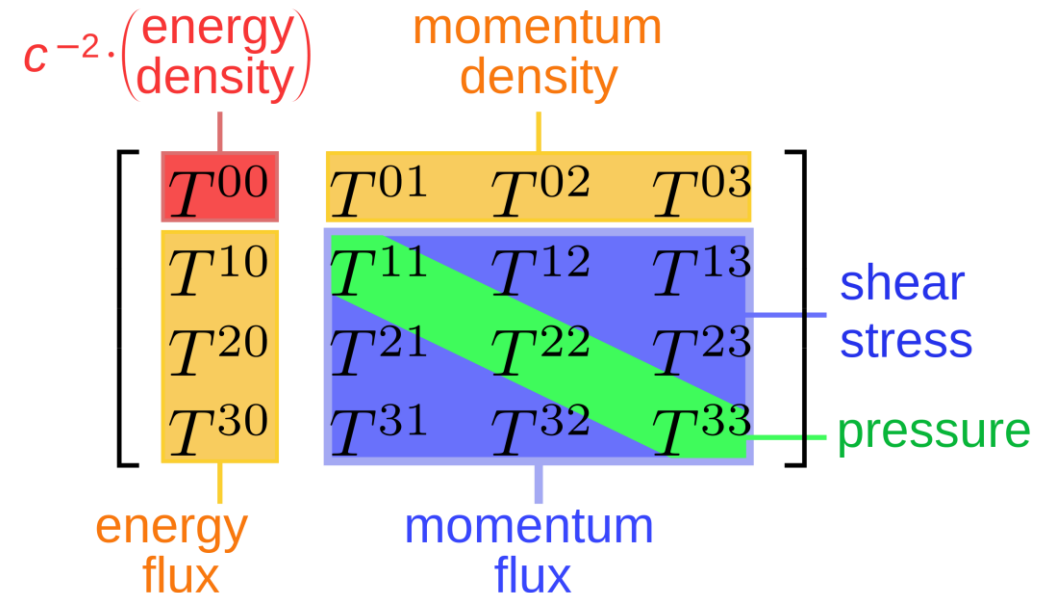


$$T^{\mu\nu} = -F^{\mu\alpha} F^{\nu}_{\alpha} + \frac{\eta^{\mu\nu}}{4} F^{\alpha\beta} F_{\alpha\beta} + \bar{\psi} i \gamma^{(\mu} D^{\nu)} \psi$$

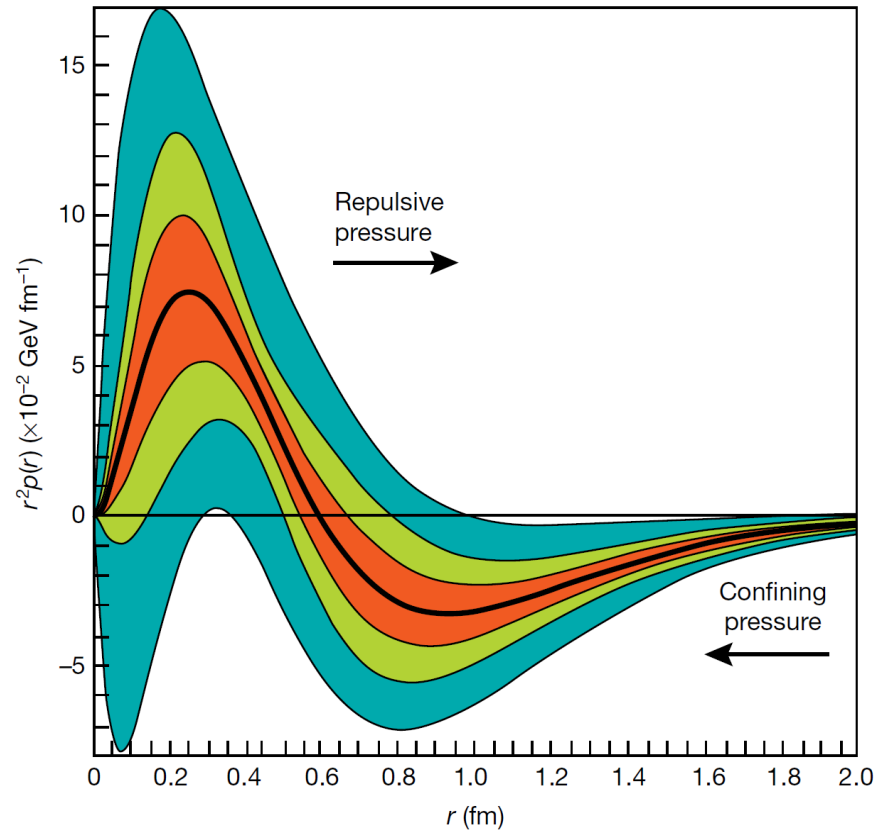
$$\langle P' | T^{\mu\nu} | P \rangle = \bar{u}(P') \left[A(t) \gamma^{(\mu} \bar{P}^{\nu)} + B(t) \frac{\bar{P}^{(\mu} i \sigma^{\nu)\alpha} \Delta_{\alpha}}{2M} + D(t) \frac{\Delta^{\mu} \Delta^{\nu} - g^{\mu\nu} \Delta^2}{4M} \right] u(P)$$

Form factors associated with **graviton** exchange

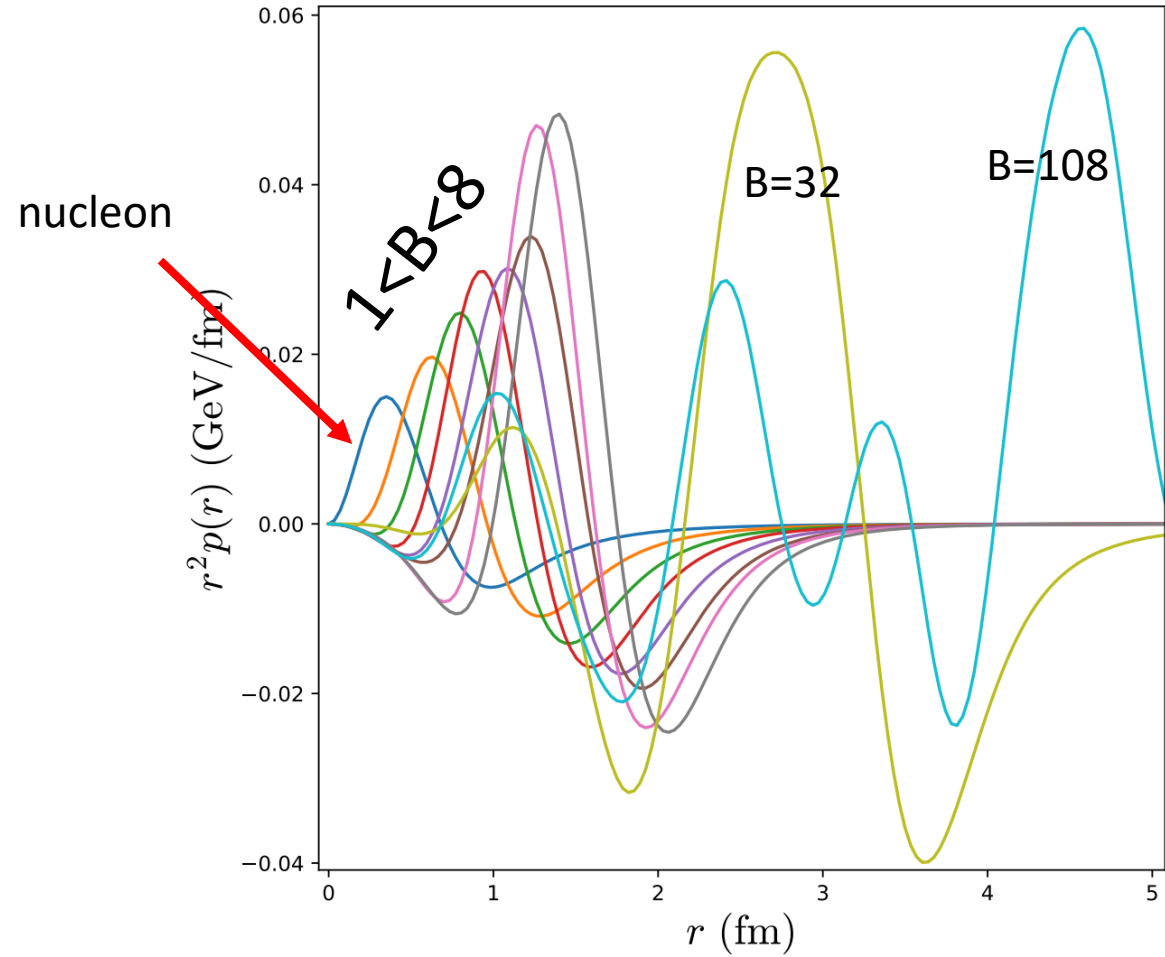
For a spin-1/2 hadron, there are 3 independent form factors.



'Pressure' inside nucleon and nuclei



Burkert, Elouadrhiri, Girod (2018)



Martin-Caro, Huidobro, YH, 2312.12984

Trace anomaly and GFFs

Form factor of the trace related to GFFs.

$$\langle P' | T_{\mu}^{\mu} | P \rangle = M \left(A(t) + \frac{B(t)}{4M^2} t - \frac{3D(t)}{4M^2} t \right) \bar{u}(P') u(P)$$

In the forward limit, $A(0) = 1$ and the other two terms vanish.

$$\langle P | T_{\mu}^{\mu} | P \rangle = 2M^2$$

A, B, D form factors cannot separately probe the **quark and gluon condensate**, crucial ingredients of proton mass decomposition.

$$\langle P' | F^{\mu\nu} F_{\mu\nu} | P \rangle \quad \langle P' | m \bar{q} q | P \rangle$$

GFFs: Quark and gluon components

Energy momentum tensor consists of quark and gluon parts

$$T^{\mu\nu} = \underbrace{-F^{\mu\lambda} F^\nu{}_\lambda + \frac{\eta^{\mu\nu}}{4} F^2}_{T_g^{\mu\nu}} + \underbrace{i\bar{q}\gamma^{(\mu} D^{\nu)} q}_{T_q^{\mu\nu}}$$

Introduce GFFs separately for the quark and gluon parts [Ji \(1996\)](#)

$$\langle P' | T_{q,g}^{\mu\nu} | P \rangle = \bar{u}(P') \left[A_{q,g} \gamma^{(\mu} \bar{P}^{\nu)} + B_{q,g} \frac{\bar{P}^{(\mu} i\sigma^{\nu)\alpha} \Delta_\alpha}{2M} + D_{q,g} \frac{\Delta^\mu \Delta^\nu - g^{\mu\nu} \Delta^2}{4M} + \bar{C}_{q,g} M \eta^{\mu\nu} \right] u(P)$$

The fourth form factor $\bar{C}_{q,g}$

Non-conservation of the quark/gluon parts

$$\langle \partial_\mu T_{q,g}^{\mu\nu} \rangle \sim \Delta^\nu \bar{C}_{q,g}$$

$\bar{C}_q + \bar{C}_g = 0$ because the total EMT is conserved.

$$\langle P | (T_{q,g})^\mu_\mu | P \rangle = 2M^2 (A_{q,g} + 4\bar{C}_{q,g})$$

Introducing $\bar{C}_{q,g}$ is equivalent to computing $(T_q)^\mu_\mu$ and $(T_g)^\mu_\mu$ separately.

A delicate problem in quantum field theory.

Renormalization of $\bar{C}_{q,g}$: a first look

RG equation for $\bar{C}_{q,g}$

Polyakov, Son (2018)

$$\frac{\partial}{\partial \ln \mu} \bar{C}_q^R = -\frac{\alpha_s}{4\pi} \left(\frac{16}{3} C_F + \frac{4n_f}{3} \right) \bar{C}_q^R + \mathcal{O}(m) + \mathcal{O}(\alpha_s^2)$$

 1-loop anomalous dimension

This implies, in the chiral limit,

$$\bar{C}_{q,g}^R(\mu \rightarrow \infty) \rightarrow 0 \quad ??$$

$(T_q)_{\mu}^{\mu}, (T_g)_{\mu}^{\mu}$ in $\overline{\text{MS}}$ at one-loop

YH, Rajan, Tanaka (2018)

$$\eta_{\mu\nu} T_{gR}^{\mu\nu} = \frac{\alpha_s}{4\pi} \left(-\frac{11C_A}{6} (F^2)_R + \frac{14C_F}{3} (m\bar{\psi}\psi)_R \right),$$

$$\eta_{\mu\nu} T_{qR}^{\mu\nu} = (m\bar{\psi}\psi)_R + \frac{\alpha_s}{4\pi} \left(\frac{n_f}{3} (F^2)_R + \frac{4C_F}{3} (m\bar{\psi}\psi)_R \right)$$

n_f term in the 1-loop beta function

Can be systematically extended to n-loops. We need n-loop beta function and n-loop anomalous dimensions of the twist-two operators.


Result in $\overline{\text{MS}}$ at two-loops

YH, Rajan, Tanaka (2018)

$$\eta_{\mu\nu} (T_g^{\mu\nu})_R = \frac{\alpha_s}{4\pi} \left(\frac{14}{3} C_F (m\bar{\psi}\psi)_R - \frac{11}{6} C_A (F^2)_R \right) + \left(\frac{\alpha_s}{4\pi} \right)^2$$

$$\times \left[\left(C_F \left(\frac{812C_A}{27} - \frac{22n_f}{27} \right) + \frac{85C_F^2}{27} \right) (m\bar{\psi}\psi)_R + \left(\frac{28C_A n_f}{27} - \frac{17C_A^2}{3} + \frac{5C_F n_f}{54} \right) (F^2)_R \right]$$

n_f terms contributes to
the gluon part



$$\eta_{\mu\nu} (T_q^{\mu\nu})_R = (m\bar{\psi}\psi)_R + \frac{\alpha_s}{4\pi} \left(\frac{4}{3} C_F (m\bar{\psi}\psi)_R + \frac{1}{3} n_f (F^2)_R \right) + \left(\frac{\alpha_s}{4\pi} \right)^2$$

$$\times \left[\left(C_F \left(\frac{61C_A}{27} - \frac{68n_f}{27} \right) - \frac{4C_F^2}{27} \right) (m\bar{\psi}\psi)_R + \left(\frac{17C_A n_f}{27} + \frac{49C_F n_f}{54} \right) (F^2)_R \right]$$

Result in $\overline{\text{MS}}$ at three-loops

Tanaka, (2019)

$$\begin{aligned}
 \eta_{\mu\nu} (T_g^{\mu\nu})_R &= \frac{\alpha_s}{4\pi} \left(\frac{14}{3} C_F (m\bar{\psi}\psi)_R - \frac{11}{6} C_A (F^2)_R \right) + \left(\frac{\alpha_s}{4\pi} \right)^2 \\
 &\quad \times \left[\left(C_F \left(\frac{812C_A}{27} - \frac{22n_f}{27} \right) + \frac{85C_F^2}{27} \right) (m\bar{\psi}\psi)_R + \left(\frac{28C_A n_f}{27} - \frac{17C_A^2}{3} + \frac{5C_F n_f}{54} \right) (F^2)_R \right] \\
 &+ \left(\frac{\alpha_s}{4\pi} \right)^3 \left[\left\{ n_f \left(\left(\frac{368\zeta(3)}{9} - \frac{25229}{729} \right) C_F^2 - \frac{2}{243} (4968\zeta(3) + 1423) C_A C_F \right) \right. \right. \\
 &+ \left(\frac{32\zeta(3)}{3} - \frac{91753}{1458} \right) C_A C_F^2 + \left(\frac{294929}{1458} - \frac{32\zeta(3)}{9} \right) C_A^2 C_F - \frac{554}{243} C_F n_f^2 \\
 &+ \left. \left(\frac{95041}{729} - \frac{64\zeta(3)}{9} \right) C_F^3 \right\} (m\bar{\psi}\psi)_R \\
 &+ \left. \left\{ n_f \left(\left(\frac{1123}{162} - \frac{52\zeta(3)}{9} \right) C_A C_F + \left(4\zeta(3) + \frac{293}{36} \right) C_A^2 + \frac{16}{729} (81\zeta(3) - 98) C_F^2 \right) + n_f^2 \left(\frac{655C_A}{2916} - \frac{361C_F}{729} \right) - \frac{2857C_A^3}{108} \right\} (F^2)_R \right]
 \end{aligned}$$

$$\begin{aligned}
 \eta_{\mu\nu} (T_q^{\mu\nu})_R &= (m\bar{\psi}\psi)_R + \frac{\alpha_s}{4\pi} \left(\frac{4}{3} C_F (m\bar{\psi}\psi)_R + \frac{1}{3} n_f (F^2)_R \right) + \left(\frac{\alpha_s}{4\pi} \right)^2 \\
 &\quad \times \left[\left(C_F \left(\frac{61C_A}{27} - \frac{68n_f}{27} \right) - \frac{4C_F^2}{27} \right) (m\bar{\psi}\psi)_R + \left(\frac{17C_A n_f}{27} + \frac{49C_F n_f}{54} \right) (F^2)_R \right] \\
 &+ \left(\frac{\alpha_s}{4\pi} \right)^3 \left[\left\{ n_f \left(\left(\frac{64\zeta(3)}{9} - \frac{8305}{729} \right) C_F^2 - \frac{2}{243} (864\zeta(3) + 1079) C_A C_F \right) \right. \right. \\
 &- \frac{8}{729} (972\zeta(3) + 143) C_A C_F^2 + \left(\frac{32\zeta(3)}{9} + \frac{6611}{729} \right) C_A^2 C_F - \frac{76}{243} C_F n_f^2 \\
 &+ \left. \frac{8}{729} (648\zeta(3) - 125) C_F^3 \right\} (m\bar{\psi}\psi)_R \\
 &+ \left\{ n_f \left(\left(\frac{52\zeta(3)}{9} - \frac{401}{324} \right) C_A C_F + \left(\frac{134}{27} - 4\zeta(3) \right) C_A^2 + \left(\frac{2407}{1458} - \frac{16\zeta(3)}{9} \right) C_F^2 \right) \right. \\
 &+ \left. n_f^2 \left(-\frac{697C_A}{729} - \frac{169C_F}{1458} \right) \right\} (F^2)_R \right],
 \end{aligned}$$

Result in $\overline{\text{MS}}$ at four-loops

Ahmed, Chen, Czakon (2022)

$$\begin{aligned} \left\langle \text{Tr} \left([\Theta_g]_R^{\overline{\text{MS}}} \right) \right\rangle_{\text{P}} &= \langle [O_F]_R \rangle_{\text{P}} \left(-0.437676 \alpha_s - 0.261512 \alpha_s^2 - 0.183827 \alpha_s^3 - 0.256096 \alpha_s^4 \right) \\ &+ \langle [O_m]_R \rangle_{\text{P}} \left(0.495149 \alpha_s + 0.776587 \alpha_s^2 + 0.865492 \alpha_s^3 + 0.974674 \alpha_s^4 \right) , \end{aligned}$$

$$\begin{aligned} \left\langle \text{Tr} \left([\Theta_q]_R^{\overline{\text{MS}}} \right) \right\rangle_{\text{P}} &= \langle [O_F]_R \rangle_{\text{P}} \left(0.079578 \alpha_s + 0.058870 \alpha_s^2 + 0.021604 \alpha_s^3 + 0.013675 \alpha_s^4 \right) \\ &+ \langle [O_m]_R \rangle_{\text{P}} \left(1 + 0.141471 \alpha_s - 0.008235 \alpha_s^2 - 0.064351 \alpha_s^3 - 0.065869 \alpha_s^4 \right) \end{aligned}$$

Very precise relation between $\bar{C}_{q,g}$ and $\langle P | F^{\mu\nu} F_{\mu\nu} | P \rangle$!

Measuring $\langle P | F^{\mu\nu} F_{\mu\nu} | P \rangle$ is equivalent to measuring $\bar{C}_{q,g}$

Renormalization of $\bar{C}_{q,g}$: the real thing

Return to

$$\frac{\partial}{\partial \ln \mu} \bar{C}_q^R = -\frac{\alpha_s}{4\pi} \left(\frac{16}{3} C_F + \frac{4n_f}{3} \right) \bar{C}_q^R + \mathcal{O}(m) + \mathcal{O}(\alpha_s^2)$$

Correct result at $\mathcal{O}(\alpha_s)$

$$\begin{aligned} \frac{\partial \bar{C}_q^R}{\partial \ln \mu} = & -\frac{\alpha_s}{4\pi} \left(\frac{16C_F}{3} + \frac{4n_f}{3} \right) \bar{C}_q^R \\ & + \frac{\alpha_s}{4\pi} \left[\frac{4C_F}{3} \frac{\langle P | (m\bar{\psi}\psi)_R | P \rangle}{2M^2} + \frac{n_f}{3} \left(\frac{\langle P | (m\bar{\psi}\psi)_R | P \rangle}{2M^2} - 1 \right) \right] \end{aligned}$$

\uparrow
 $\mathcal{O}(m)$

\uparrow
Naively $\mathcal{O}(\alpha_s^2)$, but promoted to
 $\mathcal{O}(\alpha_s)$ due to trace anomaly!

$$\alpha_s F^2 \sim \mathcal{O}(1)$$

$$\begin{aligned}
\bar{C}_q^R(\mu) = & -\frac{1}{4} \left(\frac{n_f}{4C_F + n_f} + \frac{2n_f}{3\beta_0} \right) + \frac{1}{4} \left(\frac{2n_f}{3\beta_0} + 1 \right) \frac{\langle P | (m\bar{\psi}\psi)_R | P \rangle}{2M^2} \\
& - \frac{4C_F A_q^R(\mu_0) + n_f (A_q^R(\mu_0) - 1)}{4(4C_F + n_f)} \left(\frac{\alpha_s(\mu)}{\alpha_s(\mu_0)} \right)^{\frac{8C_F + 2n_f}{3\beta_0}} \\
& + \frac{\alpha_s(\mu)}{4\pi} \left[\frac{n_f \left(-\frac{34C_A}{27} - \frac{49C_F}{27} \right)}{4\beta_0} + \frac{\beta_1 n_f}{6\beta_0^2} \right. \\
& \left. + \frac{1}{4} \left(\frac{n_f \left(\frac{34C_A}{27} + \frac{157C_F}{27} \right)}{\beta_0} + \frac{4C_F}{3} - \frac{2\beta_1 n_f}{3\beta_0^2} \right) \frac{\langle P | (m\bar{\psi}\psi)_R | P \rangle}{2M^2} \right] + \dots, \\
\approx & -0.146 - 0.25 (A_q^R(\mu_0) - 0.36) \left(\frac{\alpha_s(\mu)}{\alpha_s(\mu_0)} \right)^{\frac{50}{81}} - 0.01\alpha_s(\mu) \\
& + (0.306 + 0.08\alpha_s(\mu)) \frac{\langle P | (m\bar{\psi}\psi)_R | P \rangle}{2M^2},
\end{aligned}$$

Asymptotic value
in the chiral limit
($n_f = 3$)

Accessing the gluon condensate $\langle P|F^{\mu\nu}F_{\mu\nu}|P\rangle$ in experiments

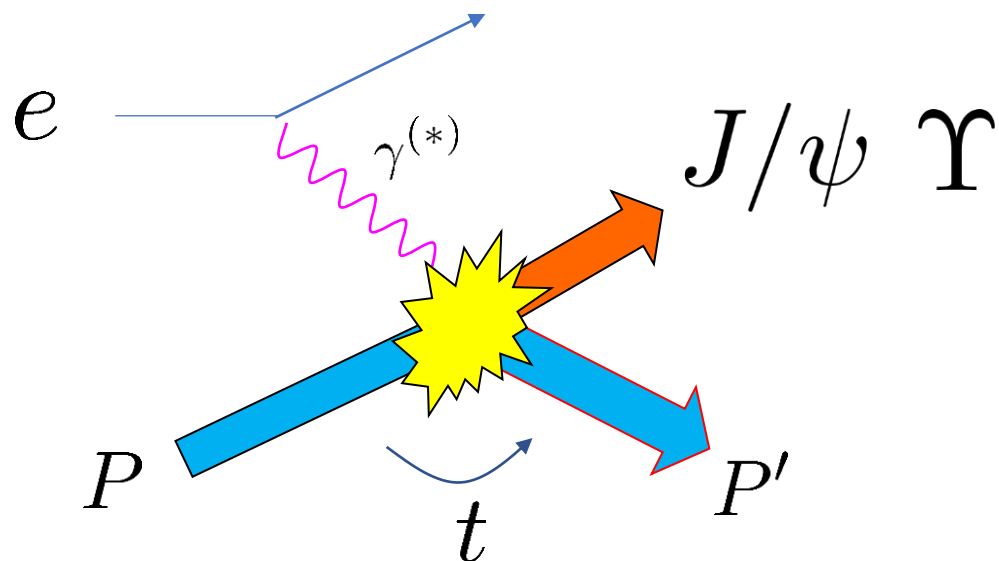
The operator $F^{\mu\nu}F_{\mu\nu}$ is twist-**four**,
highly suppressed in high energy scattering.

Instead, we should look at **low**-energy scattering.

Purely gluonic operator. Use **quarkonium** as a probe.

→ J/ψ photo- or electro-production near threshold.

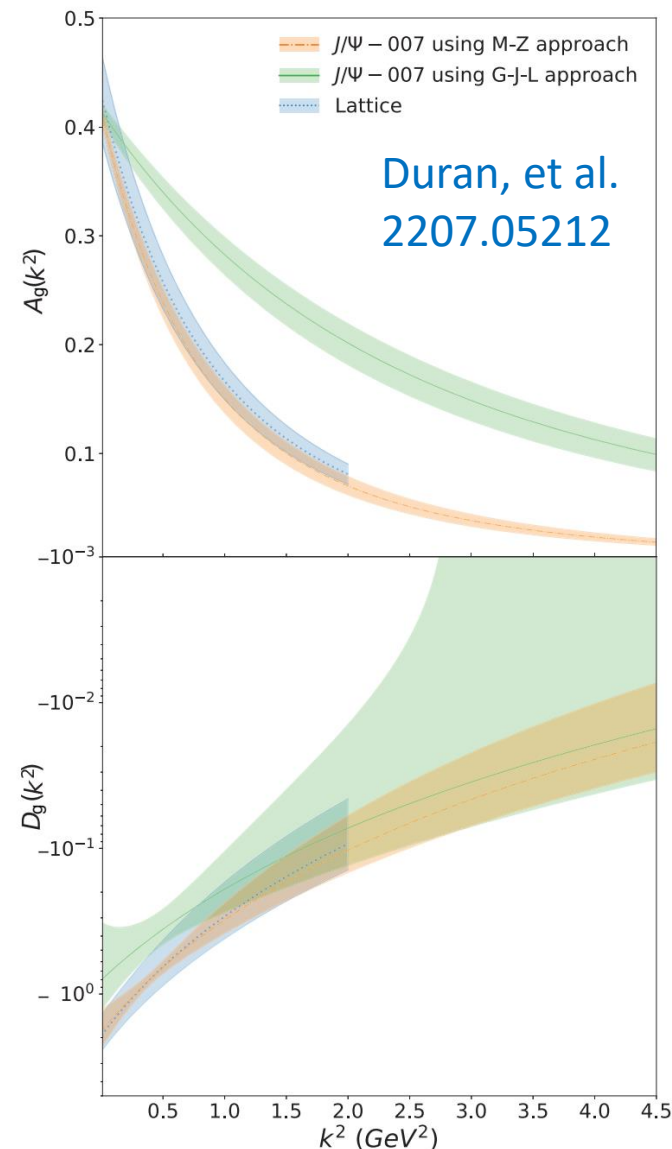
Quarkonium photo-(electro-)production near threshold



Ongoing experiments at JLab, future measurement at EIC?

Originally proposed by [Kharzeev, Satz, Syamtomov, Zinovev \(1997\)](#) to probe the **gluon condensate**. $\langle P | F^{\mu\nu} F_{\mu\nu} | P \rangle$

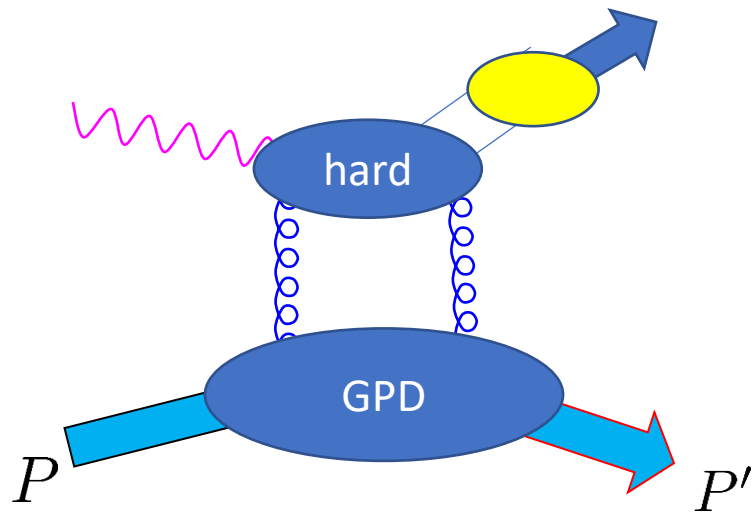
One can also study **gluon** GFFs in this process
[YH, Yang \(2018\)](#); [Mamo, Zahed \(2019~\)](#)



GPD factorization

Quarkonium photo-production in the limit $M_{QQ} \rightarrow \infty$
Computed to NLO in the GPD framework.

Ivanov, Schafer, Szymanowski, Krasnikov (2004)



Amplitude proportional to **Compton form factor**

$$\int_{-1}^1 \frac{dx}{x} \left(\frac{1}{\xi - x - i\epsilon} - \frac{1}{\xi + x - i\epsilon} \right) H_g(x, \xi, t)$$

Gluon GPD

Skewness $\xi = \frac{P^+ - P'^+}{P^+ + P'^+}$

Connection to GFFs?

Skewness

Threshold production characterized by large values of skewness

YH, Strikman (2021)
Guo, Ji, Liu (2021)

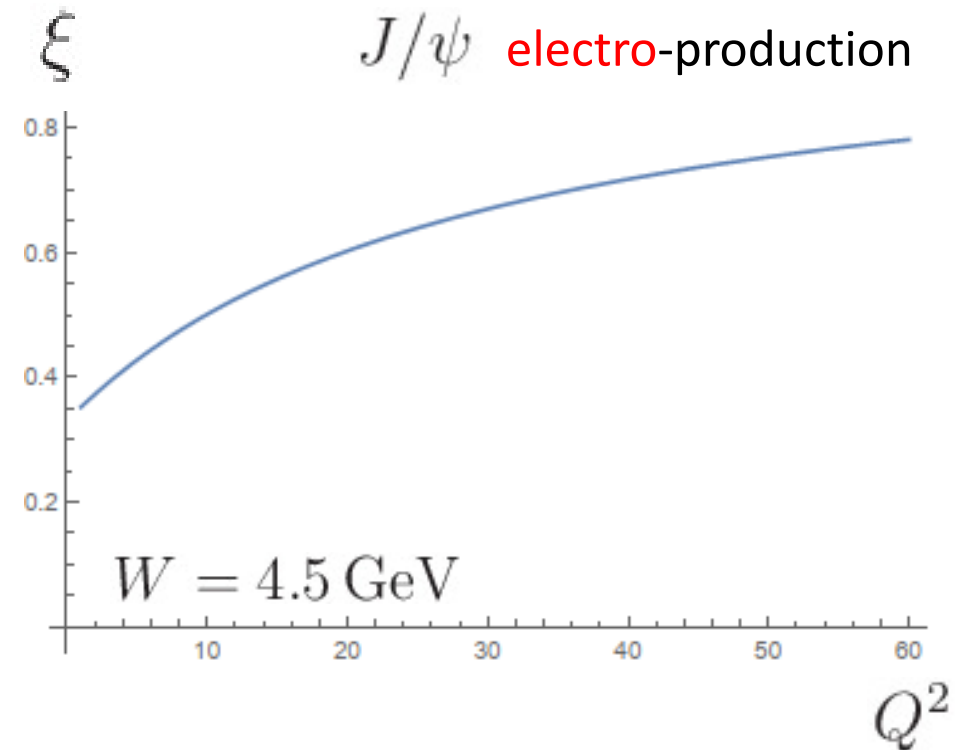
$\xi \approx 1$ in the ideal limit $Q^2 \rightarrow \infty$ or $m_V \rightarrow \infty$

Enhanced sensitivity to the energy momentum tensor.

$\xi < 1$ in practice, but still promising. Guo, Ji, Yuan (2023)

But sensitive only to $\langle T^{++} \rangle$

Access to A_g, D_g form factors, but **no sensitivity to gluon condensate** in the GPD approach.

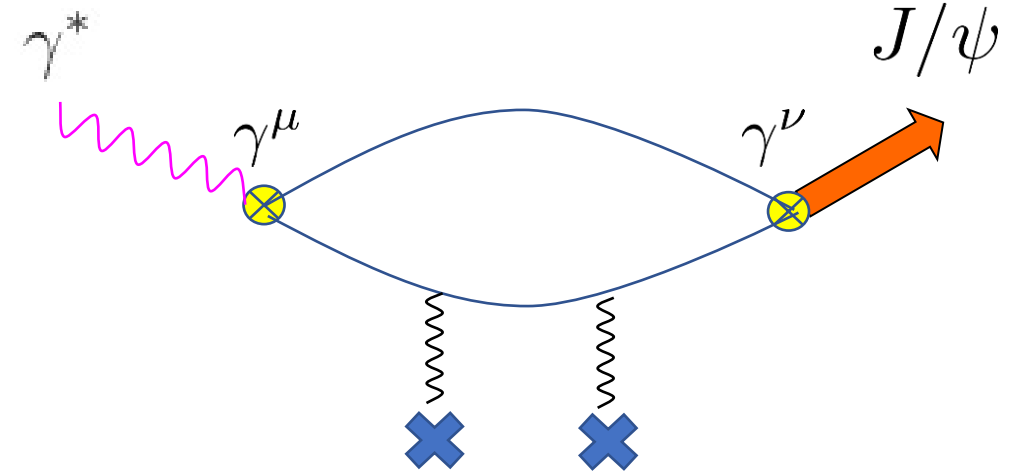


Electro-production near threshold

Boussarie, YH (2020)

Amplitude dominantly real near threshold
 Dominated by EMT (local operator) when $\xi \approx 1$

→ OPE situation



$$i \int d^4 r e^{ir \cdot q} \bar{c} \gamma^\mu c(0) \bar{c} \gamma^\nu c(-r)$$

$$\approx -\frac{\alpha_s(\mu_R)}{3\pi q^2} \left[2 \ln(-q^2/\mu_R^2) \left\{ \left(g^{\mu\alpha} - \frac{q^\mu q^\alpha}{q^2} \right) \left(g^{\nu\beta} - \frac{q^\nu q^\beta}{q^2} \right) + \frac{q^\alpha q^\beta}{q^2} \left(g^{\mu\nu} - \frac{q^\mu q^\nu}{q^2} \right) \right\} \hat{T}_{\alpha\beta}^g(0) \right. \\ \left. - 2 \frac{q^\alpha q^\beta}{q^2} \left(g^{\mu\nu} - \frac{q^\mu q^\nu}{q^2} \right) \hat{T}_{\alpha\beta}^g(0) + 3 \frac{q_\alpha q_\beta}{q^2} F^{\mu\alpha} F^{\nu\beta}(0) \right],$$

twist-2, sensitive to A_g, D_g

twist-2 & 4, sensitive to gluon condensate

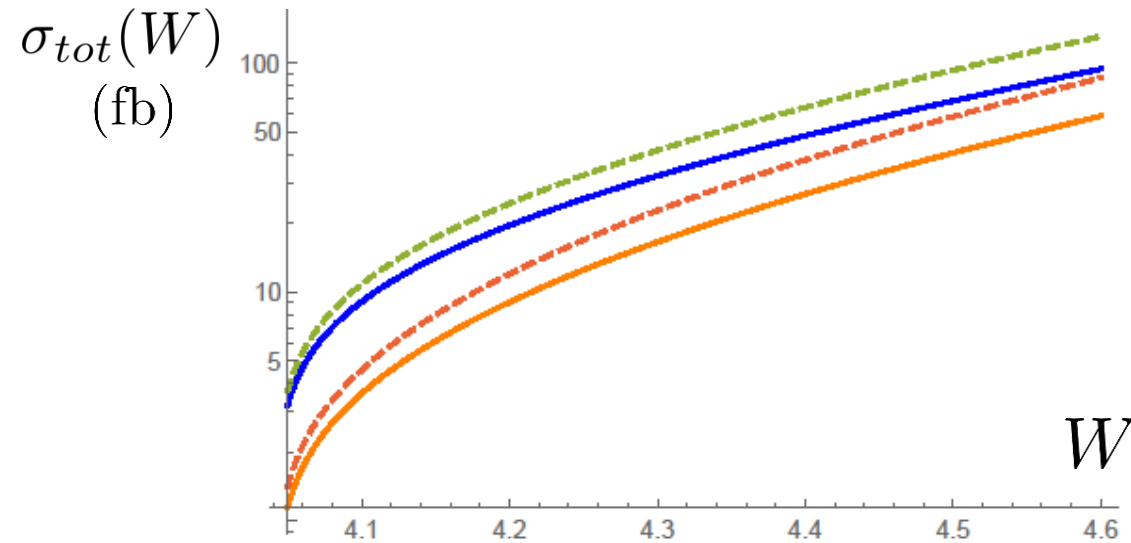
Two-gluon operator with 4 open indices

$$\begin{aligned}
 \langle p' | -F_a^{\mu\alpha} F_a^{\nu\beta} | p \rangle = & \frac{A}{2} \bar{u}(p') (g^{\mu\nu} \gamma^{(\alpha} P^{\beta)} - g^{\mu\beta} \gamma^{(\alpha} P^{\nu)} - g^{\alpha\nu} \gamma^{(\mu} P^{\beta)} + g^{\alpha\beta} \gamma^{(\mu} P^{\nu)}) u(p) \\
 & + \frac{B}{4m_N} \bar{u}(p') \left(g^{\mu\nu} i\sigma^{(\alpha\lambda} \Delta_\lambda P^{\beta)} - g^{\mu\beta} i\sigma^{(\alpha\lambda} \Delta_\lambda P^{\nu)} - g^{\alpha\nu} i\sigma^{(\mu\lambda} \Delta_\lambda P^{\beta)} + g^{\alpha\beta} i\sigma^{(\mu\lambda} \Delta_\lambda P^{\nu)} \right) u(p) \\
 & + \frac{D}{8m_N} \bar{u}(p') \left(g^{\mu\nu} \Delta^\alpha \Delta^\beta - g^{\alpha\nu} \Delta^\mu \Delta^\beta + g^{\alpha\beta} \Delta^\mu \Delta^\nu - g^{\mu\beta} \Delta^\alpha \Delta^\nu \right) u(p) \\
 & + \frac{W}{3} m_N \bar{u}(p') (g^{\mu\nu} g^{\alpha\beta} - g^{\mu\beta} g^{\alpha\nu}) u(p) \\
 & + \frac{X}{2m_N^2} \bar{u}(p') \left((\gamma^\mu \Delta^\alpha - \gamma^\alpha \Delta^\mu) (P^\nu \Delta^\beta - P^\beta \Delta^\nu) + (P^\mu \Delta^\alpha - P^\alpha \Delta^\mu) (\gamma^\nu \Delta^\beta - \gamma^\beta \Delta^\nu) \right) u(p) \\
 & + \frac{Y}{m_N^3} \bar{u}(p') (P^\mu \Delta^\alpha - P^\alpha \Delta^\mu) (P^\nu \Delta^\beta - P^\beta \Delta^\nu) u(p) \\
 & + \frac{Z}{4m_N} \bar{u}(p') \left(i\sigma^{\mu\alpha} (P^\nu \Delta^\beta - P^\beta \Delta^\nu) + i\sigma^{\nu\beta} (P^\mu \Delta^\alpha - P^\alpha \Delta^\mu) \right) u(p), \tag{30}
 \end{aligned}$$



Related to $\bar{C}_{q,g}$ \rightarrow related to gluon condensate $\langle P | F^{\mu\nu} F_{\mu\nu} | P \rangle$

$$J/\psi \quad Q^2 = 64 \text{ GeV}^2 \quad \sqrt{S_{ep}} = 20 \text{ GeV} \quad (\text{plots revised from } 2004.12715)$$

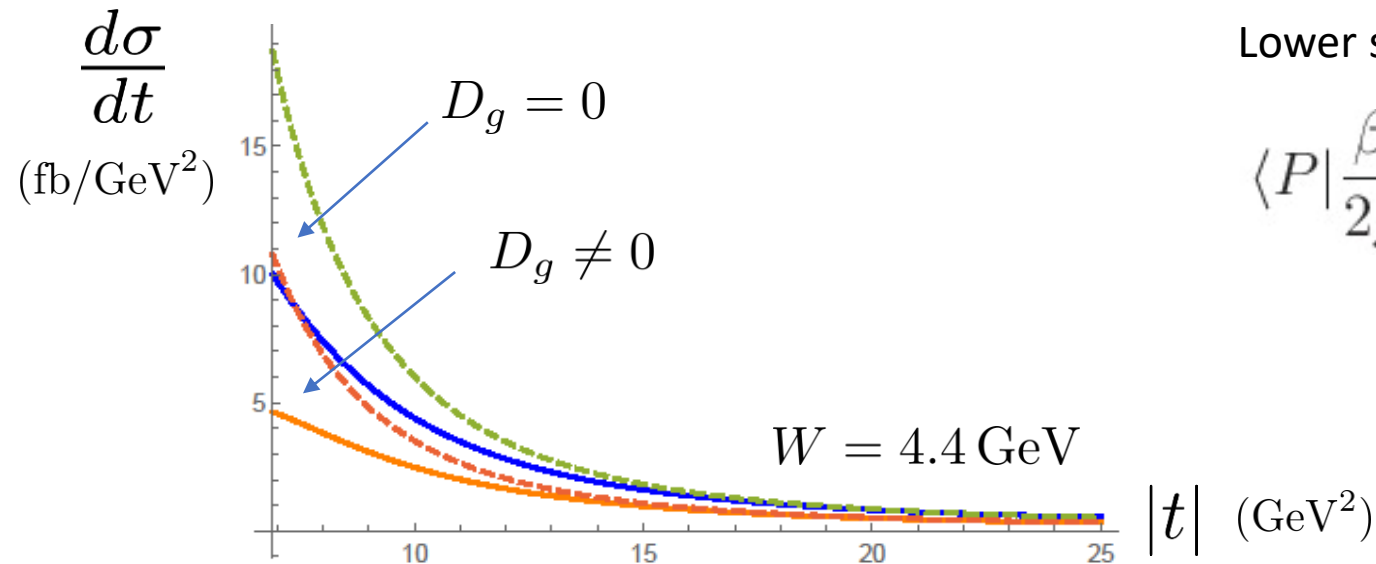


Dashed curves:
without gluon D-term

Solid curves: with gluon D-term

Upper solid $b = 1$

Lower solid $b = 0$



$$\langle P | \frac{\beta}{2g} F^2 | P \rangle = 2M^2(1 - b)$$

Summary

- $\bar{C}_{q,g}$ form factor key to understand the nucleon mass decomposition.
Very precise (4-loop) relation to gluon condensate $\langle P|F^{\mu\nu}F_{\mu\nu}|P\rangle$
- Threshold quarkonium production at $\xi \approx 1$ promising for A_g, D_g
- Leading twist GPD approach cannot probe $\langle P|F^{\mu\nu}F_{\mu\nu}|P\rangle$.
- Estimated the impact of $\langle P|F^{\mu\nu}F_{\mu\nu}|P\rangle$, can be numerically significant.
Remember $\alpha_s F^2 \sim \mathcal{O}(1)$