

## Valence parton distribution of the pion from lattice QCD: Approaching the continuum limit

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## ANL journal club

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Nikhil Karthik  
W&M - JLab

# **Outline of the paper**

# Valence PDF of $\pi^+(u\bar{d})$

$$f_v^\pi(x) = f_u(x) - f_{\bar{u}}(x) = f_u(x) - f_d(x), \quad 0 < x < 1$$

(sea+valence)      (sea)      (Isospin symmetry)

**Matrix element that is used in the paper:** bilocal operator that enters quasi-PDF, pseudo-PDF

$$\langle \pi, P_z | \bar{\psi}(z) W_z(z, 0) \tau_3 \gamma_t \psi(0) | \pi, P_z \rangle = 2E(P_z) \mathcal{M}(zP_z, z^2; p^R)$$

Non-singlet:

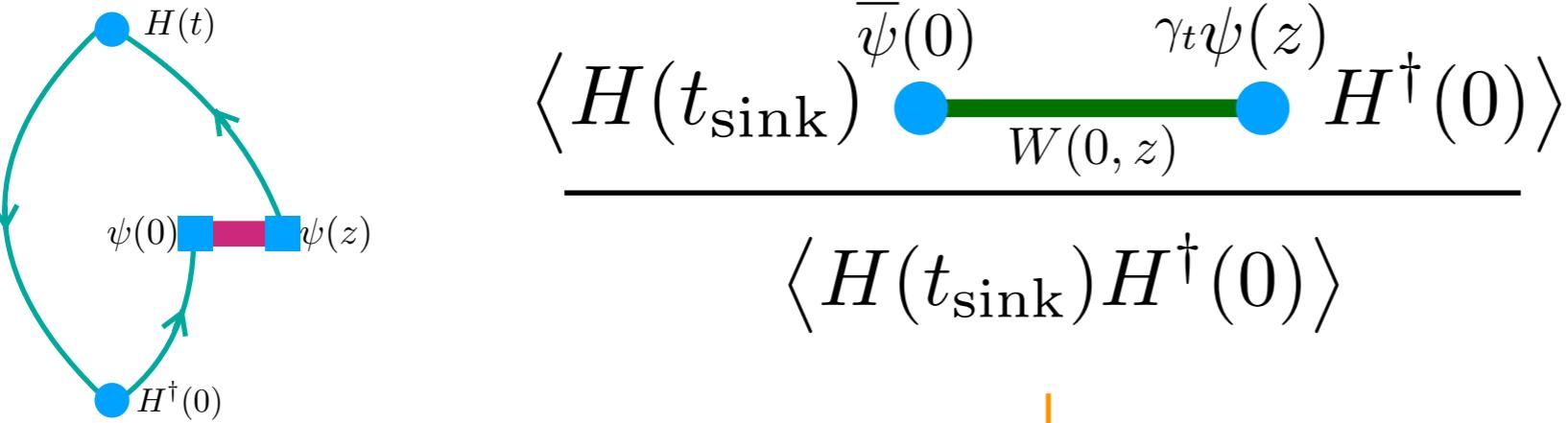
- a) No gluon mixing;
- b) No disconnected pieces

No mixing  
with scalar

X. Ji, Phys. Rev. Lett. **110**, 262002 (2013),  
[arXiv:1305.1539 \[hep-ph\]](https://arxiv.org/abs/1305.1539).

A. Radyushkin, Phys. Rev. D **96**, 034025 (2017),  
[arXiv:1705.01488 \[hep-ph\]](https://arxiv.org/abs/1705.01488).

# Algorithm



$t_{\text{sink}} \rightarrow \infty$

$$h(z, P_z) = \langle P_z | \bar{\psi}(0) W(0, z) \gamma_t \psi(z) | P_z \rangle^{h^B(z, P_z)}$$

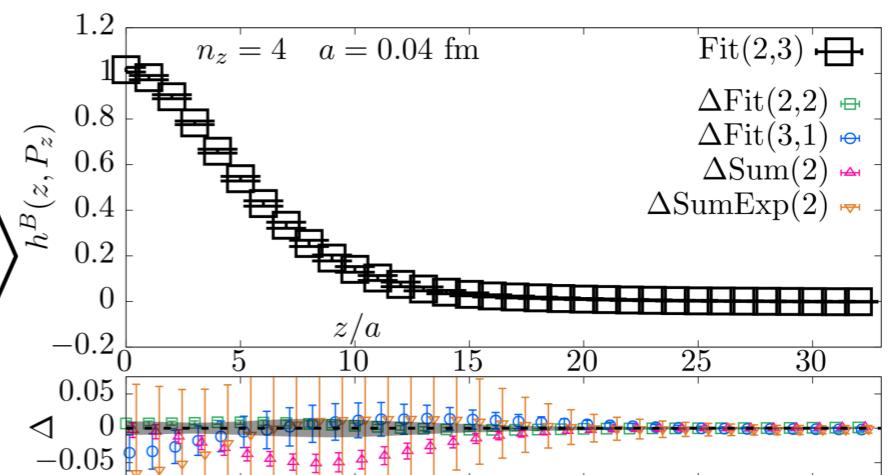
Renormalize

$$\langle x^n \rangle \xleftarrow{\text{Access moments directly}} \mathcal{M}(zP_z, z^2) = \frac{h(z, P_z)}{h(z, 0)}$$

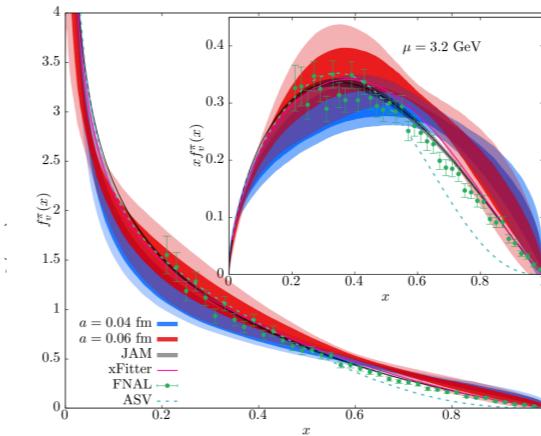
Twist-2 OPE (LaMET)

$$\sum_n \langle x^n \rangle c_n (\mu^2 z^2)^n \frac{(-i P_z z)^n}{n!}$$

$$f(x, \mu^2)$$



Fit ansatz



# **Lattice details**

# Ensemble details and statistics

Ensemble: 2+1 flavor HISQ



A. Bazavov *et al.* (HotQCD), Phys. Rev. **D90**, 094503 (2014),  
arXiv:1407.6387 [hep-lat].

**Sea** quark mass:  $m_\pi = 160$  MeV

**Valence:** tadpole-improved Wilson-Clover fermions coupled to 1-HYP links

**Valence** quark mass:  $m_\pi = 300$  MeV

ensemble $a, L_t \times L^3$	$m_q a$	$m_\pi L_t$	$n_z$	$z$ range	#cfgs	(#ex,#sl)
$a = 0.06$ fm, $64 \times 48^3$	-0.0388	5.85	0,1	[0,15]	100	(1, 32)
			2,3,4,5	[0,8] [9,15] [16,24]	525 416 364	(1, 32) (1, 32) (1, 32)
			0,1	[0,32]	314	(3, 96)
			2,3	[0,32]	314	(4, 128)
$a = 0.04$ fm, $64 \times 64^3$	-0.033	3.90	4,5	[0,32]	564	(4, 128)

AMA

Statistics  
increase

# Momenta and quark smearing

$n_z$	$P_z$ (GeV)		$\zeta = k_z/P_z$
	$a = 0.06$ fm	$a = 0.04$ fm	
0	0	0	0
1	0.43	0.48	0
2	0.86	0.97	1
3	1.29	1.45	2/3
4	1.72	1.93	3/4
5	2.15	2.42	3/5

Momentum smearing:

G. S. Bali, B. Lang, B. U. Musch, and A. Schäfer, [Phys. Rev. D 93, 094515 \(2016\)](#), arXiv:1602.05525 [hep-lat].

Details in prequel:

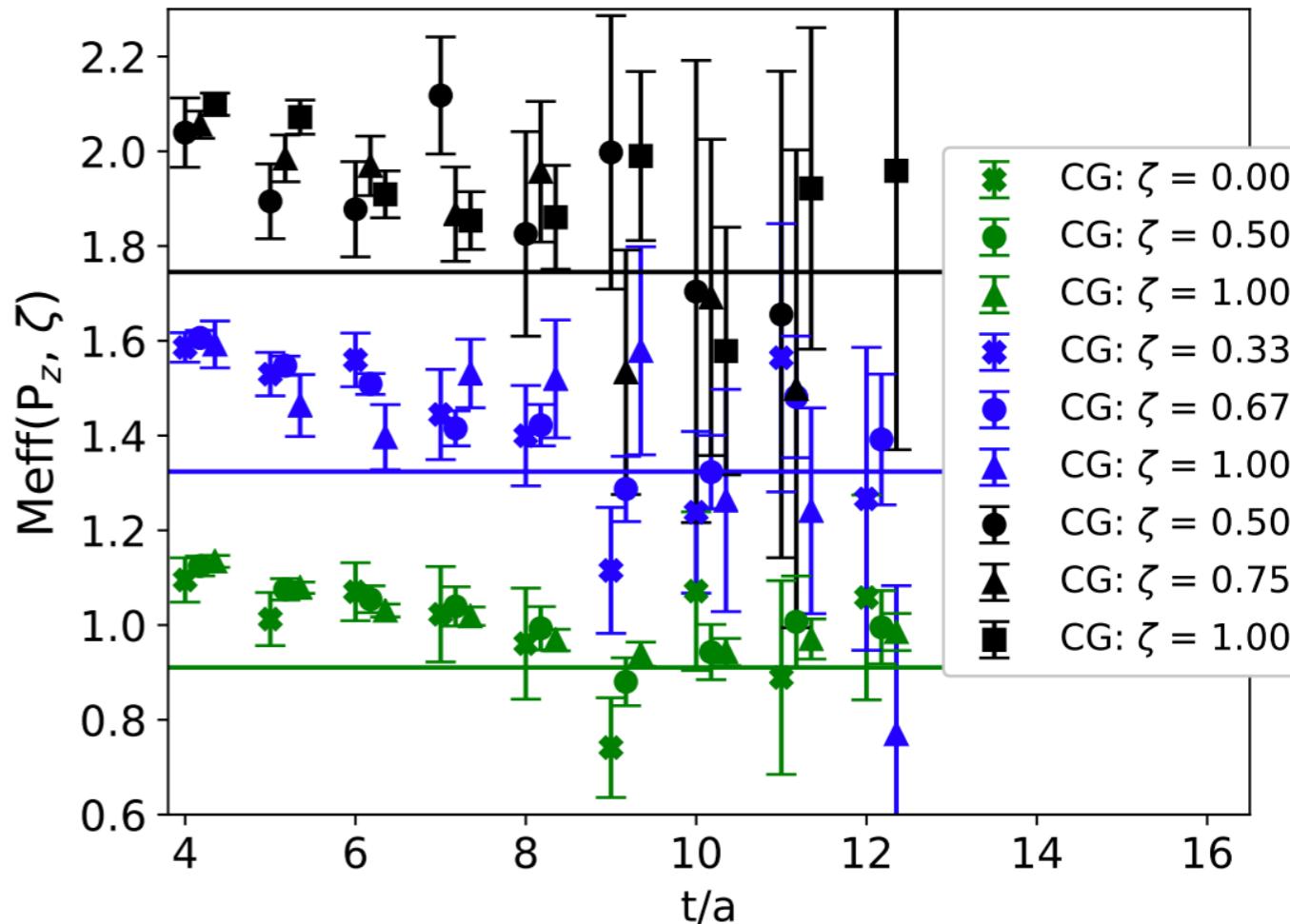
T. Izubuchi, L. Jin, C. Kallidonis, N. Karthik, S. Mukherjee, P. Petreczky, C. Shugert, and S. Syritsyn, [Phys. Rev. D 100, 034516 \(2019\)](#), arXiv:1905.06349 [hep-lat].

Coulomb gauge-fixed Gaussian smearing

$$\psi_{\text{smear}} = (\mathcal{S}^{(\vec{k})} \psi)_x$$

$$\mathcal{S}_{\vec{x}, \vec{y}}^{(\vec{k})} = \sum_{\vec{p}} e^{i\vec{p}(\vec{x}-\vec{y})} e^{-\frac{1}{2}w_{CG}^2(\vec{p}-\vec{k})^2}$$

(tuning results for Momentum smearing)

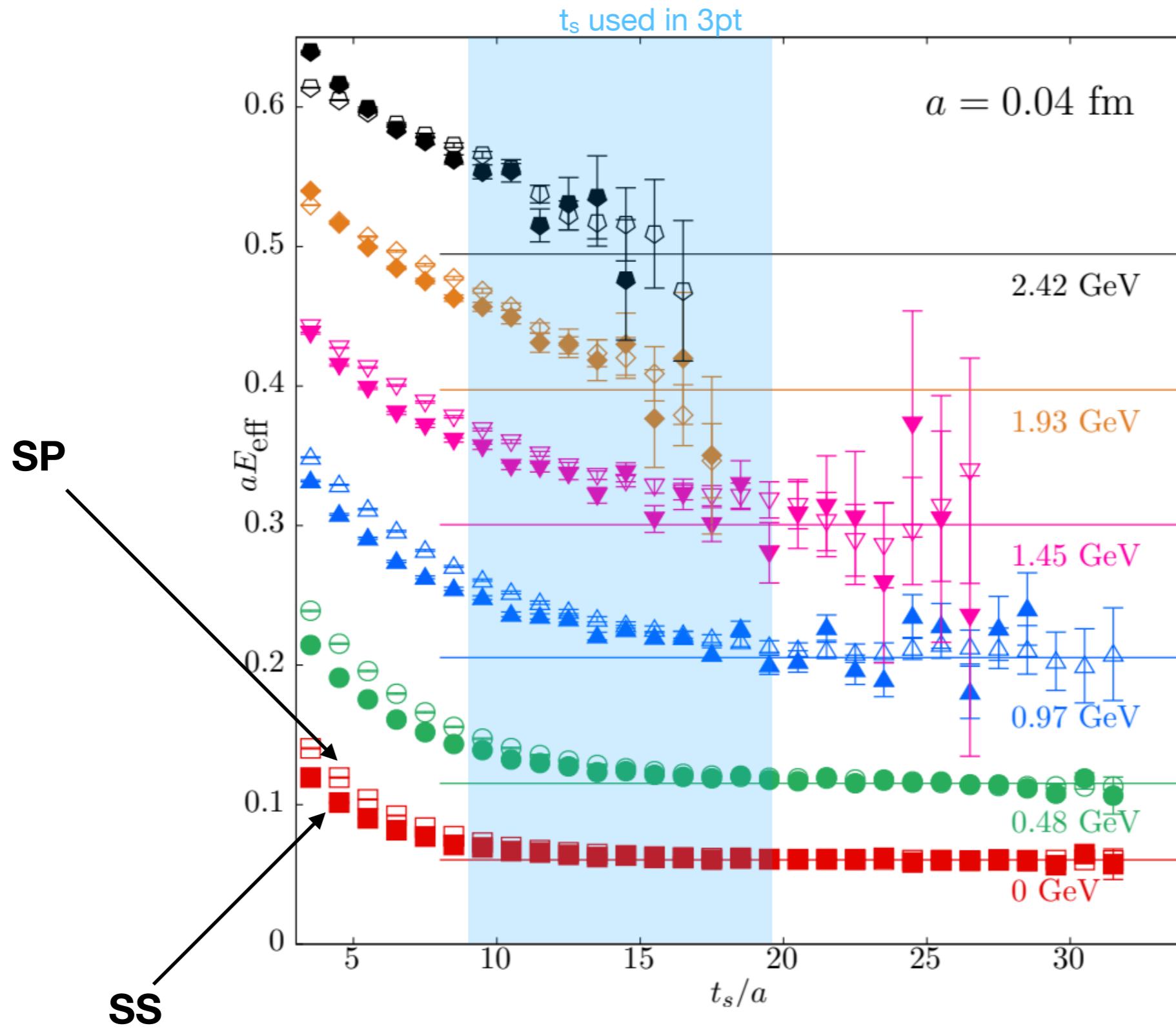


## **2-pt function analysis:**

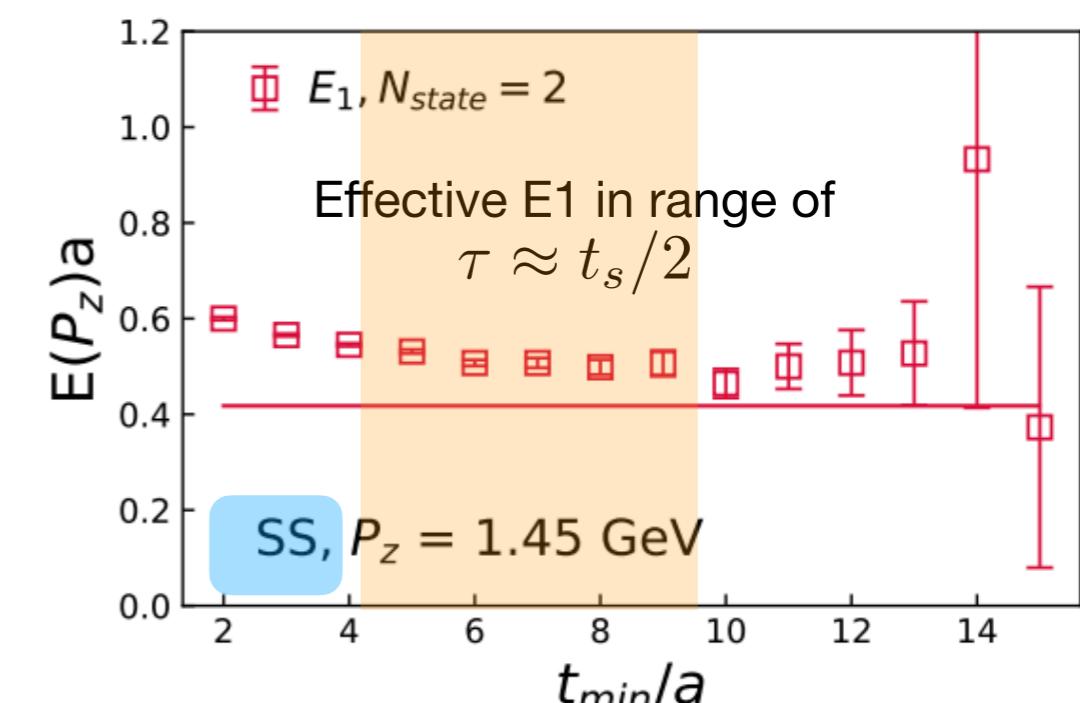
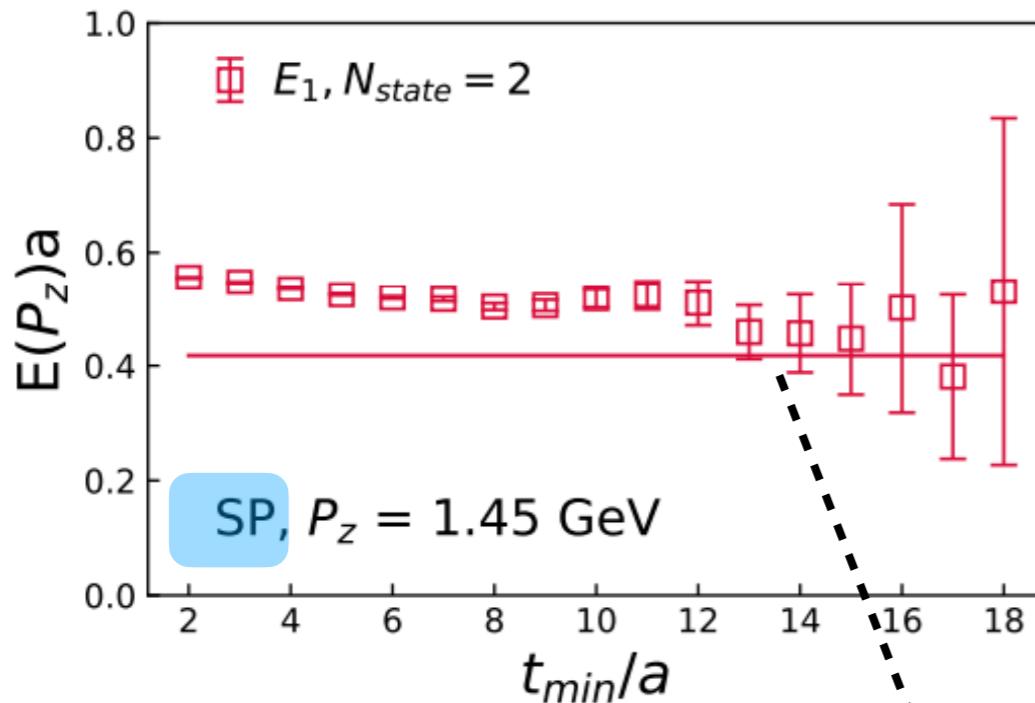
Determine the spectral data to fit the 3pt function

$$C_{2\text{pt}}^{ss'}(t_s; P_z) = \langle \pi_{s'}(\mathbf{P}, t_s) \pi_s^\dagger(\mathbf{P}, 0) \rangle.$$

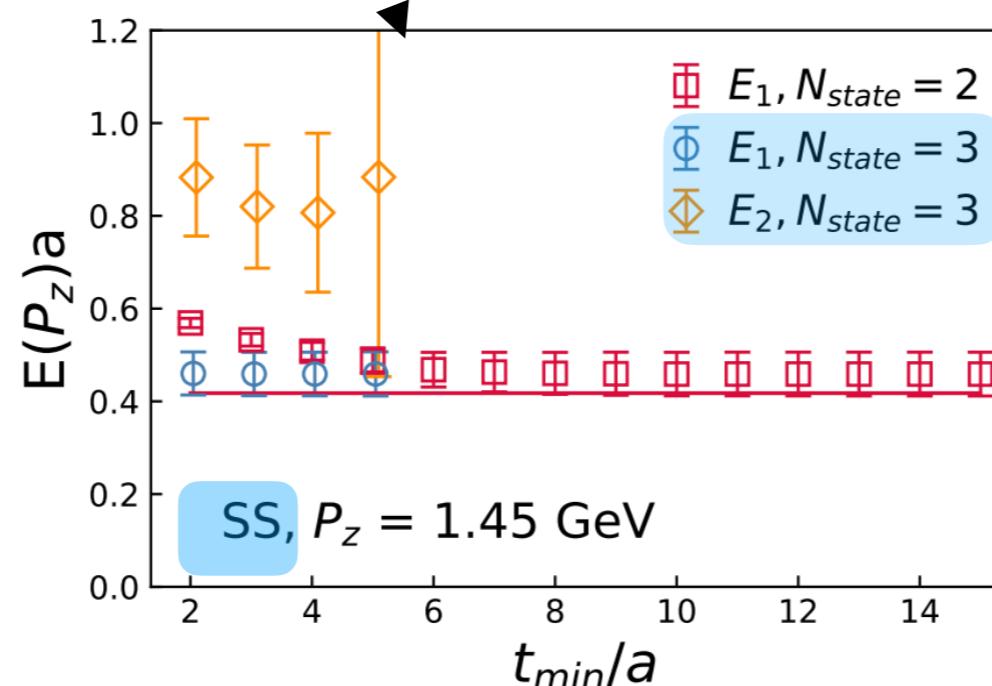
Used *smeared-point* (SP) and *smeared-smeared* (SS) correlators



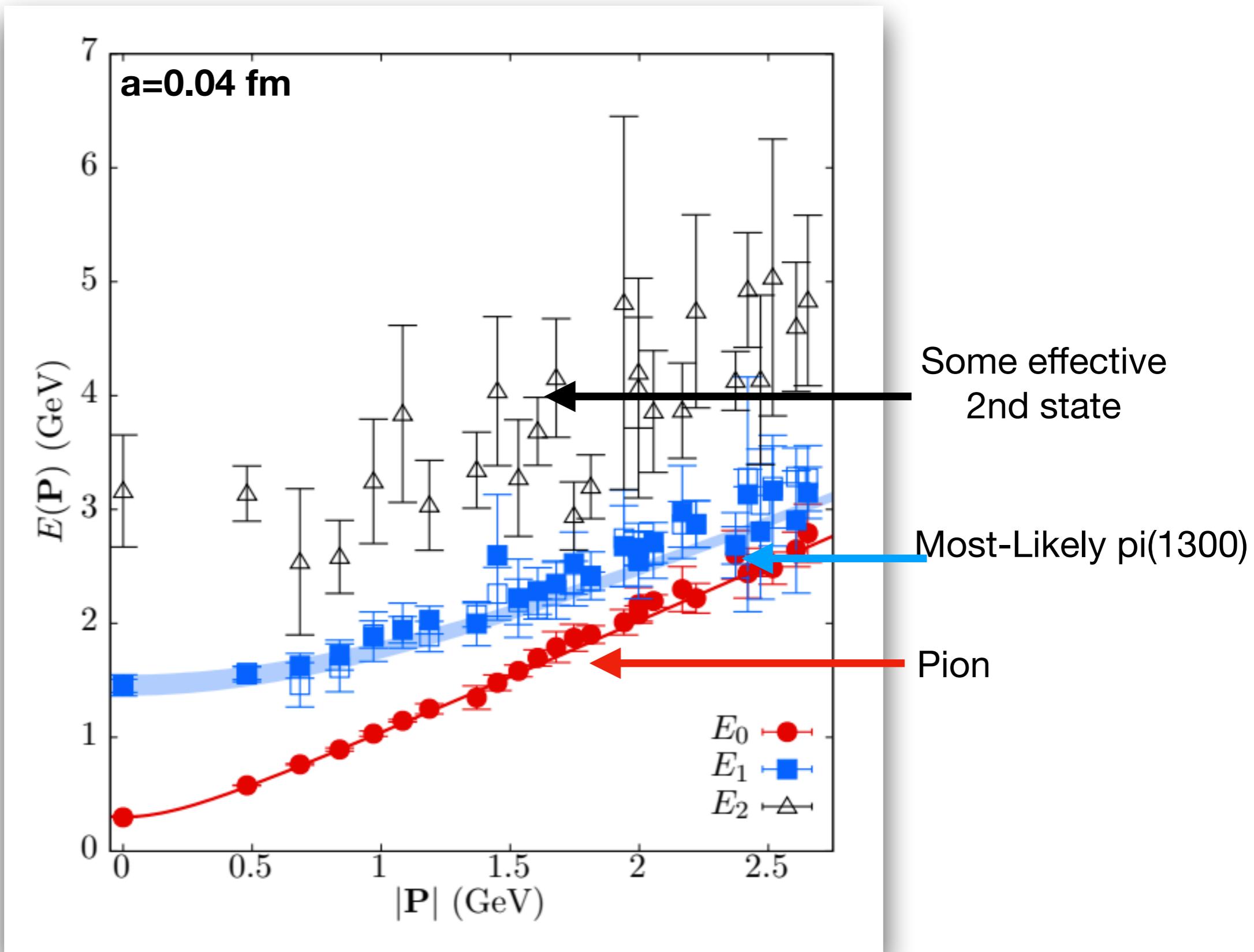
# Making use of SP and SS to determine excited states via 2-state and 3-state fits



Prior for  $E_1$  from 2-state SP to 3-state SS  
Use dispersion relation for  $E_0$



# Two-point function analysis

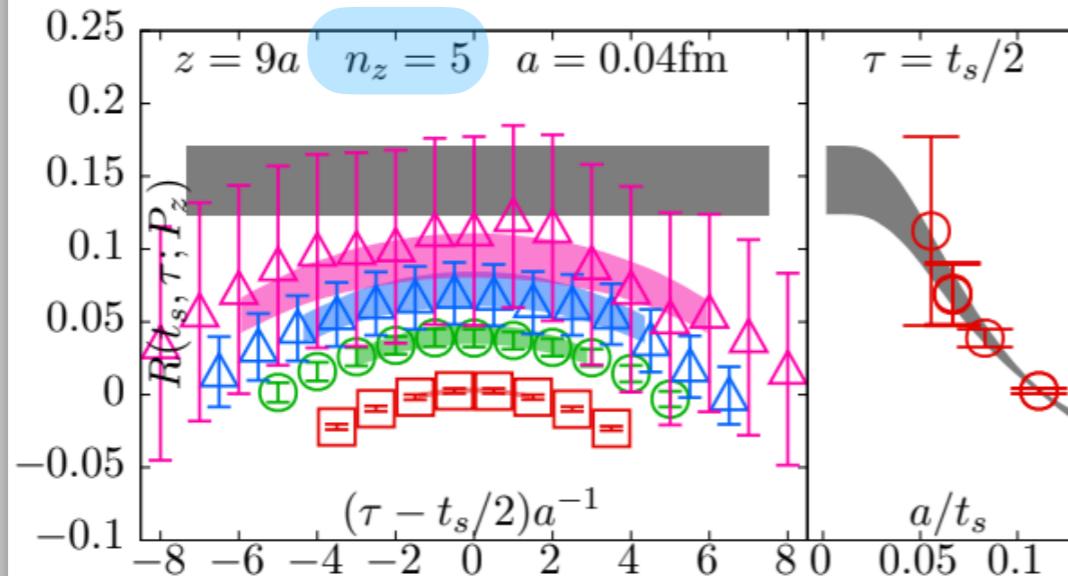
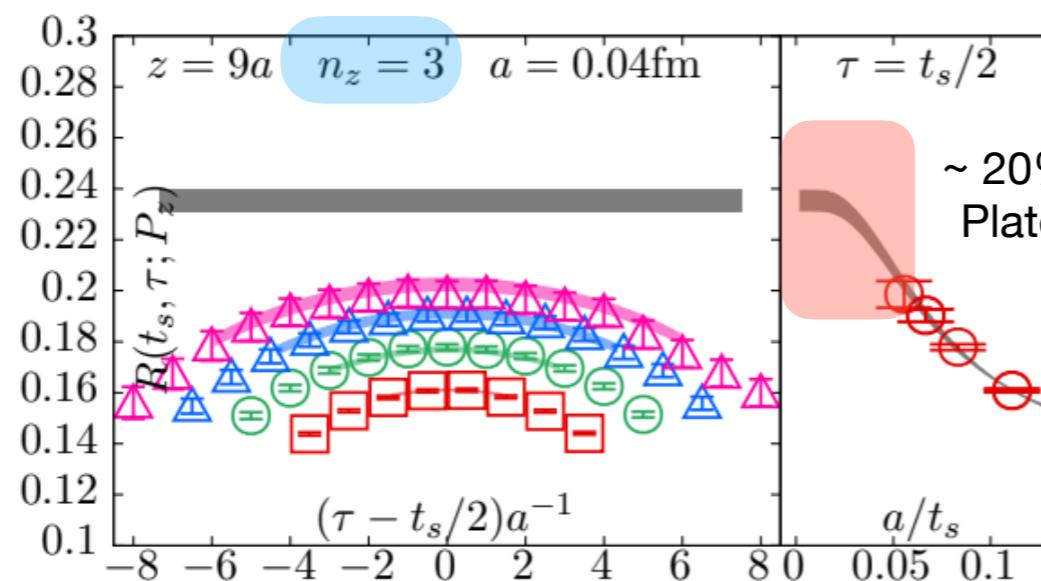
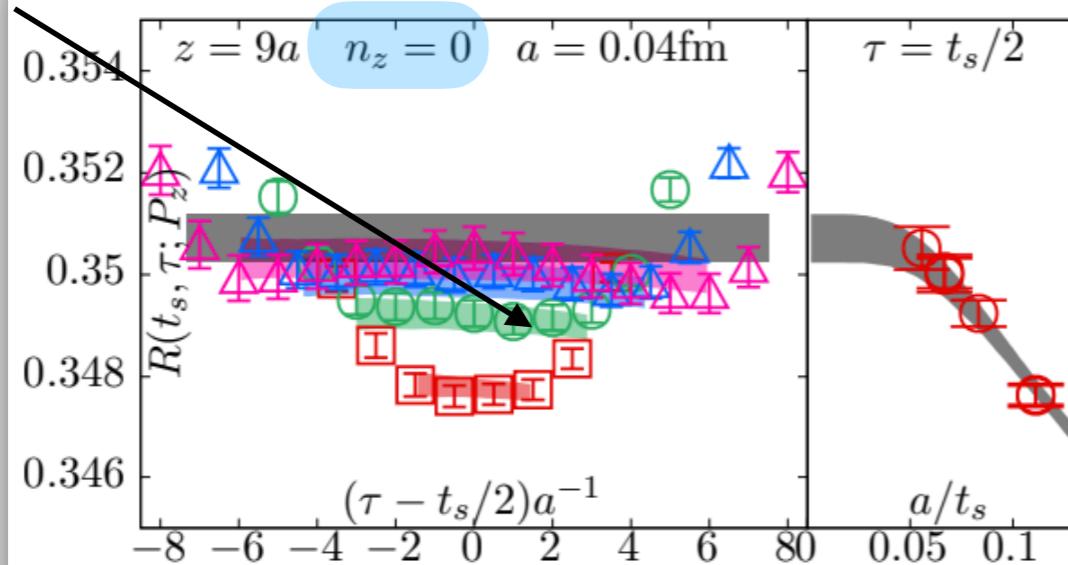


\*more momenta added later in PRD03 (2021) 9, 094510

## **3-pt function analysis:**

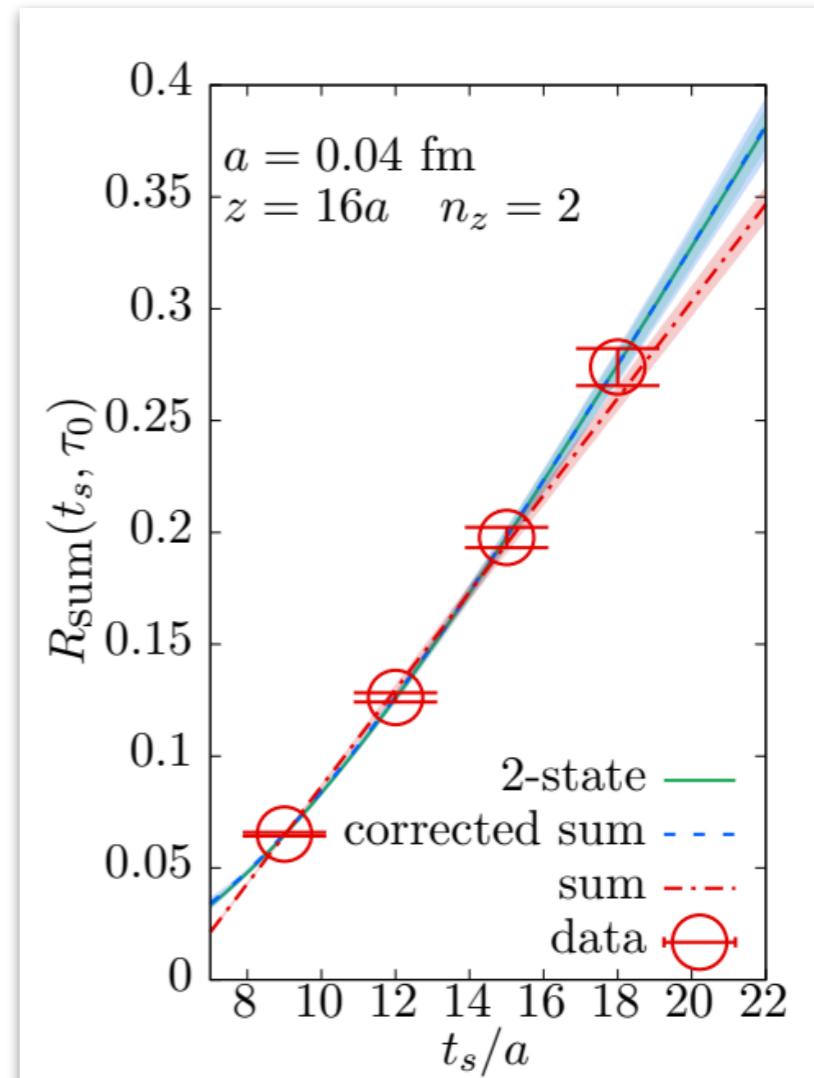
Extrapolate to get bare matrix element

## Periodicity effect from 2pt



$$R(t_s, \tau; z, P_z) \equiv \frac{C_{3\text{pt}}(t_s, \tau; z, P_z)}{C_{2\text{pt}}(t_s; P_z)}.$$

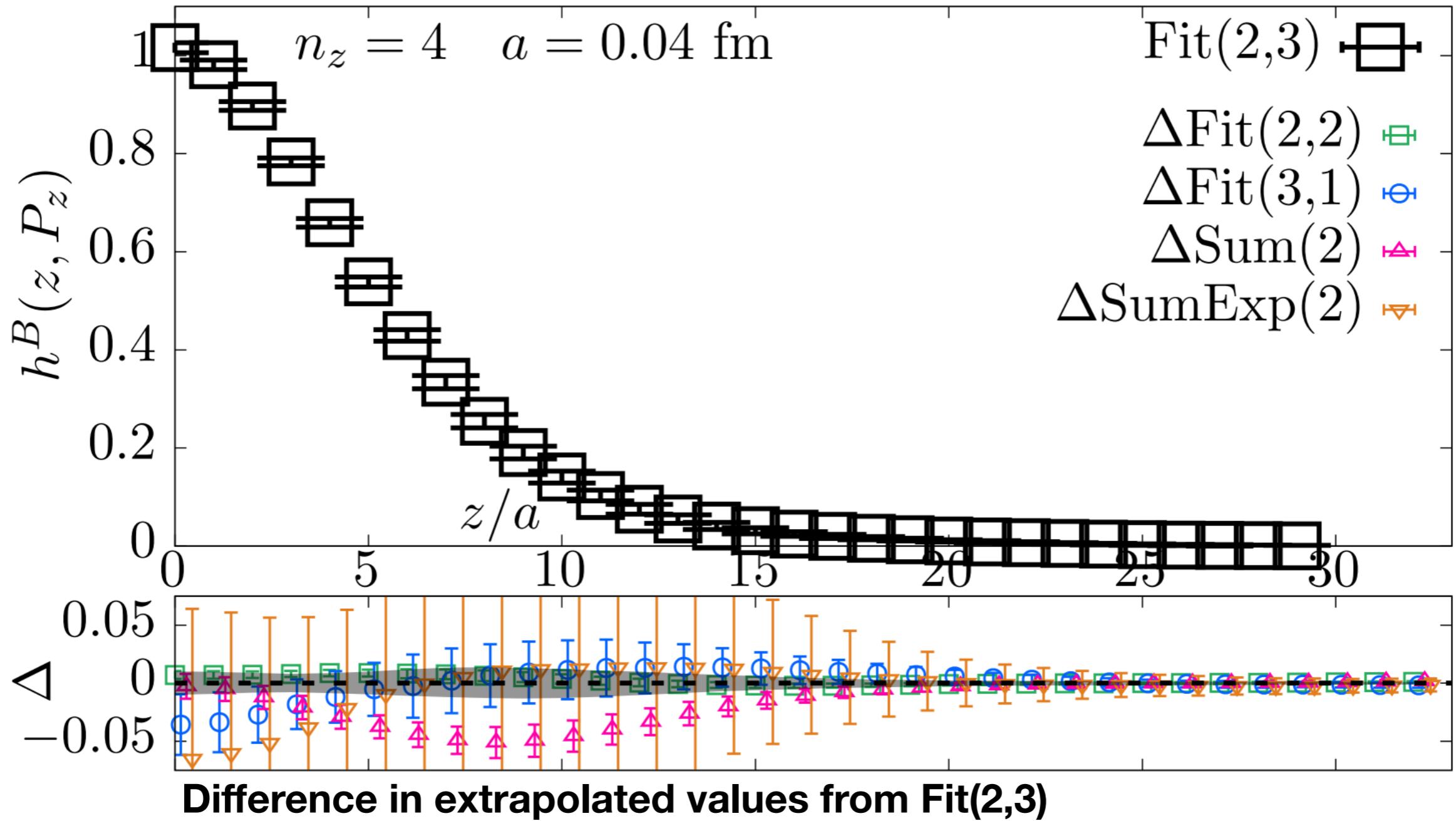
$$R(t_s, \tau) = \frac{\sum_{n,n'}^N A_n A_{n'}^* \langle E_n, P | O_{\gamma_t}(z) | E_{n'}, P \rangle e^{-(E_{n'} - E_n)\tau - E_n t_s}}{\sum_m^N |A_m|^2 e^{-E_m t_s}}.$$



$$R_{\text{sum}}(t_s) = \sum_{\tau=n_{\text{sk}}a}^{t_s-n_{\text{sk}}a} R(t_s, \tau).$$

$$R_{\text{sum}}(t_s) = (t_s - 2n_{\text{sk}}a)h^B(z, P_z) + B_0 + B_1 e^{-(E_1 - E_0)t_s}. \quad (12)$$

# Looking for robustness in extrapolations



# **Renormalization and matching**

# Renormalization methods used:

\***Double ratio:**

make  $z=0$  matrix element to be 1 by hand

## RI-MOM

(p-slash, Landau gauge)

J.-W. Chen, T. Ishikawa, L. Jin, H.-W. Lin, Y.-B. Yang,  
J.-H. Zhang, and Y. Zhao, [Phys. Rev. D 97, 014505 \(2018\)](#), [arXiv:1706.01295 \[hep-lat\]](#).

$$h_0^R(z, P_z, P^R) = Z_q Z_{\gamma_t \gamma_t}(z, P^R) h^B(z, P_z) \xrightarrow[\text{Ratio}]{\text{Double}} h^R(z, P_z, P^R) \equiv \frac{h_0^R(z, P_z, P^R)}{h_0^R(0, P_z, P^R)}.$$

## RGI Ratio and its generalization

K. Orginos, A. Radyushkin, J. Karpie, and  
S. Zafeiropoulos, [Phys. Rev. D 96, 094503 \(2017\)](#),  
[arXiv:1706.05373 \[hep-ph\]](#).

$$\mathcal{M}_0(z, P_z, P_z^0) = \frac{h^B(z, P_z)}{h^B(z, P_z^0)} \xrightarrow[\text{Ratio}]{\text{Double}} \mathcal{M}(z, P_z, P_z^0) = \frac{\mathcal{M}_0(z, P_z, P_z^0)}{\mathcal{M}_0(0, P_z, P_z^0)}$$

## Why use non-zero $P_z^0$ ?

- Avoid wrap-around effect
- Make leading-twist dominate both numerator and denominator

# Leading-twist OPE expression for ratio

## Ratio and its generalization

$$\mathcal{M}_0(z, P_z, P_z^0) = \frac{h^B(z, P_z)}{h^B(z, P_z^0)} \cdot \xrightarrow{\text{Double Ratio}} \mathcal{M}(z, P_z, P_z^0) = \frac{\mathcal{M}_0(z, P_z, P_z^0)}{\mathcal{M}_0(0, P_z, P_z^0)}$$

$$\mathcal{M}(z, P_z, P_z^0 = 0) = \sum_n c_n(z^2 \mu^2) \langle x^n \rangle(\mu) \frac{(-iP_z z)^n}{n!}$$



$$\mathcal{M}(z, P_z, P_z^0) = \frac{\sum_n c_n(z^2 \mu^2) \langle x^n \rangle(\mu) \frac{(-iP_z z)^n}{n!}}{\sum_n c_n(z^2 \mu^2) \langle x^n \rangle(\mu) \frac{(-iP_z^0 z)^n}{n!}}$$

T. Izubuchi, X. Ji, L. Jin, I. W. Stewart, and Y. Zhao,  
Phys. Rev. D **98**, 056004 (2018), arXiv:1801.03917 [hep-ph].

## Matching @ NLO

## Resummation in another paper:

X. Gao, K. Lee, S. Mukherjee, and Y. Zhao, Phys. Rev. D **103**, 094504 (2021), arXiv:2102.01101 [hep-ph].

**Note:** Matching implemented via leading-twist OPE form throughout.  
No complication due to non-factorizability for non-zero  $P_z^0$

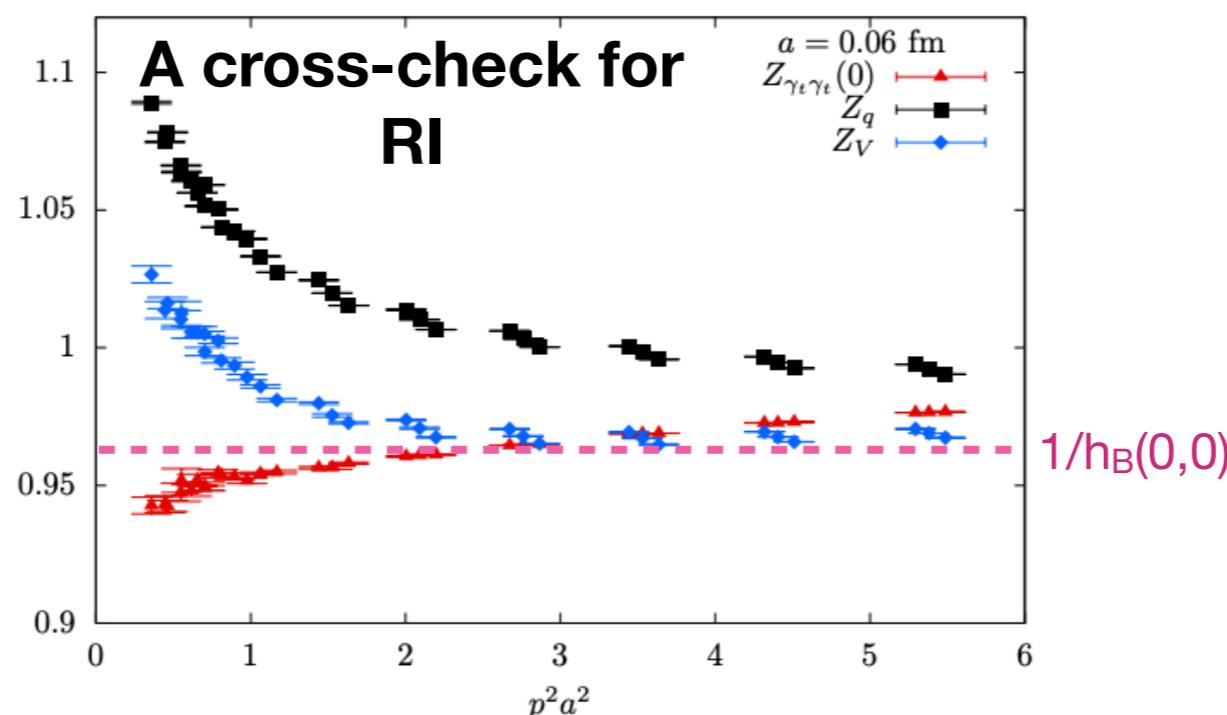
# Leading-twist OPE expression for RI-MOM

$$h_0^R(z, P_z, P^R) = Z_q Z_{\gamma_t \gamma_t}(z, P^R) h^B(z, P_z) \xrightarrow[\text{Double Ratio}]{} h^R(z, P_z, P^R) \equiv \frac{h_0^R(z, P_z, P^R)}{h_0^R(0, P_z, P^R)}$$

$$h^R(z, P_z, P^R) = \sum_n c_n^{\text{RI}}(z^2, \mu^2, P^R) \langle x^n \rangle(\mu) \frac{(-iP_z z)^n}{n!}$$

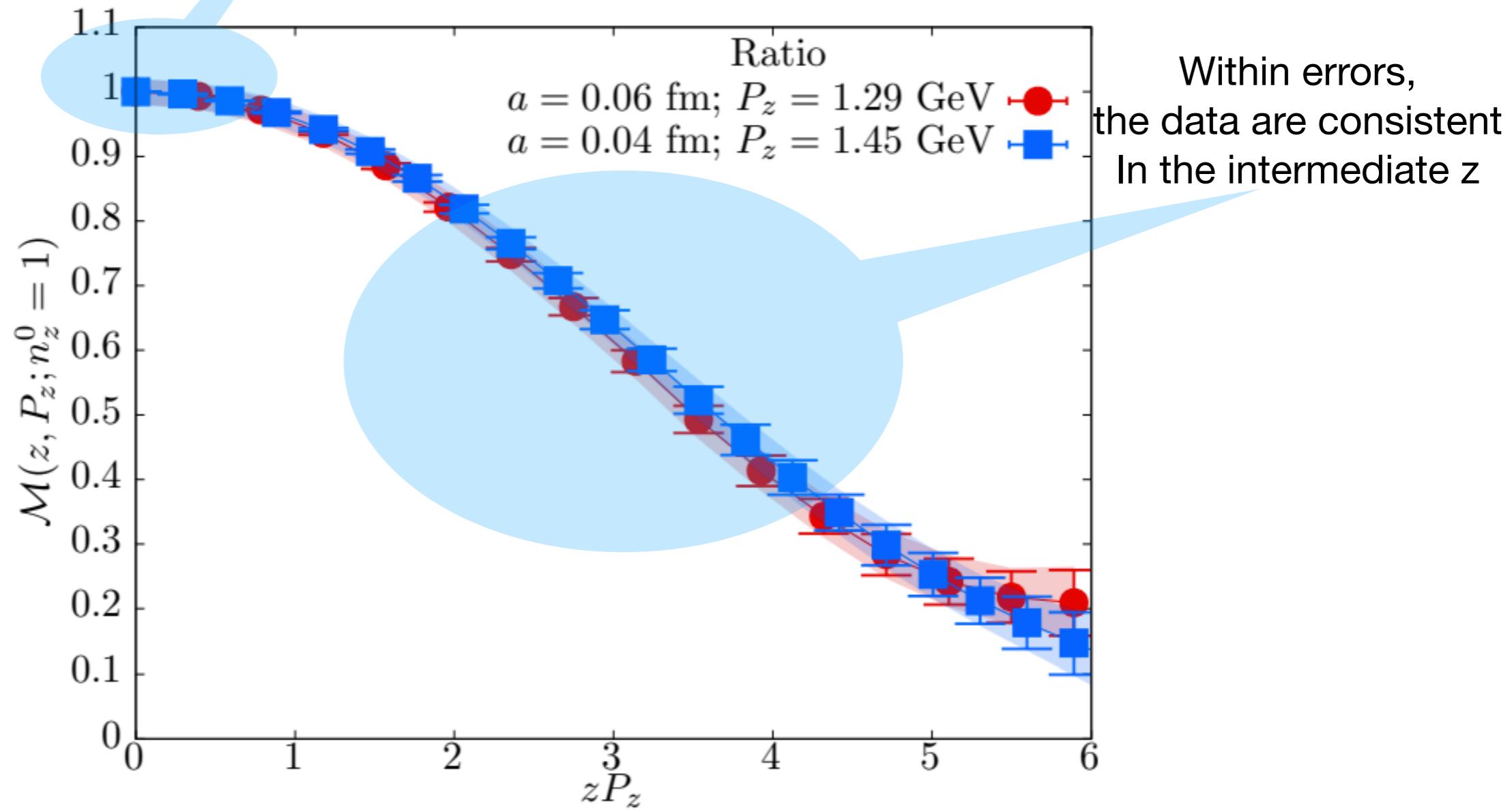
$$c_n^{\text{RI}}(z^2, \mu^2, P^R) = Z_{\text{ratio} \rightarrow \text{RI}}(z, P^R, \mu) c_n(z^2 \mu^2),$$

↑  
 1-loop  
 Conversion factor      ↑  
 Ratio C<sub>n</sub>



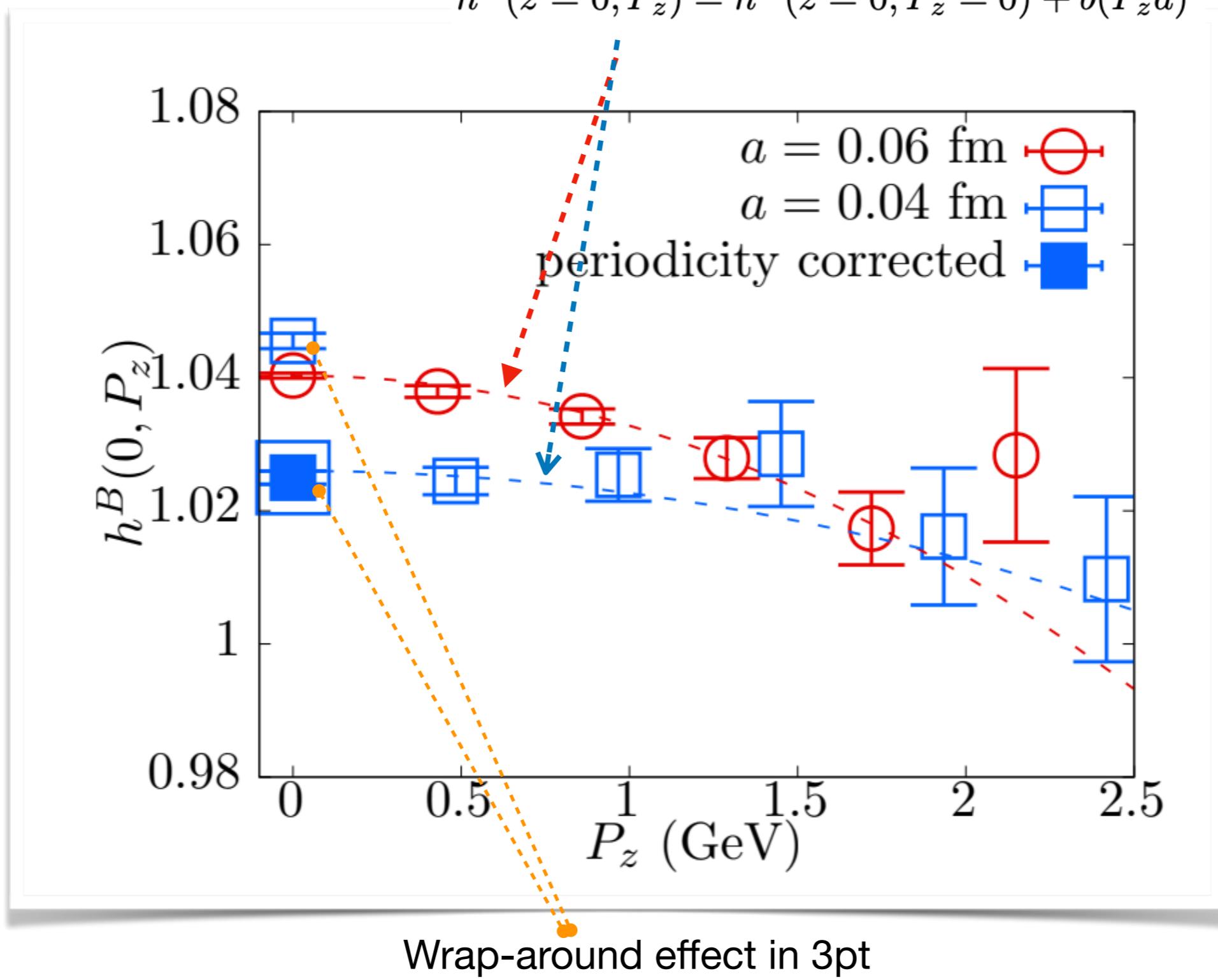
# **Analysis of lattice artifacts**

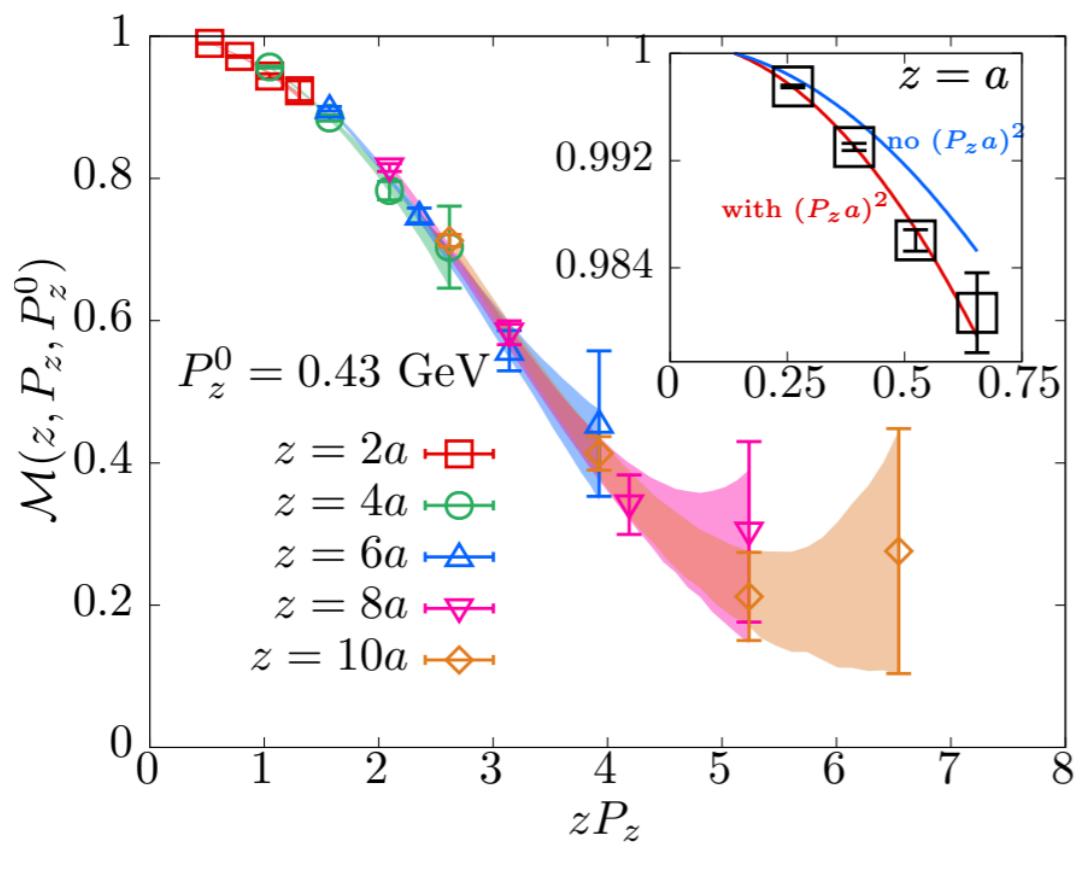
Lattice corrections hidden here;  
Important because errors are too tiny after double ratio



Let us look at the bare z=0 local current operator matrix element before double ratios

$$h^B(z = 0, P_z) = h^B(z = 0, P_z = 0) + b(P_z a)^2$$

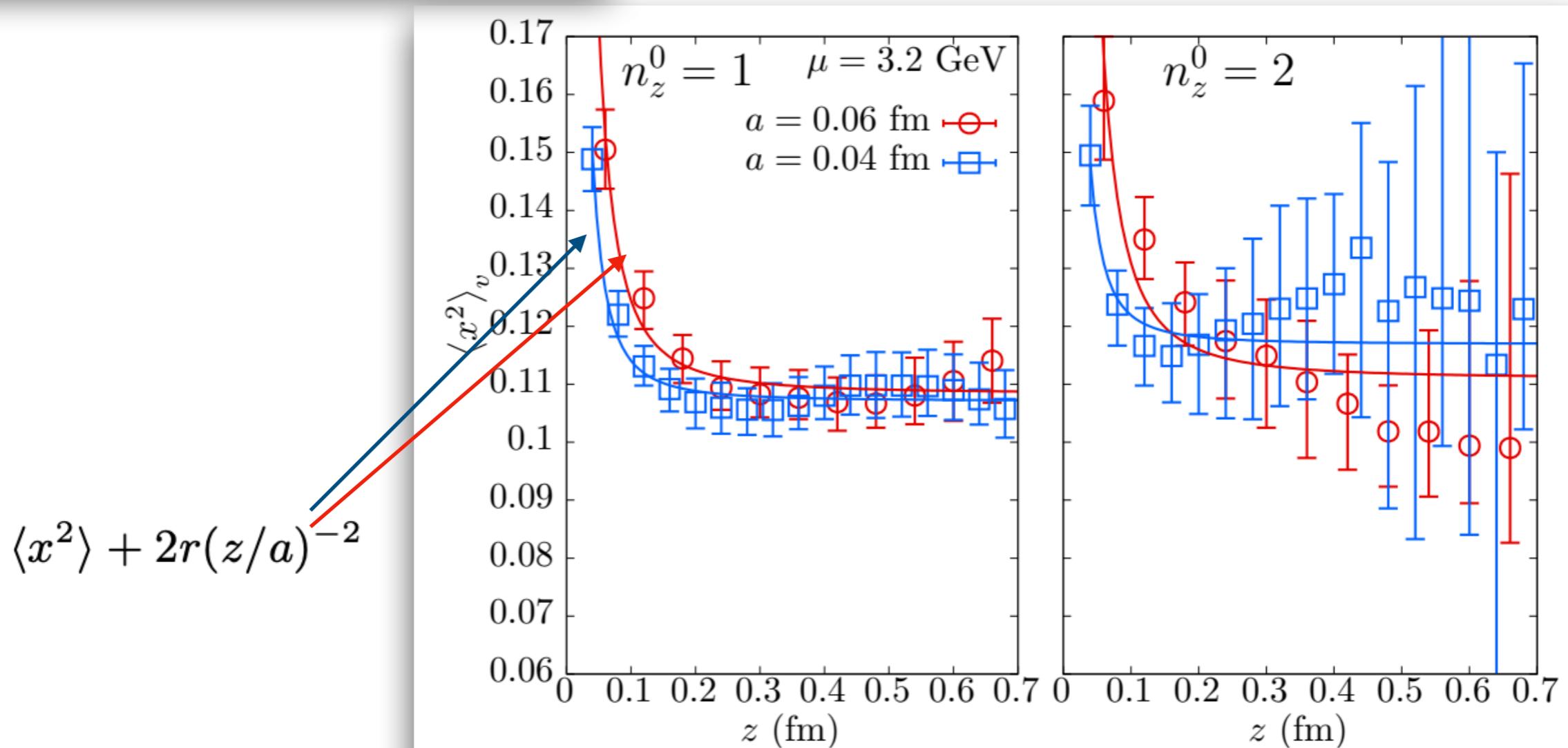




# Analysis of lattice artifacts via “OPE without OPE”

Fit  $\langle x^n \rangle$  via leading-twist OPE as a function of  $P_z$  at fixed  $z$

J. Karpie, K. Orginos, and S. Zafeiropoulos, JHEP 11, 178 (2018), arXiv:1807.10933 [hep-lat].



# Inference: presence of momentum dependent lattice corrections

$$\mathcal{M}(z, P_z, P_z^0) = \frac{\sum_n c_n(z^2 \mu^2) \langle x^n \rangle(\mu) \frac{(-iP_z z)^n}{n!} + r(aP_z)^2}{\sum_n c_n(z^2 \mu^2) \langle x^n \rangle(\mu) \frac{(-iP_z^0 z)^n}{n!} + r(aP_z^0)^2}$$



$$\langle x^2 \rangle \rightarrow \langle x^2 \rangle - \frac{2r}{c_2(\mu^2 z^2)} \frac{1}{(z/a)^2}$$

**cross-check:**  $r= 0.021$  and  $0.022$  on the  $0.04$  fm and  $0.06$  fm

A similar observation with  $(a/z)$  correction in nucleon in a recent paper

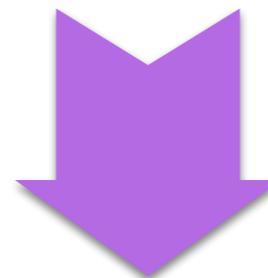
J. Karpie, K. Orginos, A. Radyushkin, and S. Zafeiropoulos, (2021), [arXiv:2105.13313 \[hep-lat\]](https://arxiv.org/abs/2105.13313).

# **Analysis of higher-twist corrections**

# Internal consistency of 1-loop twist-2 framework

**RI-MOM**

$$O_{\text{RI}}(z) = Z(p^R, z) O_{\text{bare}}(z)$$



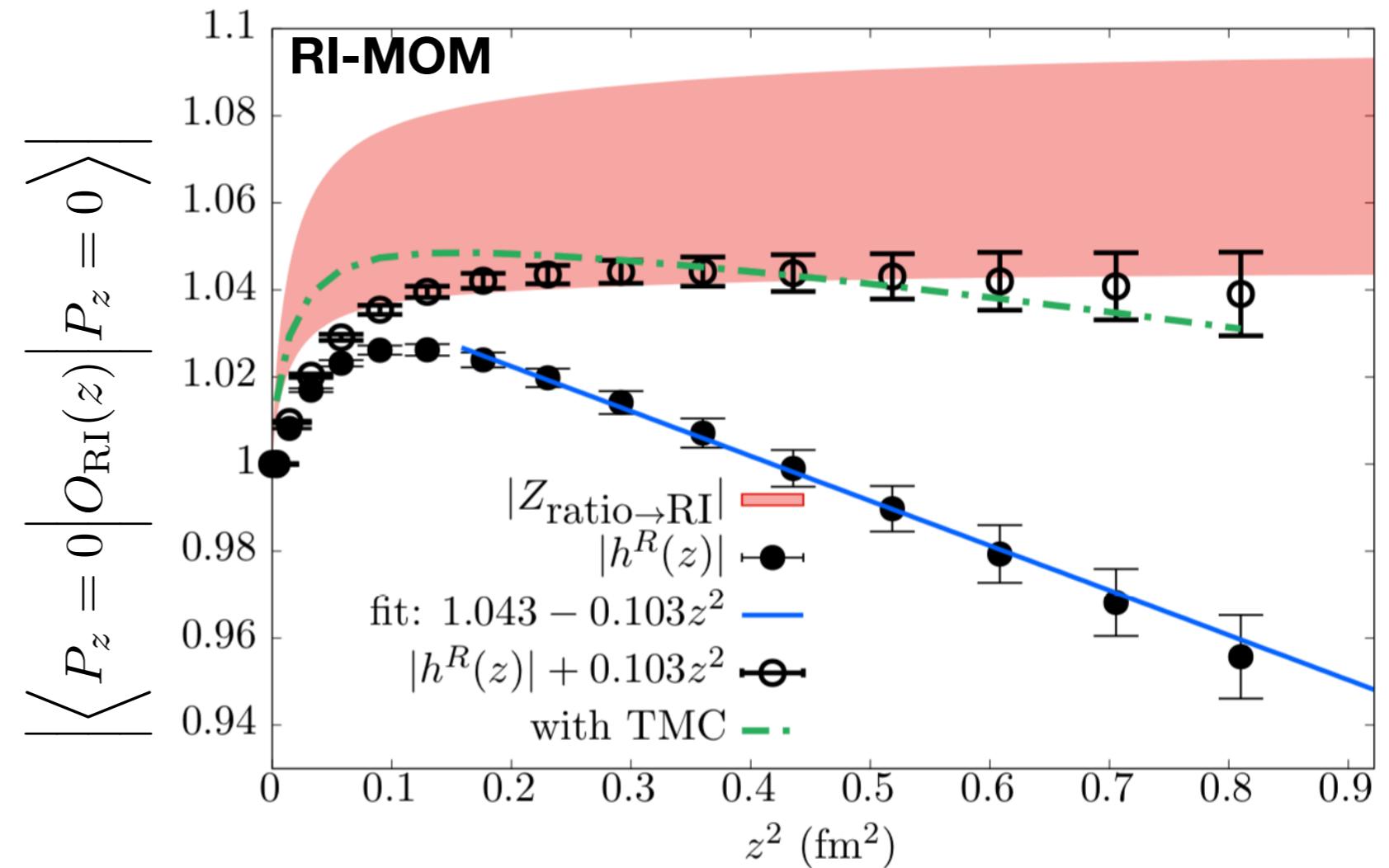
$$Z_{\text{conversion}}(z)$$

**Ratio**

$$\frac{\left\langle P_z \left| O_{\text{bare}}(z) \right| P_z \right\rangle}{\left\langle P_z^0 \left| O_{\text{bare}}(z) \right| P_z^0 \right\rangle}$$

# Internal consistency of 1-loop twist-2 framework

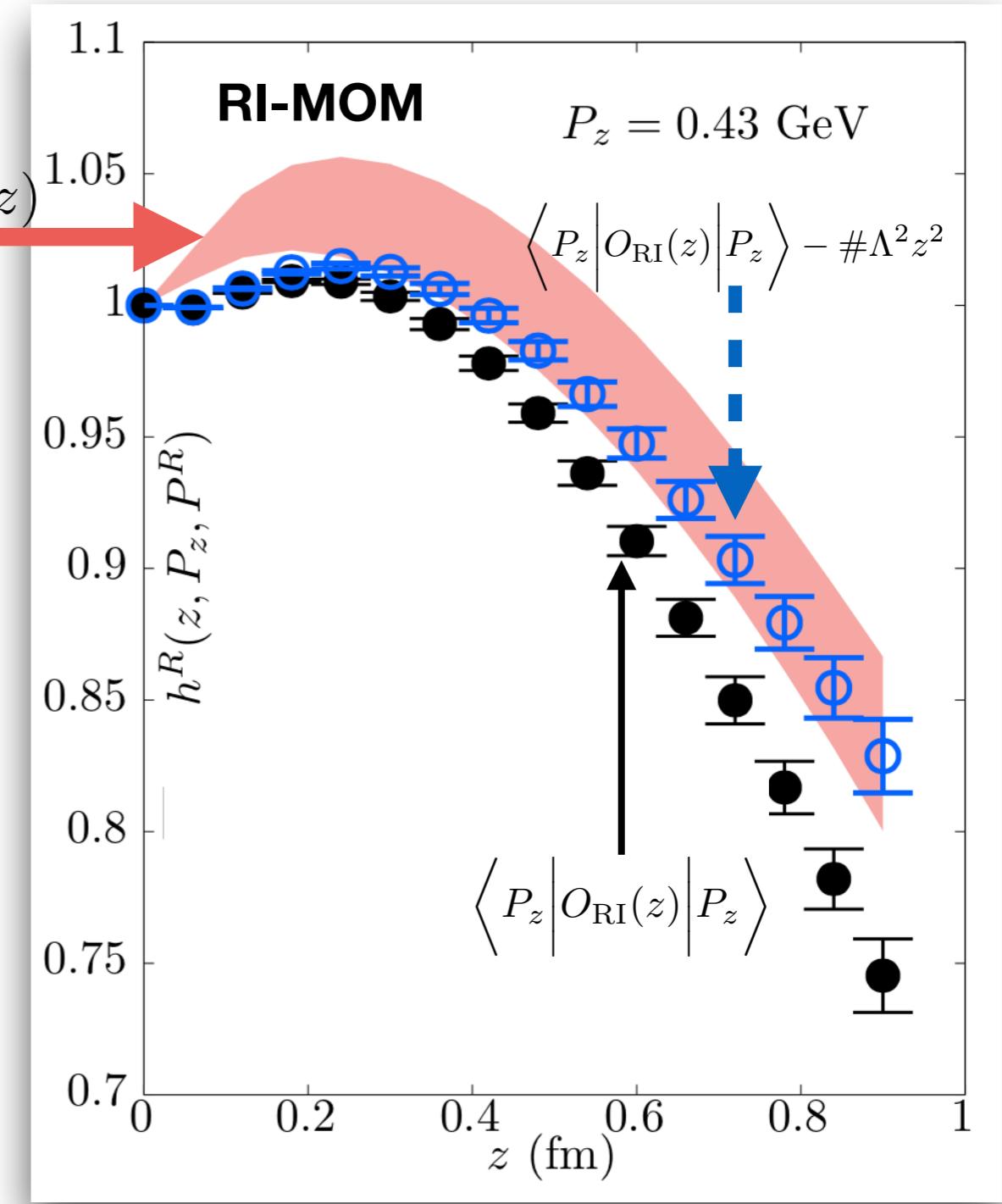
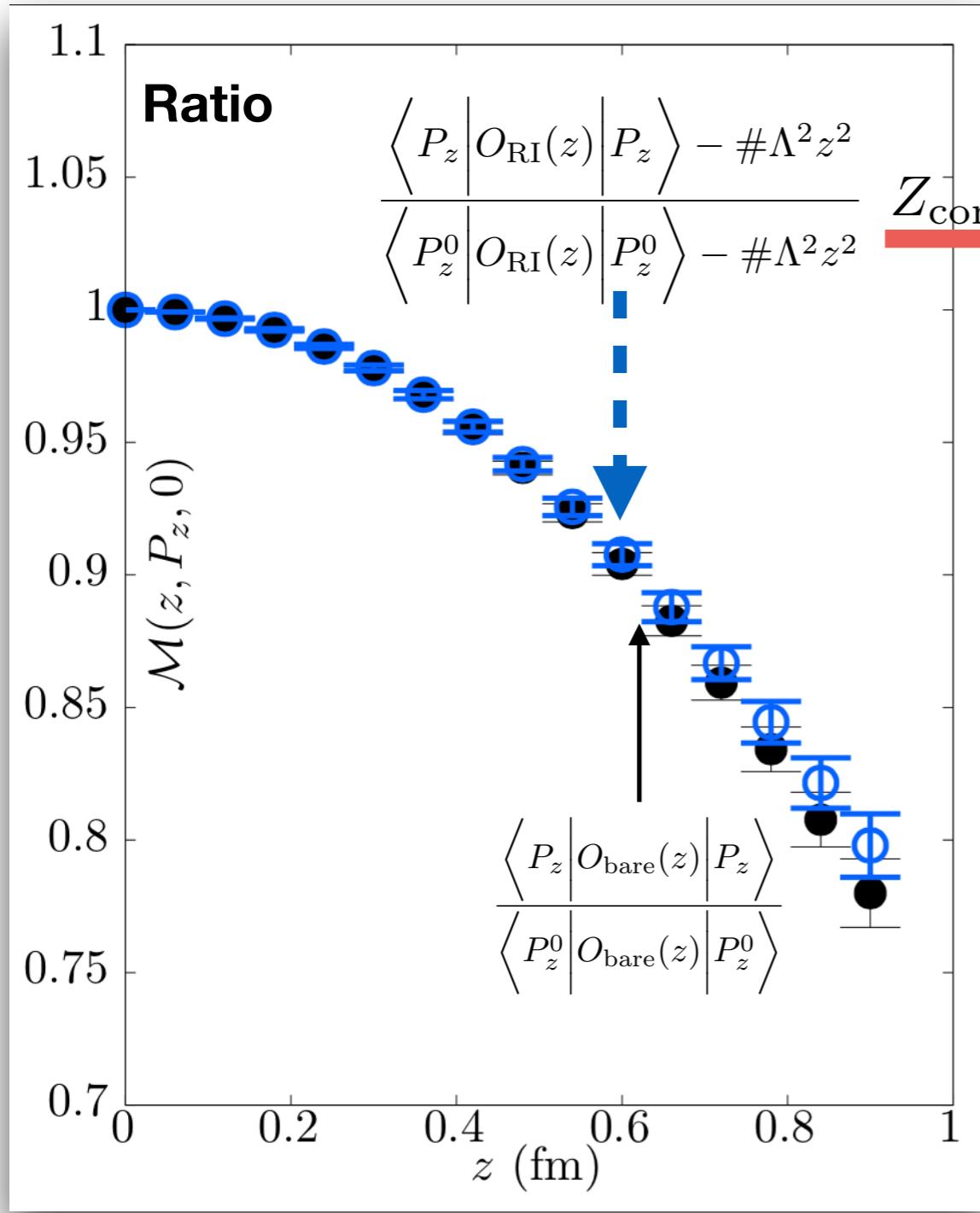
Zero momentum matrix element  
is a great place to study  
higher twist effects!



$$\begin{aligned} \mathcal{M}(z, P_z = 0) &= c_0(z^2 \mu^2) + \sum_{n=1} \#(izP_z)^n \langle x^n \rangle \\ &\quad + \#z^2 \Lambda_{\text{QCD}}^2 + \dots \end{aligned}$$

# Internal consistency of 1-loop twist-2 framework

Smallest non-zero  $P_z$



## **Analysis of moments:**

Fit leading-twist OPE with moments as fit parameters

**Fit data satisfying**  $z \in [z_{\min}, z_{\max}]$  **and**  $P_z > P_z^0$  **with  $\langle x^n \rangle$  as fit parameters**

$$\mathcal{M}(z, P_z, P_z^0) = \frac{\sum_n c_n(z^2 \mu^2) \langle x^n \rangle(\mu) \frac{(-iP_z z)^n}{n!} + r(aP_z)^2}{\sum_n c_n(z^2 \mu^2) \langle x^n \rangle(\mu) \frac{(-iP_z^0 z)^n}{n!} + r(aP_z^0)^2}$$

### Technical aside:

#### Positivity of u-d valence PDF



Condition on derivative of  $\langle x^n \rangle$  wrt n

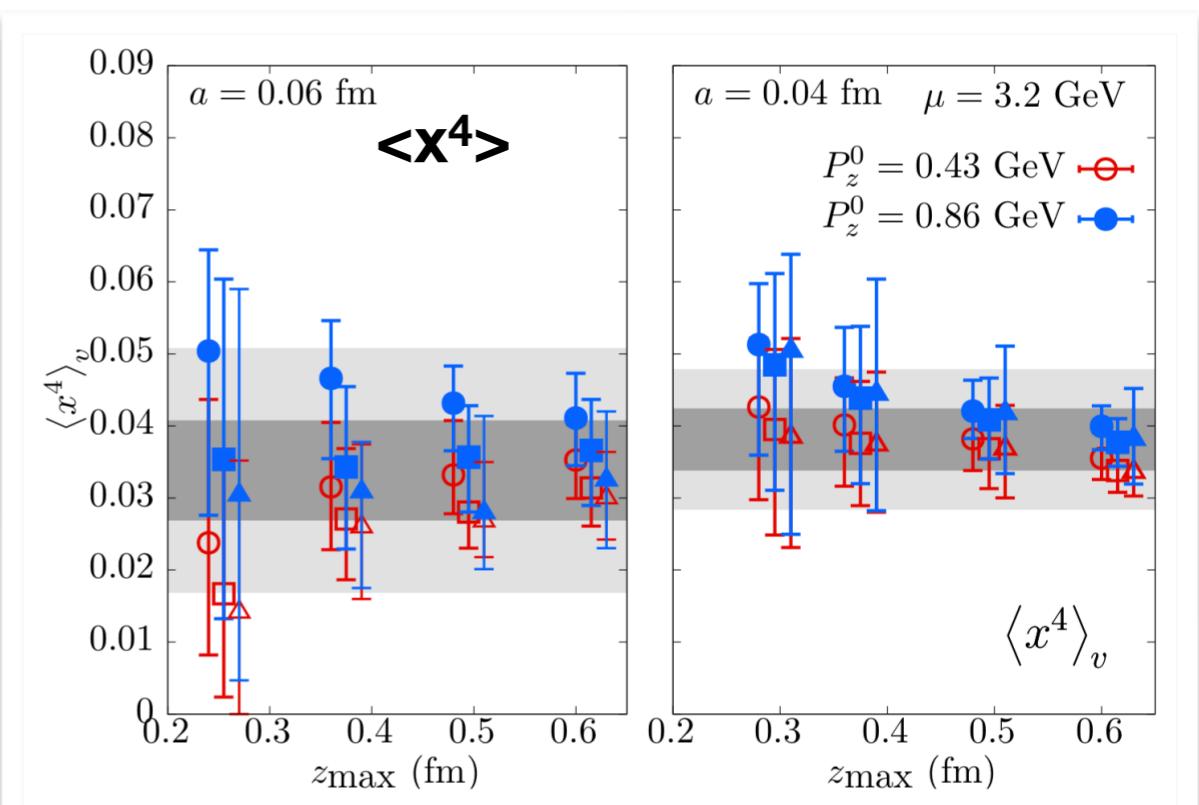
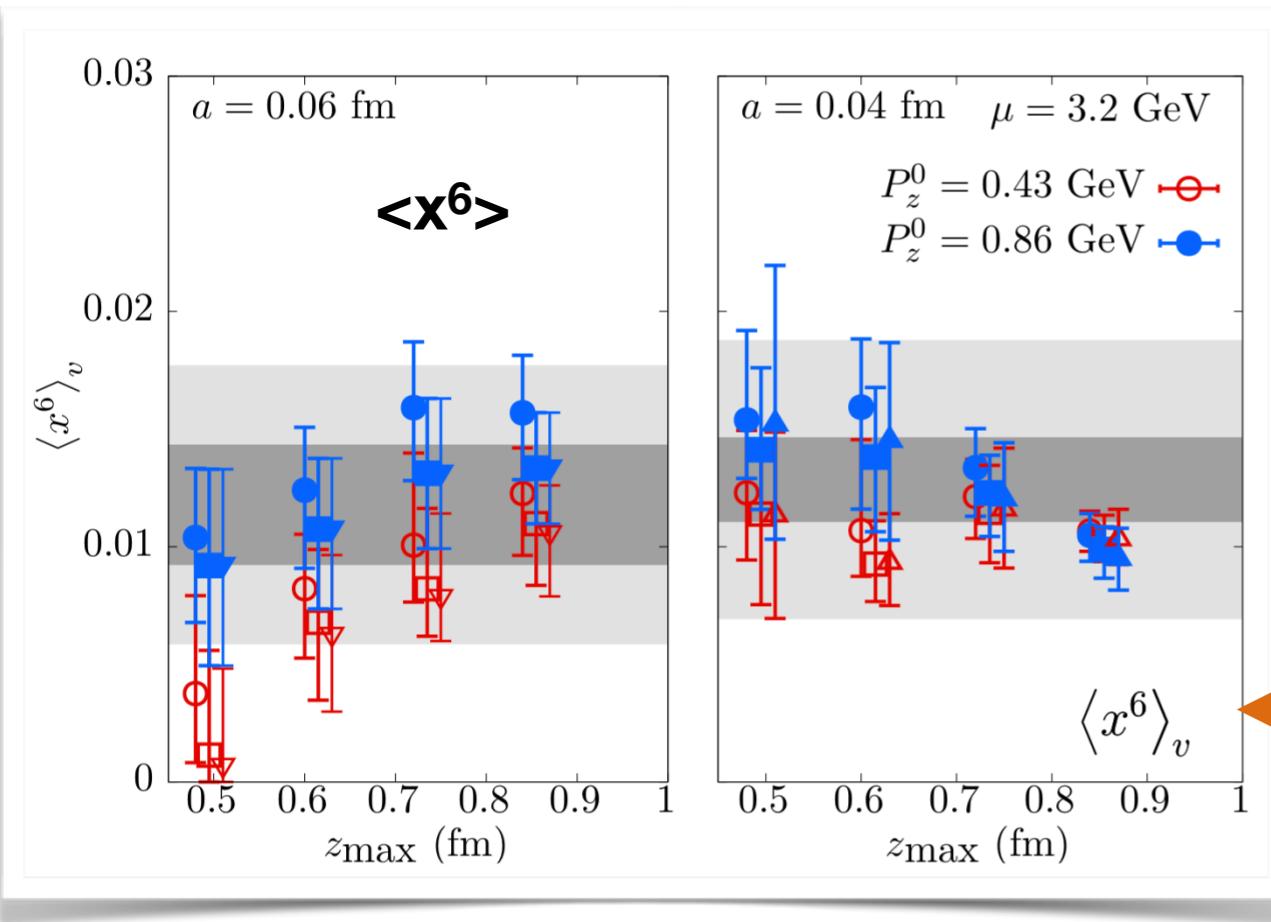
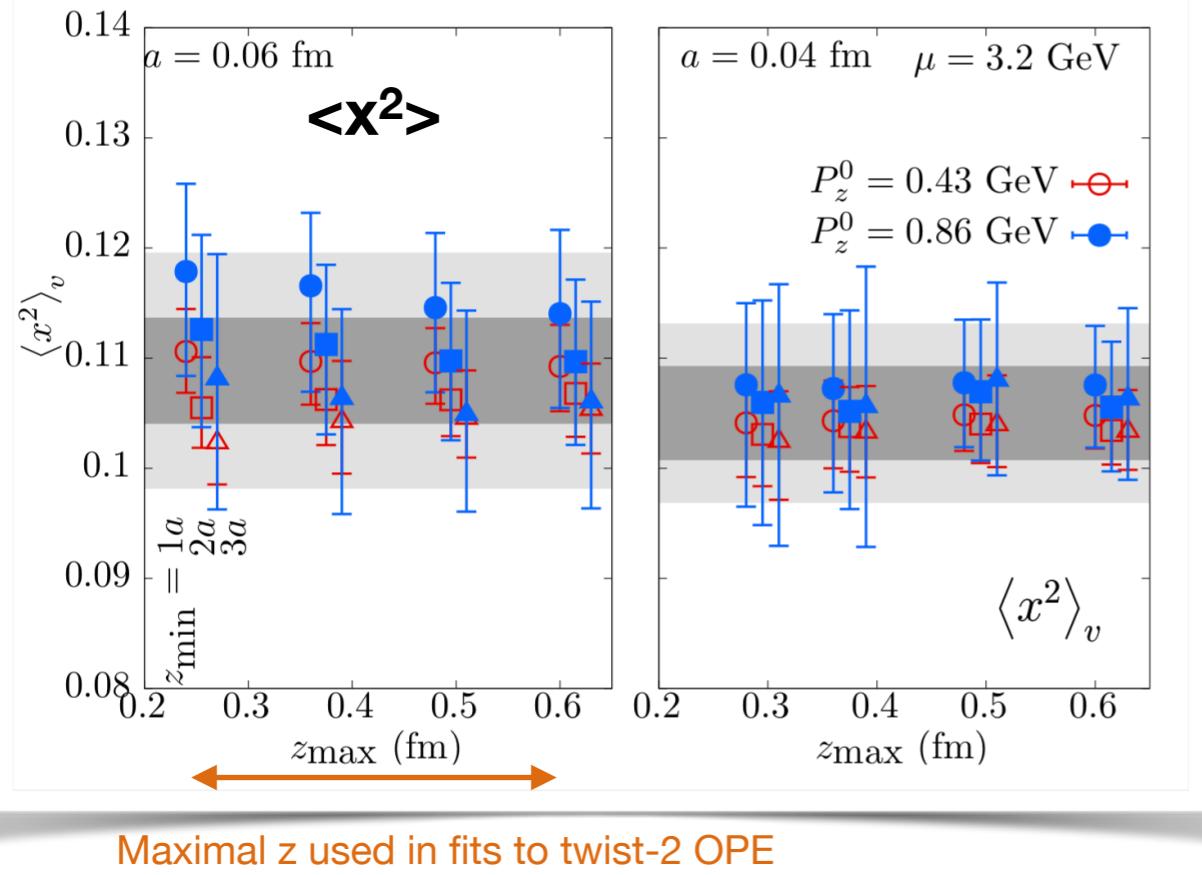
$$\begin{aligned} \langle x^{n+2} \rangle_{u-d} &< \langle x^n \rangle_{u-d} \quad \text{and,} \\ \langle x^{n+2} \rangle_{u-d} + \langle x^{n-2} \rangle_{u-d} - 2\langle x^n \rangle_{u-d} &> 0. \end{aligned}$$



A convenient variable change

$$\langle x^n \rangle_v \equiv \sum_{i=n}^N \sum_{j=i}^N e^{-\lambda_j},$$

# Direct computation of even valence moments



Need larger z for higher moments.  
Prior on lower moment helps.

$$\chi^2 = \chi^2 + \sum_{i=1}^{N_{\text{prior}}} \frac{(\langle x^i \rangle_v - \langle x^i \rangle_{\text{prior}})^2}{(\sigma_i^{\text{prior}})^2}$$

# **Determination of x-dependent PDFs**

Fit PDF-Ansatze to lattice matrix elements

## Fitting, approximations and their rationale

Minimize chi-square: fit a finite range of  $z$  and  $P_z$

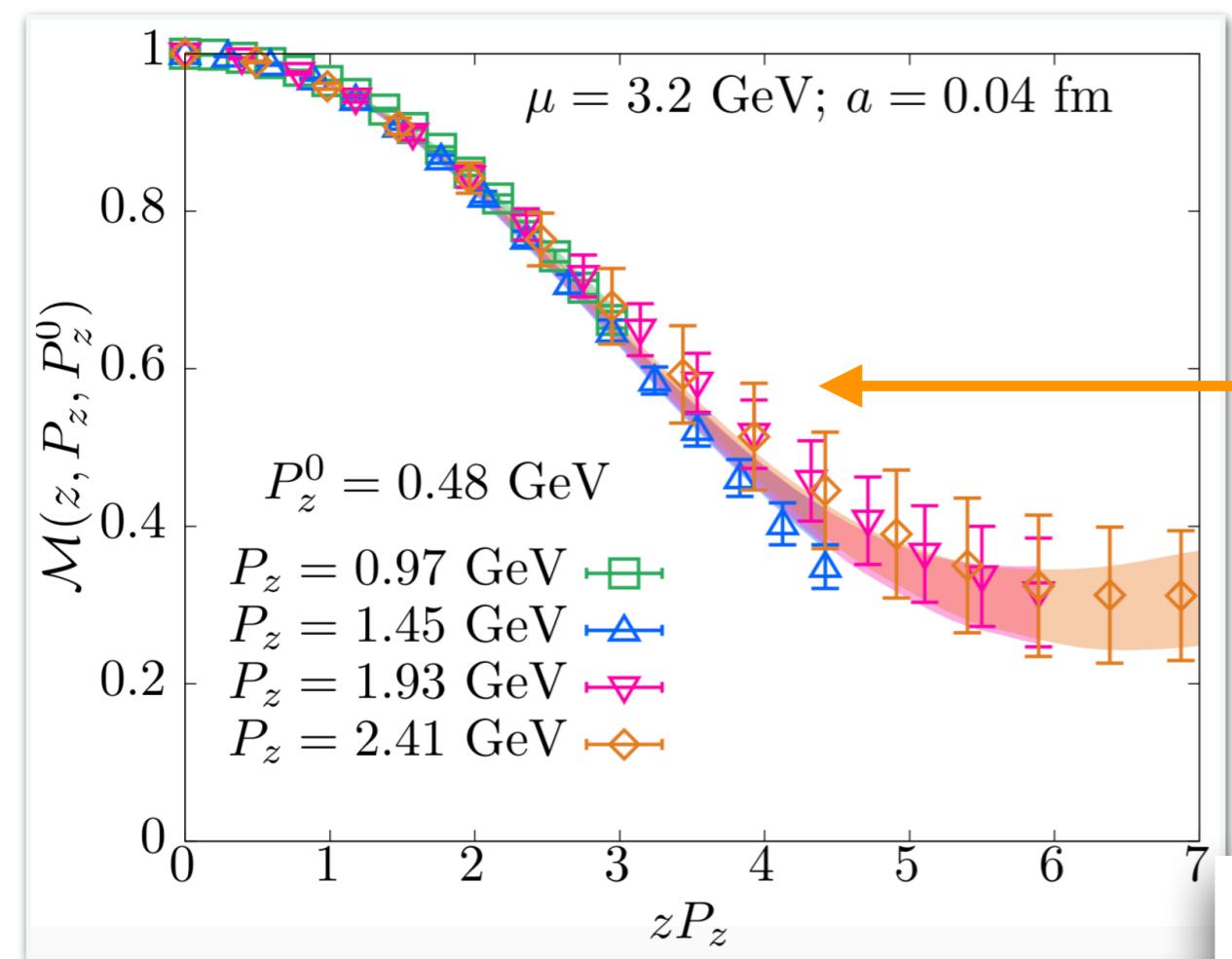
$$\chi^2 \equiv \sum_{\substack{P_z > P_z^0 \\ z=z_1}}^{P_z^{\max}} \sum_{z=z_1}^{z_2} \frac{(\mathcal{M}(z, P_z, P_z^0) - \mathcal{M}_{\text{model}}(z, P_z, P_z^0; \alpha, \dots))^2}{\sigma_{\text{stat}}^2(z, P_z, P_z^0) + \sigma_{\text{sys}}^2(z, P_z, P_z^0)}$$

Include uncertainty in perturbation theory added in quadrature:

$$\sigma_{\text{sys}}(z, \dots) = \frac{1}{2} \left( \mathcal{M}_{\text{model}}(z, \dots)|_{\alpha_s(\mu/2)} - \mathcal{M}_{\text{model}}(z, \dots)|_{\alpha_s(2\mu)} \right).$$

**Drawback: Correlation very important! One needs to weigh-in two things:**

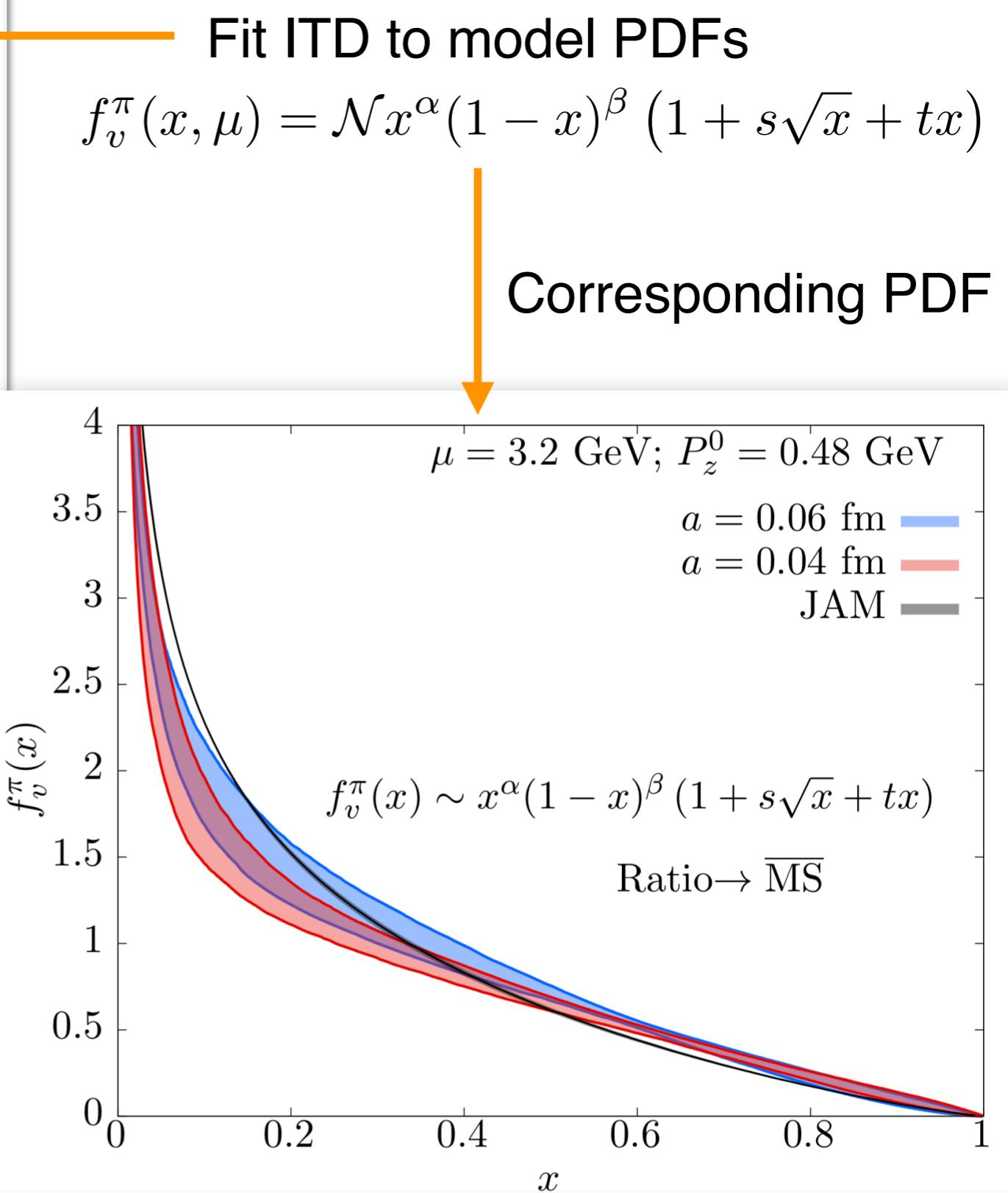
- Scale dependence in matching is comparable to statistical errors. Can't ignore.
- Model selection when underlying theory has larger uncertainty: how to do it?



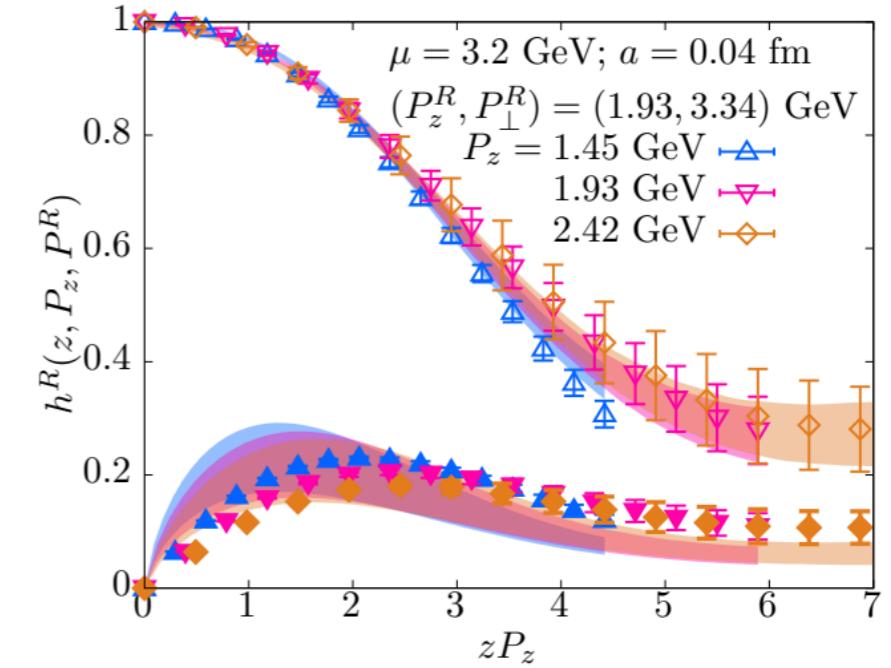
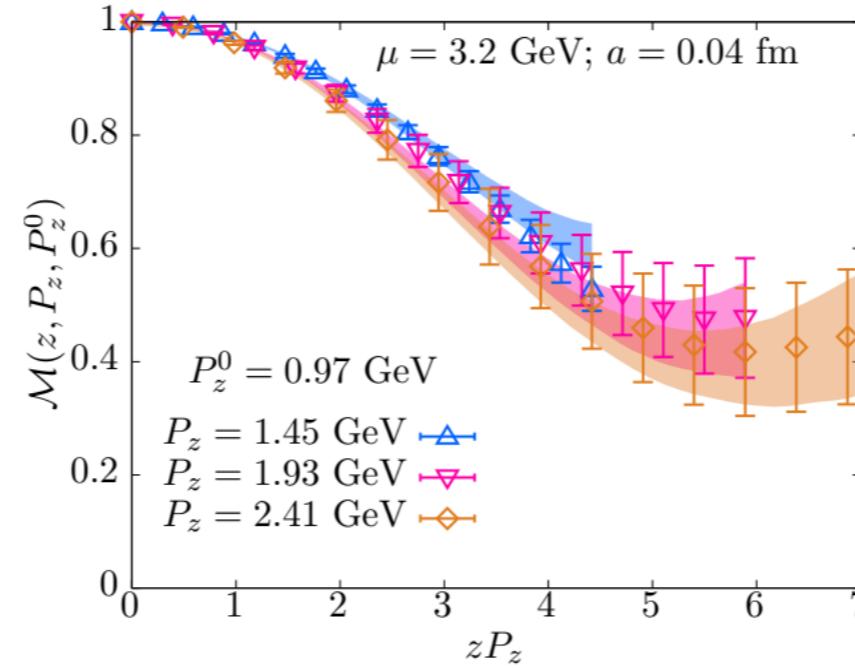
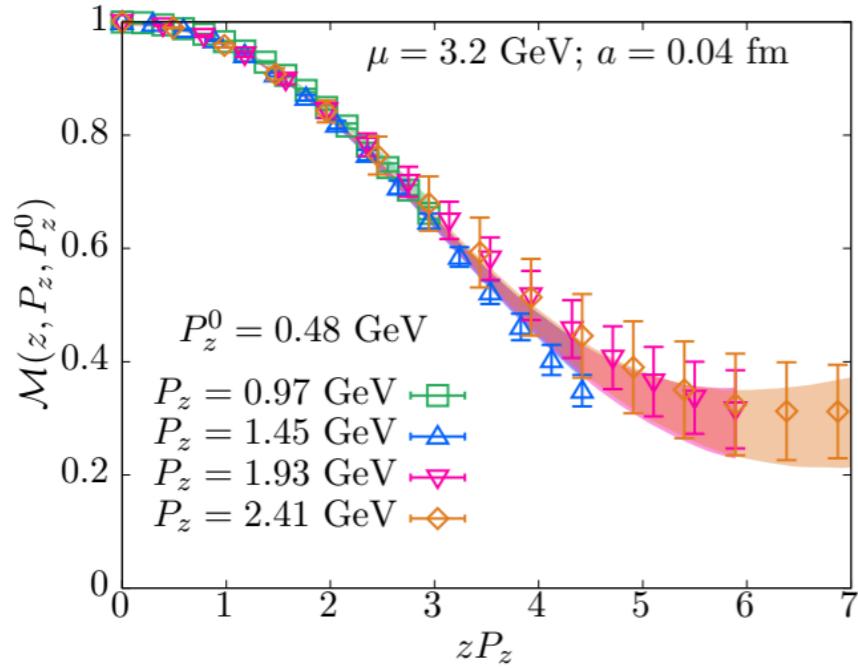
## Reconstructing PDFs

Fit only data points with  $z \in [1( \text{ or } 2)a, z_{\max}]$

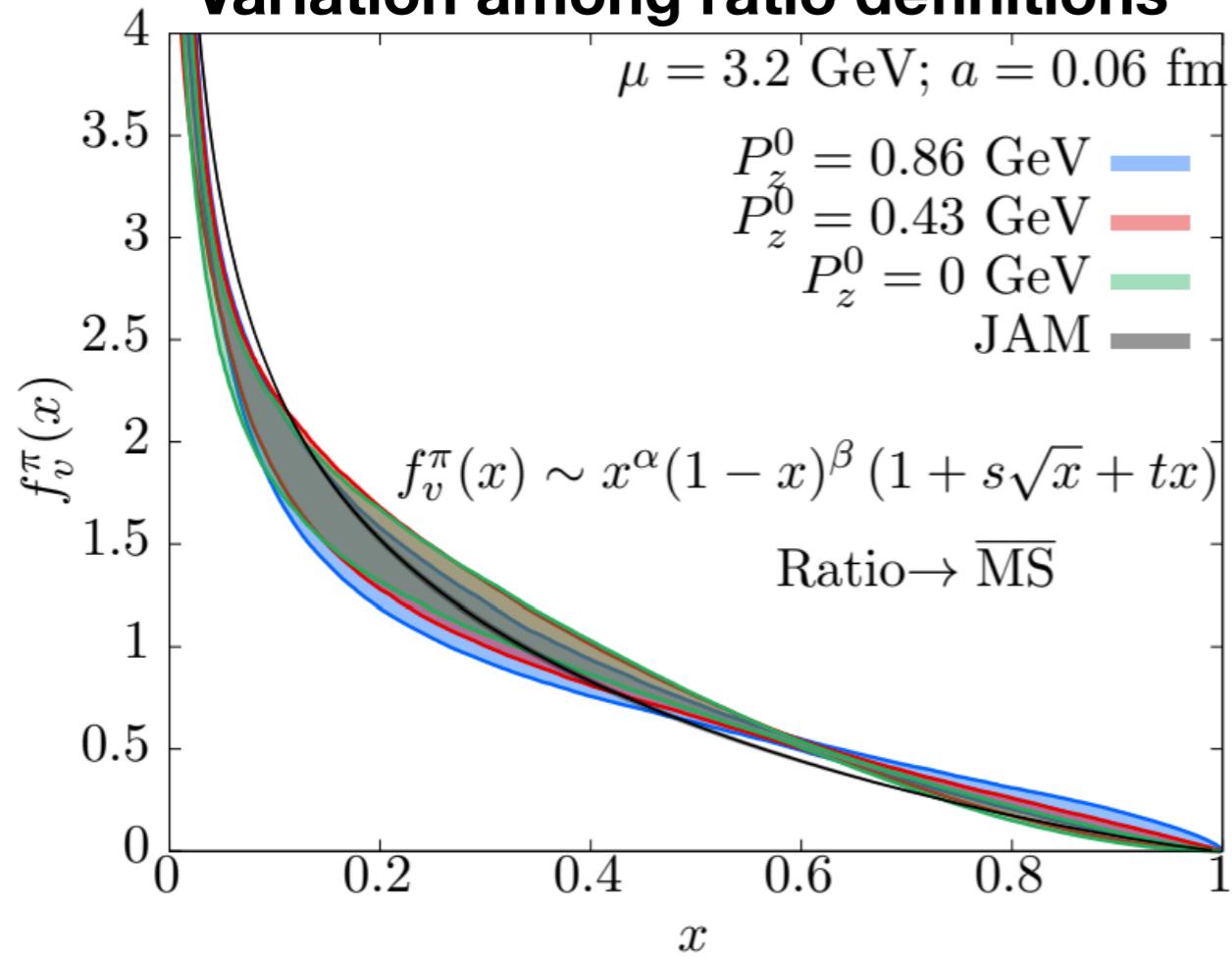
$0.32 \text{ fm} < Z_{\max} < 0.72 \text{ fm}$



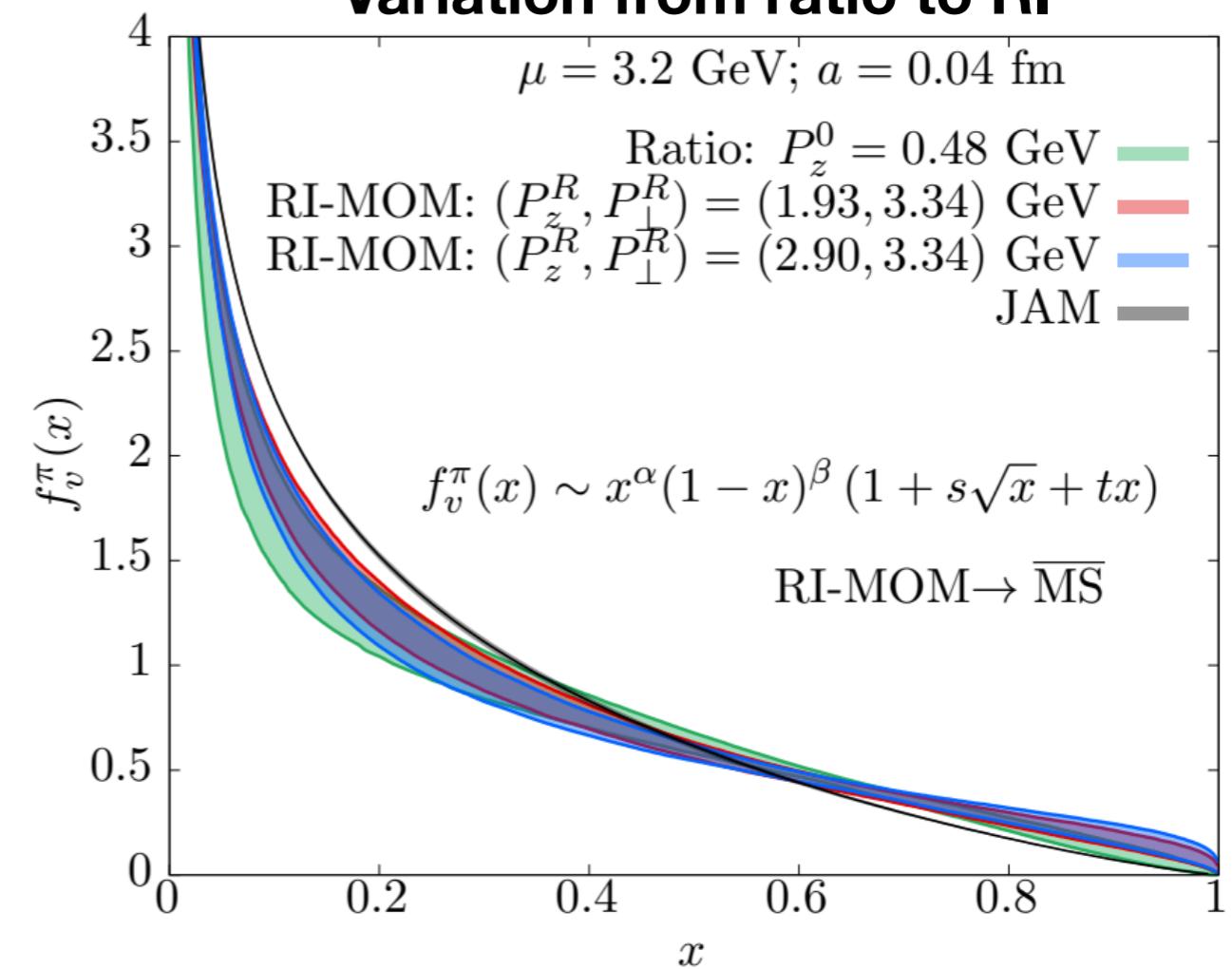
# Renormalization scheme dependence?



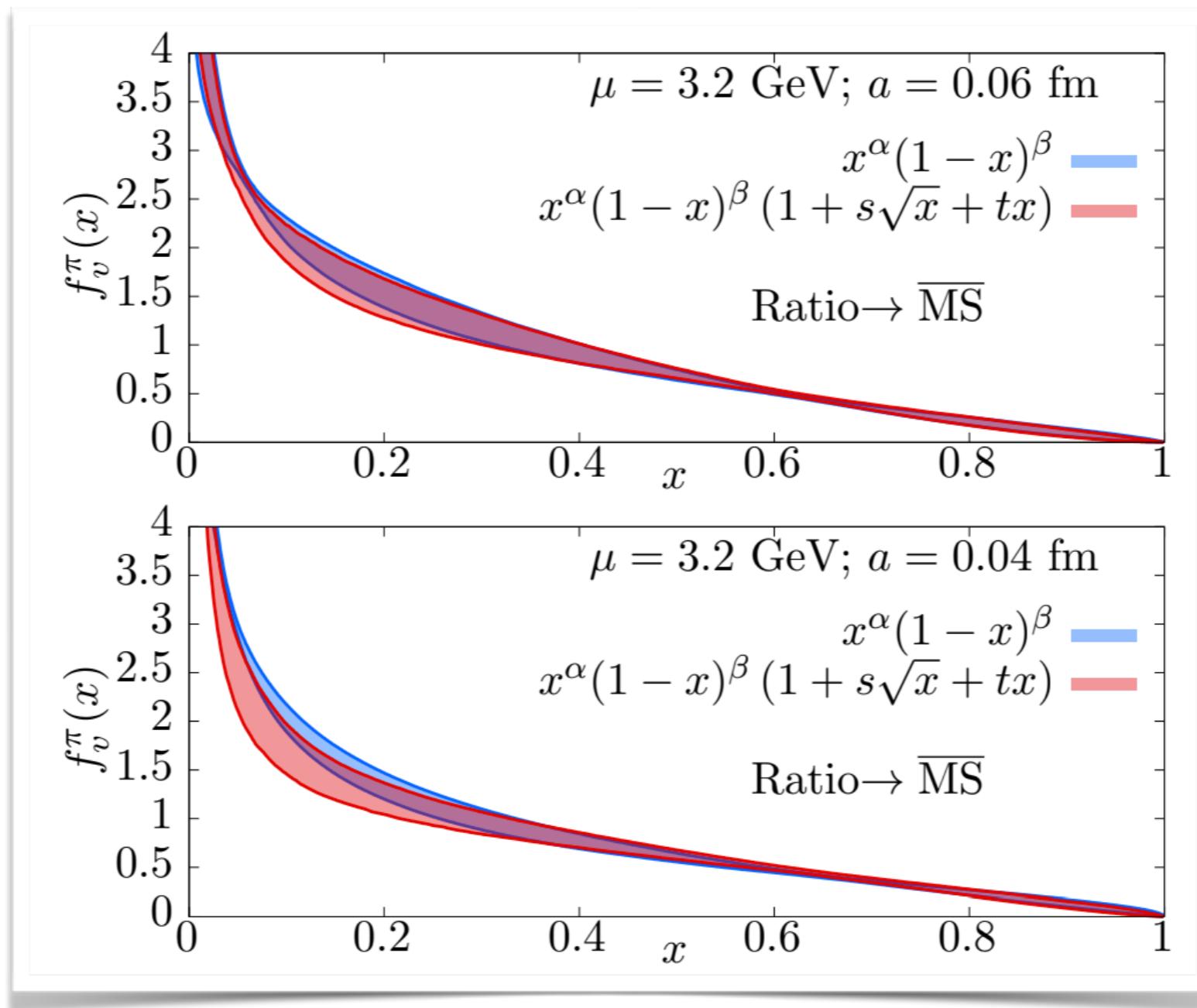
**Variation among ratio definitions**



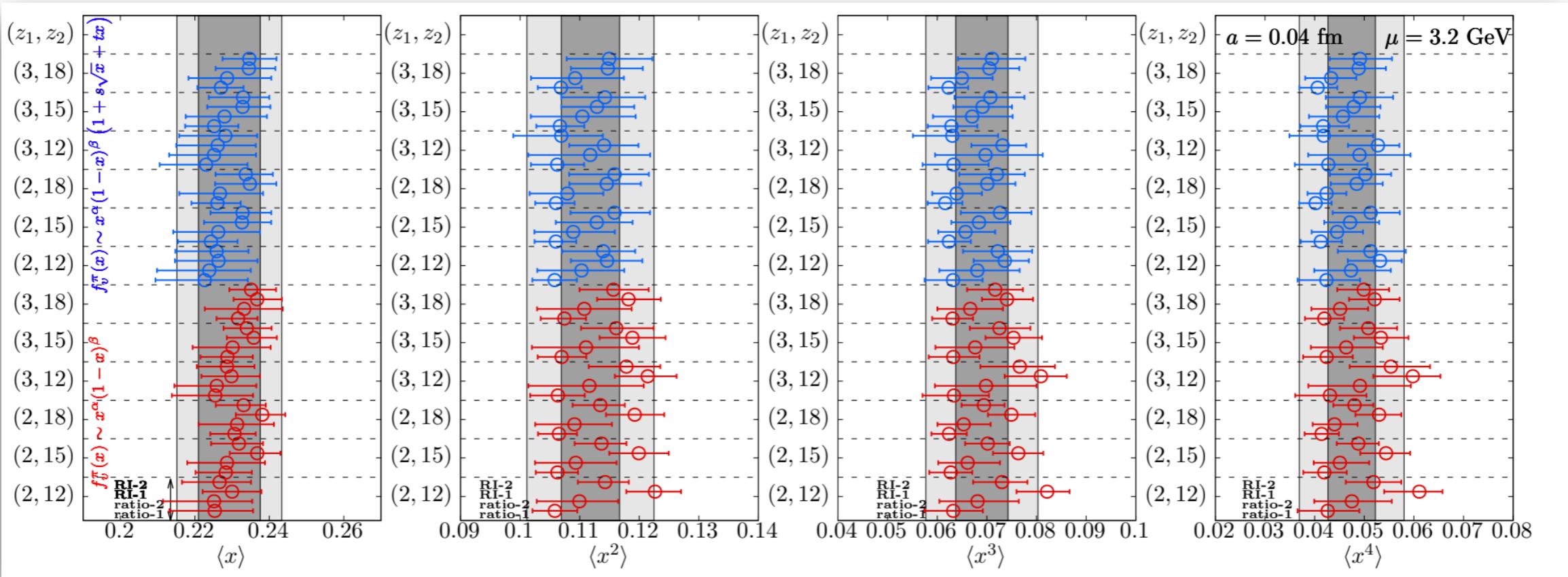
**Variation from ratio to RI**



# Ansatz dependence?



# Quantifying the fit systematics



In each bootstrap sample of some quantity “A”:

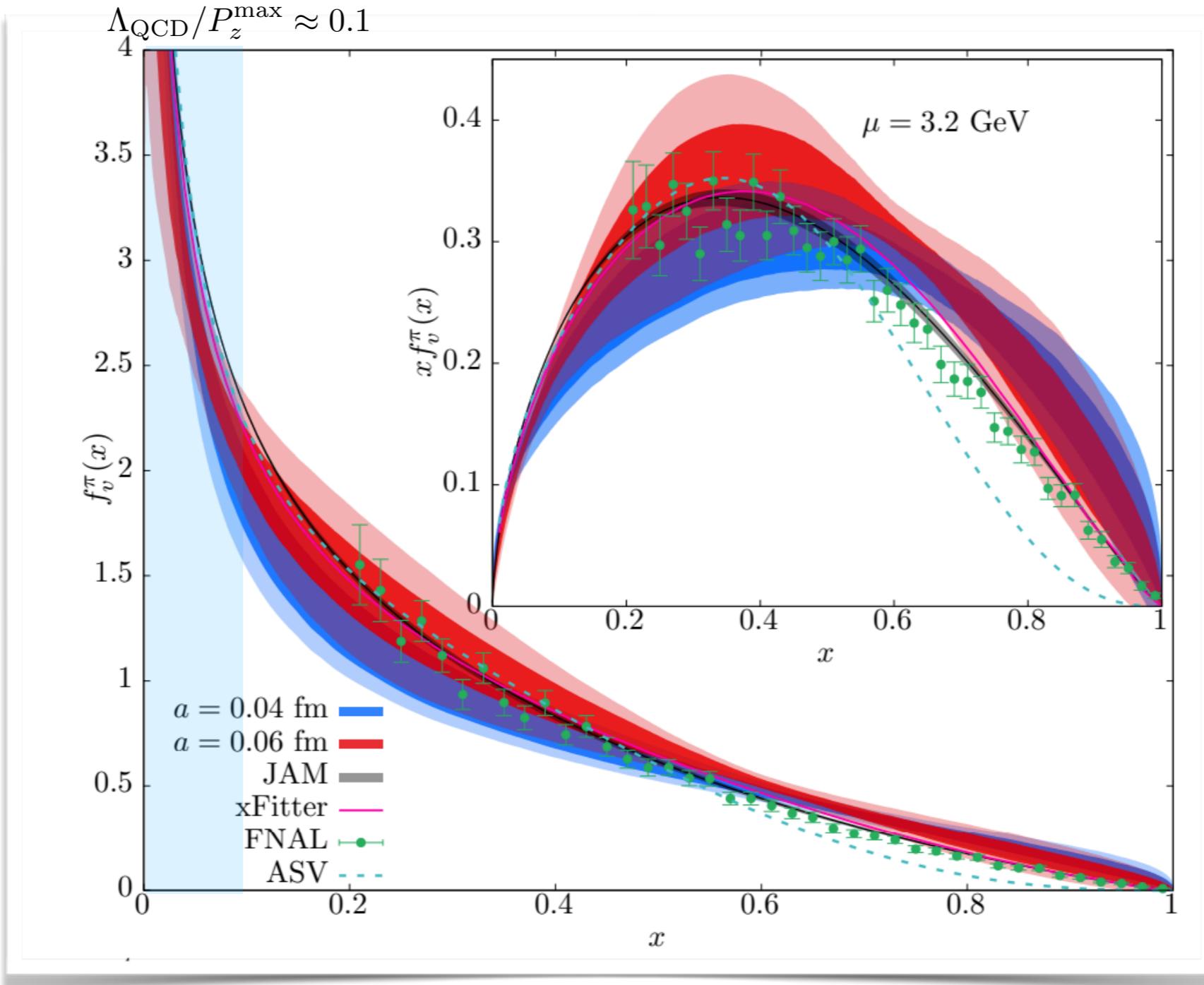
$A = \text{Mean}[\{\text{set of estimates over choice of } z\text{-range, renormalization scheme, ansatz dependence}\}]$

$\text{sys-error}(A) =$

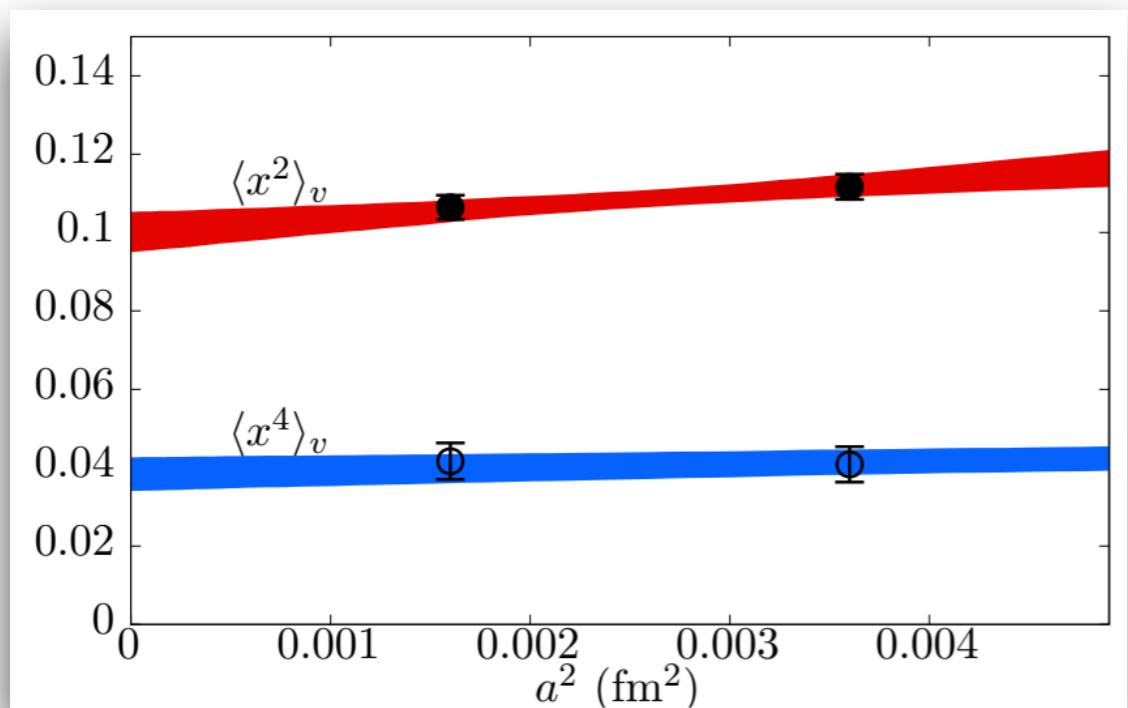
$\text{SD}[\{\text{set of estimates over choice of } z\text{-range, renormalization scheme, ansatz dependence}\}]$

Bootstrap estimate of A : mean and statistical error

# PDF estimate with statistical and systematic error included

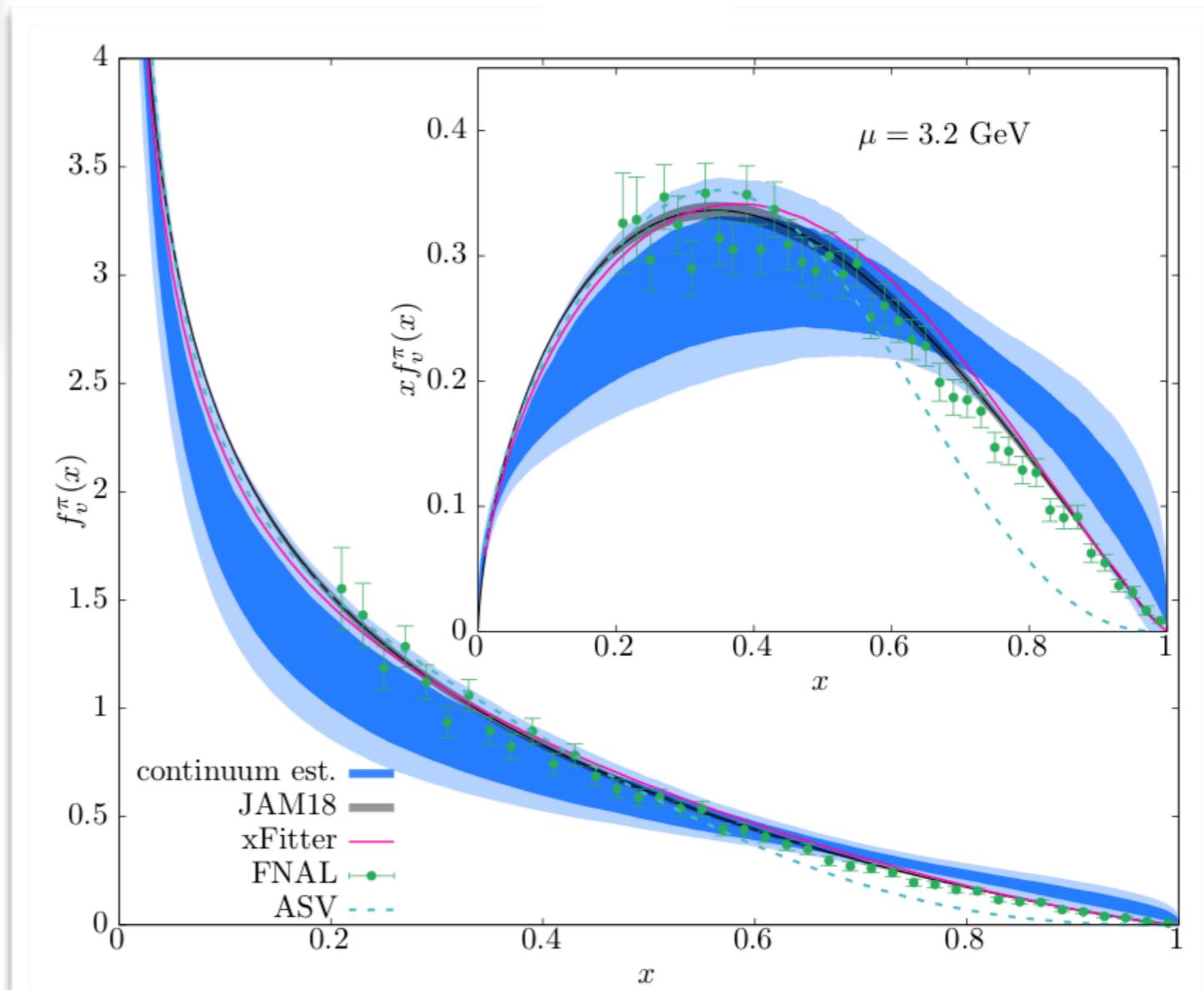


# What to expect in continuum limit?



Try fits with twist-2 OPE using  
a-dependent moments:

$$\langle x^n \rangle_v(a) = \langle x^n \rangle_v + d_n a^2,$$

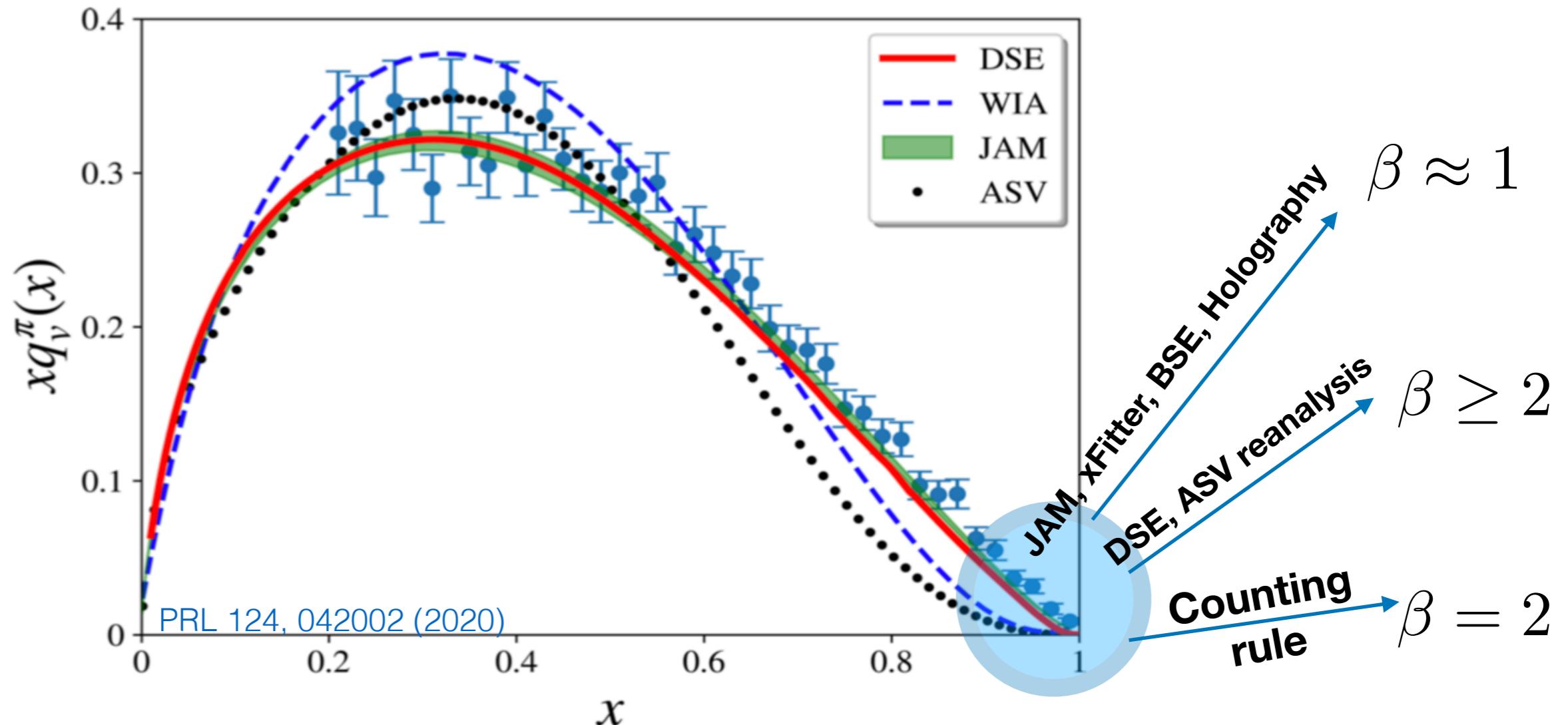


- Two fine lattice spacing with  $a^2$  corrections
- Systematic errors: range of quark-antiquark distance, ren. scheme, PDF ansatz..

# Large- $x$ behavior of pion: an unresolved issue

Key physics issue is  $x=1$  behavior:

$$\lim_{x \rightarrow 1} f_v(x) \sim (1 - x)^\beta$$



Models of pion PDF respecting NG boson properties predict  $\beta > 2$

Review in, C. D. Roberts et al, 2102.01765

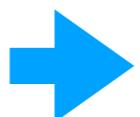
A wide scatter in  $\beta$  → First principle calculation essential

# Large-x $(1 - x)^\beta$ behavior and lattice QCD

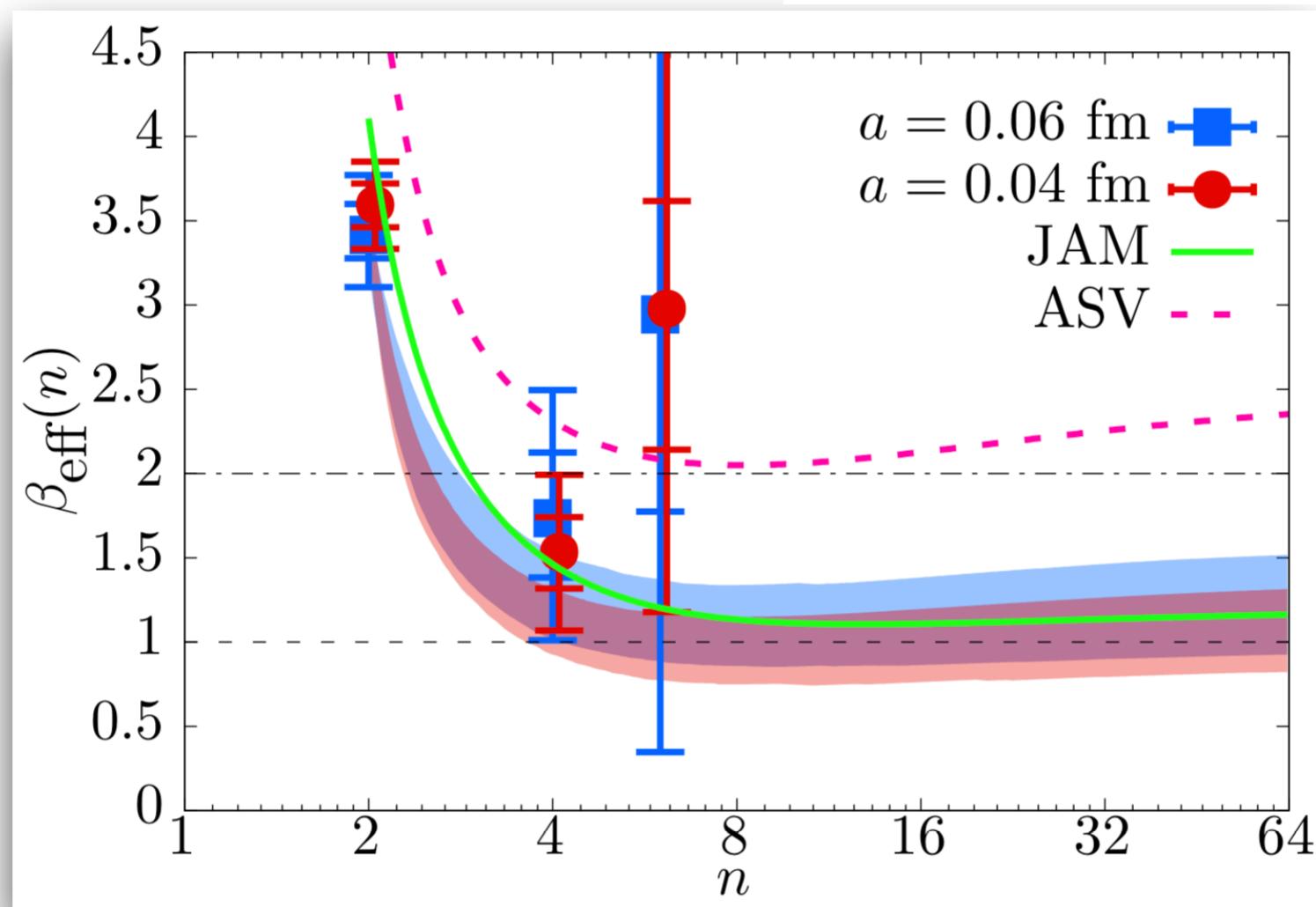
Model independent approach:

$$\langle x^n \rangle \propto n^{-\beta-1} (1 + O(1/n)).$$

★ Note the universal exponent of large moments



$$\beta_{\text{eff}}(n) \equiv -1 + \frac{\langle x^{n-2} \rangle - \langle x^{n+2} \rangle}{\langle x^n \rangle} \frac{n}{4}.$$



Up-shot: a semi-anstaz dependent OPE fits using  $\langle x^n \rangle_v \equiv n^{-\beta} \left( \frac{A_0}{n} + \frac{A_1}{n^2} + \frac{A_2}{n^3} \right)$

# To summarize...

Method	$a$ (fm)	$\langle x \rangle_v$	$\langle x^2 \rangle_v$	$\langle x^3 \rangle_v$	$\langle x^4 \rangle_v$	$\alpha$	$\beta$	$s$	$t$
(a) Model independent analysis	0.06		0.1088(48)(58)		0.0346(57)(73)				
	0.04		0.1050(43)(39)		0.0382(44)(54)				
	$a \rightarrow 0$		0.0993(71)(54)		0.0356(39)(60)				
(b) 2-parameter	0.06	0.2470(92)(52)	0.1122(54)(51)	0.0649(53)(62)	0.0423(52)(60)	-0.33(15)(11)	1.02(37)(32)		
	0.04	0.2289(96)(44)	0.1083(47)(34)	0.0652(49)(36)	0.0444(48)(34)	-0.51(10)(05)	0.66(24)(20)		
	$a \rightarrow 0$	0.216(19)(08)	0.1008(69)(43)	0.0604(39)(46)	0.0408(37)(44)	-0.55(15)(08)	0.66(34)(22)		
(c) 4-parameter	0.06	0.2457(92)(61)	0.1121(54)(50)	0.0649(53)(62)	0.0420(51)(59)	-0.40(16)(14)	1.11(41)(34)	-0.14(16)(20)	1.0(1.0)(1.2)
	0.04	0.2253(98)(45)	0.1080(46)(34)	0.0647(47)(38)	0.0436(43)(38)	-0.61(13)(06)	0.86(22)(25)	-0.20(24)(19)	2.5(1.9)(2.5)
	$a \rightarrow 0$	0.213(19)(08)	0.1009(68)(42)	0.0607(40)(47)	0.0410(40)(47)	-0.61(16)(08)	0.77(26)(30)	-0.19(27)(17)	1.5(2.0)(1.7)
(d) large- $n$ asymptotics	0.06		0.1093(48)(53)		0.0365(44)(58)		1.40(25)(30)		
	0.04		0.1050(49)(37)		0.0392(38)(43)		1.12(24)(20)		
	$a \rightarrow 0$		0.0996(71)(61)		0.0386(56)(58)		1.15(23)(22)		
(e) Effective $\beta$	0.06						1.73(39)(37)		
	0.04						1.53(21)(25)		
	$a \rightarrow 0$						1.55(34)(27)		